

USDA Foreign Agricultural Service

# GAIN Report

Global Agricultural Information Network

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## Canada

### Food and Agricultural Import Regulations and Standards - Certification

### FAIRS Export Certificate Report

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**Report Highlights:**

The report highlights certification requirements for food and agricultural products exported to Canada, and supplements the [FAIRS Narrative Report CA17049](#).

Keywords: CA17050, Canada, FAIRS, Certificate

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## Executive Summary

This report highlights export certificates issued by U.S. government authorities to comply with Canadian import standards and regulations. It is an update to the 2016 Food and Agricultural Import Regulations and Standards (FAIRS) Export Certificate Report [CA16059](#). This is not intended as a compendium of Canadian import requirements, but rather as a supplement to the [FAIRS Narrative Report CA17049](#). It was prepared by the Foreign Agricultural Service in Toronto, Canada for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, this information is provided only as guidance, because requirements may have changed since this report's preparation, or because clear and consistent information about these requirements was not available.

It is strongly recommended that U.S. exporters verify the full set of import requirements through the Canadian Food Inspection Agency's [Automated Import Reference System](#) (AIRS). As a good business practice, U.S. companies should consult with their Canadian customers to ensure products meet Canadian import requirements. As a caveat, the final import approval of any product is subject to the importing country's rules and regulations as interpreted by border officials at the time of product entry.

**Table A. List of All Export Certificates Required By Government:**

Product(s)	Name of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate	Legal Reference	Electronic Copy Attached
All	NAFTA Certificate of Origin CBP, form 434	To claim preferential tariff treatment	Bureau of Customs and Border Protection	Canada Border Service Agency (CBSA)	NAFTA	YES
Meat and Poultry	Certificate for Export of Meat and Poultry Products  (Form 9135-3 and 9135-3A)	Product Safety and Wholesomeness	Food Safety and Inspection Service Food Safety and Inspection Service (FSIS)	Canadian Food Inspection Agency (CFIA)	Meat Inspection Regulations	YES
Eggs	Export Certification  FSIS Form 9060-5EP	Wholesomeness	FSIS	CFIA	Egg Regulations	NO
Bison (Buffalo)	USDA Beef Export Verification (EV) Program Certificate	To attest to eligibility to export beef to Canada	Agricultural Marketing Service (AMS)	CFIA	Meat Inspection Regulations	NO

Product(s)	Name of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate	Legal Reference	Electronic Copy Attached
Pet Food	Zoosanitary Certificate	U.S. origin and food safety	Animal and Plant Health Inspection Service (APHIS)	CFIA	Health of Animals Act	YES
Fresh Fruits and Vegetables	FV-205 Certificate of Inspection for Canadian Destinations	Grading and Quality	AMS	CFIA	Fresh Fruit and Vegetable Regulations	YES
Live Animals, Honeybees	Animal Health Export Certificate VS Form 17-140	Animal Health	APHIS	CFIA	Animal Health Regulations	NO
Plant and Plant Products	Phytosanitary Certificate PPQ Form 572	Plant Health, Freedom from Pests	APHIS	CFIA	Plant Health Regulations	YES
Fish and Seafood	National Oceanic and Atmospheric Administration (NOAA) Inspection Certificate	Vessel and Plant Sanitation, Product Inspection, Grading, Safety And Wholesomeness	NOAA	None	Voluntary	NO
Other Foods	U.S. Food Drug Agency (FDA) Certificate of Export	The Food is Regulated by FDA	FDA	Not a requirement of entry	N/A	NO

## Section I. Purpose and Type of Export Certificates

### A. All Products: NAFTA Certificate of Origin

The North American Free Trade Agreement (NAFTA) is a trilateral agreement among Canada, Mexico, and the United States under which most products enter into Canada duty free. To obtain this preferential treatment under, U.S. exporters must validate that the food products they are shipping to Canada qualify for duty free treatment. All exporters may review treatment of their products under NAFTA by locating the specific rules of origin treatments, which are described by chapter starting on page 117 of the [NAFTA Rules of Origin text](#).

Once exporters have validated that their product is eligible for duty free treatment, they can complete the Customs and Border Protection [NAFTA Certificate of Origin \(Form 434\)](#) for

shipments exceeding the value of USD\$2,500. Completing the form correctly is imperative. Misinformation on this document may affect future shipments. Once completed, all U.S. exporters must retain a copy of the form for up to five years.

To complete Form 434, the U.S. exporter will need to identify their Harmonized System (HS) tariff classification number on the form. The World Customs Organization (WCO), an independent international body is responsible for developing and updating these product classifications. These changes are determined by patterns of trade and technology. Several HS codes were updated in January 2017, and companies should review their classification numbers on the [Census Bureau website](#) for accuracy and compliance.

The U.S. Census Bureau recommends that U.S. exporters identifying more than the first six digits of an HS code on their NAFTA form should use the Canadian HS codes, which can be found on this [CBSA webpage](#) or this [CFIA webpage](#). While the first six digits of an HS code are the same for Canada, Mexico and the United States, the last four to six digits are unique to each country and will vary slightly from the U.S. codes. Since U.S. export shipments are inspected by the CBSA, it is important for U.S. companies to use Canadian HS codes minimize delays or misinterpretations at the border.

Here are some tips for U.S. exporters when completing Form 434:

- ✓ **Tip:** The HS tariff schedule is set up where the less processed foods like fresh foods are listed in the earlier chapters and the more processed foods are listed in the later chapters (e.g., vegetables are in chapter 7 and cereal preparations are in chapter 19).
- ✓ **Tip:** In field 6, insert the six-digit HS classification number. If required to provide an 8-12 digit classification number, then identify and enter the **Canadian Tariff Classification Number** in field 6. **Do not** use the U.S. 8-12 digit classification number.
- ✓ **Tip:** In field 7, the most likely of preference criterion for food products will be “A” for raw or natural crops, or “B” for food products produced in the United States.
- ✓ **Tip:** In field 9, entitled “Net Cost,” **do not** provide a dollar amount. The exporter needs to identify the method used to determine origin; either through the net cost method (enter “NC”) or not (enter “NO”).
- ✓ **Tip:** In field 10, only the United States, Canada, or Mexico are valid answers. Products originating in non-NAFTA countries are not eligible for duty free treatment under Form 434.

U.S. exporters seeking assistance validating their product may view [U.S. Census Bureau educational modules on YouTube](#). Further assistance is available through [local U.S. Export Assistance Centers](#) or through the agricultural [State Regional Trade Groups](#) (SRTGs). Exporters in the Food Export USA SRTG regions may [contact the Food Export helpline](#).

## **B. Meat, Poultry and Processed Egg Products**

The USDA Food Safety and Inspection Service (FSIS) is responsible for certification of meat, poultry and processed egg products. Exporters are encouraged to confirm product export requirements on the [FSIS Export Library webpage](#), which contains information on eligible and ineligible products for certification, as well as slaughter, processing, labelling, marking and all other documentation requirements.

### **B1. Meat and Poultry**

FSIS Forms 9135-3 and 9135-3A, Official Meat Inspection Certificate for Export of Meat and Poultry Products to Canada, certify that U.S. meat and poultry exports to Canada meet the eligibility, safety and wholesomeness requirements laid out in the Canadian Meat Inspection regulations for imports of meat and poultry from the United States. Additional information can be found on the [FSIS Procedures, Requirements & Exporter Responsibilities for Canada webpage](#). Instructions for completing FSIS Forms 9135-3 and 9135-3A can be found [here](#). (Note: FSIS Forms 9135-3 and 9135-3A must be signed by an official Veterinarian.)

- ✓ **Tip:** Your shipment may be detained, returned or destroyed by CBSA if the shipment export certificate number (on the original export certificate) does not match the number used on the export certificate stickers adhered to individual containers.

### **B2. Meat and Poultry Label Verification**

Exporters of meat and poultry products should read the Labeling Requirements section of the [Export Library](#) closely. Certain labels applied to U.S. meat and poultry exports must be approved by FSIS. Labels that deviate from U.S. domestic requirements must be submitted, along with supporting documentation verifying Canadian government acceptance of the deviation, to the [FSIS Labeling and Program Delivery Staff](#) (LPDS).<sup>1</sup> LPDS recommends U.S. exporters obtain an e-authentication account and process label approval requests through the online [Label Submission and Approval System](#). However, paper applications for label approval submissions ([FSIS Form 7234-1](#)) may be mailed to:

**USDA, FSIS, OPPD, LPDS**  
Labeling Distribution Unit  
Stop Code 3786, Patriot's Plaza III, 8-168  
1400 Independence Ave SW  
Washington, DC 20250-3700

For additional information, please contact LPDS at 1-800-233-3935.

- ✓ **Tip:** Consult the FSIS [Do's and Don'ts](#) for submitting Form 7234-1.

### **B3. Eggs and Processed Egg Products**

Eggs and egg products are import controlled products and subject to a tariff rate quota (TRQs) system in Canada. U.S. exporters must work with a Canadian importer that has available 'quota' to

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<sup>1</sup> Deviations as defined by FSIS are those components on a Canadian label not permitted or used for the U.S. market, such as bilingual labelling, net quantities expressed in metric units, nutrition facts, specific claims related to natural, gluten free, ethnic food certification, health claims, etc.

legally import eggs. Requirements for U.S. egg product exports to Canada may vary from state to state, and U.S. producers are urged to review [the requirements](#) before shipping.

[As of August 15, 2015](#), FSIS inspectors now issue an Egg Product Export Certificate of Wholesomeness ([FSIS Form 9060-5EP](#)) for each export shipment.

For processed products containing small amounts of egg products (such as imitation egg products, frozen egg patties, and frozen omelets) manufacturers may voluntarily participate in the [Processed Egg and Processed Egg Products Export Verification Program](#) operated by the USDA Agricultural Marketing Service (AMS). The program verifies the processed egg product is wholesome for export. A letter of agreement between the Foreign Agricultural Service and the Office of International Affairs of the FDA provides AMS the authority to conduct onsite verification of public health verification statements and issue export certificates.

For questions concerning shipments of FDA-regulated products containing eggs that were previously certified by FSIS, contact FSIS at 1-855-444-9904 or by e-mail at [importexport@fsis.usda.gov](mailto:importexport@fsis.usda.gov).

#### **C. Bison – USDA Export Verification Program**

Meat and meat products derived from bison (or buffalo) are eligible for export to Canada. However, Canada applies the same BSE requirements for these products as applied for beef and beef products. Since U.S. BSE regulations do not apply to bison, meat and meat products derived from bison must be raised and produced under an approved AMS Export Verification (EV) program. Only those suppliers meeting the requirements of the EV program are eligible to export specified products to Canada. Additional information about the [EV program](#) and a [list of EV approved establishments](#) can be found on the AMS website.

#### **D. Pet Foods – Veterinary Export Certificate for Processed Pet Foods and Treats**

As of September 1, 2015, all heat-processed, shelf stable pet foods and treats exported to Canada with animal or animal by-products must be accompanied with a veterinary export certificate endorsed by the Animal and Plant Health Inspection Service (APHIS) Veterinary Services. For those products with no animal by-products, the processor must ensure the ingredient listing is displayed on the label or accompanied with all customs documentation.

To review these requirements, please refer to the APHIS webpages for [single](#) and [multiple shipments](#).

#### **E. Ready-to-Eat Products Export Verification Program**

This program was initially established for closed-faced sandwiches exported to Canada. Canadian requirements dictate that closed-faced sandwiches be produced under a Hazard Analysis and Critical Control Point (HACCP) plan. In the future, this program is likely to include other RTE programs. Only those manufacturing establishments participating in this EV program are eligible to export to Canada. For more information on the EV program, please refer to [this AMS webpage](#).

## **F. Fresh Fruit and Vegetable – Inspection Certificate**

All fruits and vegetables imported into Canada must meet [specific standards and packaging requirements](#) laid out in the [Canada Agricultural Products Act](#), [Fresh Fruit and Vegetable Regulations](#) and [Processed Product Regulations](#).

All fresh and vegetable exports to Canada require an [AMS export verification and certificate](#). Please contact the nearest [Specialty Crops Inspection field office](#) for more information or to request an export certificate.

The importation of leafy green vegetables from the United States are limited to those growers and suppliers that have met the Leafy Green Good Agricultural Practices (GAP) food safety guidelines and are a signatory to the California Leafy Green Products Handler Marketing Agreement. Import requirements for U.S. leafy greens are on [this CFIA webpage](#).

Regulations exempting U.S. apple shipments to Canada weighing more than 100 pounds from U.S. inspection and certification can be found on [this AMS webpage](#). See the FAIRS Narrative Report for link to Ministerial Exemption.

## **F1. Beyond the Border (BtB) Action Plan on Fruits and Vegetables**

In 2011, the United States and Canada established the *Beyond the Border (BtB) Action Plan* to strengthen security and economic competitiveness. Under the plan, [the Canadian Government agreed to reduce grade verification inspection rates for apples grown in the United States](#), targeting bulk apple shipments of 200 kg. (440 lbs.) or more that are subject to CFIA's Ministerial Exemption Procedures. The number of grade inspections has been reduced from 75 percent in 2013 to 5 percent of all U.S. apple shipments to Canada in 2017. In early of 2018, both the United States and Canada will evaluate the success of the program and determine whether to eliminate inspections on apple shipments from both countries.

## **G. Live Animals and Live Reproductive Organisms – Animal Health Export Certificate**

APHIS Veterinary Services (VS) issues health certificates certifying the herd and animal health status for live animals and live reproductive organisms exported from the United States. Depending on the type of animal, additional tests and vaccinations may be required.

Visit the [APHIS IREGS website](#) for the most up-to-date information on export requirements and restrictions related to live animal exports to Canada.

## **G1. Travelling and Shipment of Pets to Canada**

Although the scope of this report is largely focused on commercial shipments, FAS/Canada periodically receives calls from U.S. visitors traveling with or shipping their pets to Canada. While, certification is not required for cats or dogs, CBSA may request vaccination records. In addition, inspection fees and quarantine may be required for certain pets. Visitors are encouraged to work closely with their veterinarian to ensure all paperwork are prepared before traveling. The latest information related to pets entering Canada is on [this CFIA webpage](#).



## **H. Plant and Plant Products Phytosanitary Certificate**

APHIS Plant Protection and Quarantine (PPQ) is responsible for issuing the phytosanitary certificate attesting that plants or plant products are free from quarantine pests, practically free from other injurious pests, and conform to the current phytosanitary regulations of Canada. For more information, please visit the [APHIS PPQ export website](#).

## **Section II. Other Export Certification/Accreditation Requirements**

### **A. NOAA Inspection Certificate**

The National Oceanic and Atmospheric Administration (NOAA) [Seafood Inspection Program](#) offers a variety of professional inspection services that ensure compliance with all applicable food regulations. In addition, product quality evaluation, grading and certification services on a product lot basis are provided. Benefits of obtaining a certificate include the ability to apply official marks, such as the U.S. Grade A, Processed Under Federal Inspection (PUFI) and Lot Inspection. Additional information on certification requirements for Canada is on [this NOAA webpage](#).

### **B. Products Regulated by the Food and Drug Administration**

The U.S. Food and Drug Administration issues a Certificate of Export for some products destined for Canada, including food additives, supplements, infant formula and medical foods. Some of these certificates are on a voluntary basis. They generally indicate that the product is marketed in the United States and eligible for export. Such certificates are neither guarantees nor a certification of the product's safety nor its quality. Certificates of Export are issued at the request of a U.S. company by the FDA Center for Food Safety and Applied Nutrition (CFSAN) or by a state governmental authority.

For more information, contact [export certification offices within CFSAN](#) and visit the [FDA export webpage](#).

### **C. Organic**

On June 17, 2009, the United States and Canada [jointly announced](#) an organic equivalence arrangement, the first one of its kind. The equivalence arrangement followed a review by both nations of the other's organic certification program and a determination that products meeting the standard in the United States can be sold as organic in Canada, and vice versa.

Producers and processors that are certified to the [USDA National Organic Program](#) (NOP) standards by a USDA-accredited certifying agent do not have to become separately certified to the [Canada Organic Products Regulation](#) (COPR) standards in order for their products to be represented as organic in Canada. Likewise, Canadian organic products certified to COPR standards may be sold or labeled in the United States as organically produced. Both the USDA Organic seal and the Canada Organic/Biologique logo can be used on certified products from both countries. More information on the [equivalence arrangement](#) can be found on the [CFIA Organic Products webpage](#). Information on specific requirements for U.S. organic products exported to Canada can be found on [this AMS webpage](#).

In 2018, provisions under Canada’s Organic Products Regulations will move to a single regulatory framework, known as the *Safe Food for Canadians Regulations* (SFCR).<sup>2</sup> Canadian Organic Products Regulations are referenced in [part 15 of this SFCR draft](#).

U.S. firms are reminded that the import and sale of organic food products in Canada are governed by the same rules and regulations that apply to non-organic food products. No distinction is made between organic and non-organic food with regard to import requirements. Currently, all Canadian packaging and labeling, grade, and inspection regulations apply equally to organic and non-organic foods. Organic claims are required to be printed in English and French. Additional information on accessing Canada’s organic products market can be found in the FAS/Canada Exporter Guide.

### Section III. Specific Attestations Required on Export Certificates

Export certificates require the attestations of an approved USDA inspector or veterinarian. U.S. exporters are recommended to first contact their [local state agencies](#). U.S. food and agricultural companies should be aware there may be user fees on certain commercial shipments. Please check with the respective authorizing government office. See below for the nearest contact.

**Table B. U.S. Contact Information:**

Name of Certificate	Issuing Authority	U.S. Contact
NAFTA Certificate of Origin (Form 434)  Requires Harmonized System Tariff Classification Number	Bureau of Customs and Border Protection  U.S. Department of Commerce	<a href="#">Form 434</a>  <a href="#">HS Code Classification</a> Email: <a href="mailto:eid.scheduleb@census.gov">eid.scheduleb@census.gov</a> Tel: 1-800-549-0595, ext.#2
FSIS -Form 9135-3/ 9135-3A. Certificate for Export of Meat and Poultry Products to Canada	FSIS	<a href="#">FSIS Export Library – Canada</a>  Email: <a href="mailto:MPHotline.fsis@usda.gov">MPHotline.fsis@usda.gov</a> Tel: 1-888-MPHotline (1-888-674-6854) Mobile: <a href="http://m.askkaren.gov">m.askkaren.gov</a> <a href="http://askkaren.gov">http://askkaren.gov</a>

<sup>2</sup> The Canadian government is modernizing their current framework of food regulations. Under the future framework, 13 sets of food regulations will be harmonized into one framework recognized as the Safe Food for Regulations (SFCR).

Name of Certificate	Issuing Authority	U.S. Contact
<p>Export Certification Bison EV Program</p> <p>RTE EV Program Microbiological Testing</p> <p>Fresh Fruit and Vegetable Inspection Certificate</p> <p>National Organic Program</p>	AMS	<p><a href="#">EV Programs</a> Quality Assessment Division Director Tel: (202) 690-3147</p> <p><a href="#">RTE Microbiological Testing Program</a> <a href="mailto:NationalScienceLaboratories@ams.usda.gov">NationalScienceLaboratories@ams.usda.gov</a> Tel: (704) 867-3873</p> <p>Specialty Crop Inspection Field Office Directory <a href="https://www.ams.usda.gov/services/sci-contacts">https://www.ams.usda.gov/services/sci-contacts</a></p> <p><a href="#">National Organic Program Contact Information</a> Tel: (202) 720-3252</p>
<p>Animal Health Export Certificate VS Form 17-140</p> <p>Phytosanitary Certificate for Plants and Plant Products</p>	APHIS	<p><a href="#">IREGS Canada</a></p> <p><a href="#">Veterinary Services Directory</a></p> <p><a href="#">Plant Protection and Quarantine Contacts</a></p>
<p>National Oceanic and Atmospheric Administration (NOAA) Inspection Certificate</p>	NOAA	<p><a href="#">Seafood Inspection Program</a> <a href="#">Canada Certification Requirements</a></p> <p>Email: <a href="mailto:NMFS.Seafood.Services@noaa.gov">NMFS.Seafood.Services@noaa.gov</a> Tel: (301) 427-8300</p>
<p>U.S. Food Drug Agency (FDA) Certificate of Export</p>	FDA	<p><a href="#">FDA Export Certification Contacts</a></p>

**Appendix I. Electronic Copy of Selected Export Certificates**  
*(Effective January 1, 2017, HS codes for some agricultural and food items have been updated)*

**Example: NAFTA Certificate of Origin**

DEPARTMENT OF HOMELAND SECURITY  
 U.S. Customs and Border Protection

OMB No. 1651-0098  
 Exp. 08-31-2014

**NORTH AMERICAN FREE TRADE AGREEMENT  
 CERTIFICATE OF ORIGIN**

19 CFR 181.11, 181.22

1. EXPORTER NAME AND ADDRESS  TAX IDENTIFICATION NUMBER:		2. BLANKET PERIOD FROM TO				
3. PRODUCER NAME AND ADDRESS  TAX IDENTIFICATION NUMBER:		4. IMPORTER NAME AND ADDRESS  TAX IDENTIFICATION NUMBER:				
5. DESCRIPTION OF GOOD(S)	6. HS TARIFF CLASSIFICATION NUMBER	7. PREFERENCE CRITERION	8. PRODUCER	9. NET COST	10. COUNTRY OF ORIGIN	

I CERTIFY THAT:


- THE INFORMATION ON THIS DOCUMENT IS TRUE AND ACCURATE AND I ASSUME THE RESPONSIBILITY FOR PROVING SUCH REPRESENTATIONS. I UNDERSTAND THAT I AM LIABLE FOR ANY FALSE STATEMENTS OR MATERIAL OMISSIONS MADE ON OR IN CONNECTION WITH THIS DOCUMENT;
- I AGREE TO MAINTAIN AND PRESENT UPON REQUEST, DOCUMENTATION NECESSARY TO SUPPORT THIS CERTIFICATE, AND TO INFORM, IN WRITING, ALL PERSONS TO WHOM THE CERTIFICATE WAS GIVEN OF ANY CHANGES THAT COULD AFFECT THE ACCURACY OR VALIDITY OF THIS CERTIFICATE;
- THE GOODS ORIGINATED IN THE TERRITORY OF ONE OR MORE OF THE PARTIES, AND COMPLY WITH THE ORIGIN REQUIREMENTS SPECIFIED FOR THOSE GOODS IN THE NORTH AMERICAN FREE TRADE AGREEMENT AND UNLESS SPECIFICALLY EXEMPTED IN ARTICLE 411 OR ANNEX 401, THERE HAS BEEN NO FURTHER PRODUCTION OR ANY OTHER OPERATION OUTSIDE THE TERRITORIES OF THE PARTIES; AND
- THIS CERTIFICATE CONSISTS OF   PAGES, INCLUDING ALL ATTACHMENTS.

11a. AUTHORIZED SIGNATURE  11c. NAME  11e. DATE	11b. COMPANY   11d. TITLE  11f. TELEPHONE NUMBERS	(Voice)  (Facsimile)	
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CBP Form 434 (04/11)

# Example: FSIS Form 9135-3, CERTIFICATE FOR EXPORT OF MEAT AND POULTRY PRODUCTS

FSIS form 9135-3

CONSIGNOR <b>1</b>		CERTIFICATE NO. US-CA-2000- <b>3</b>		
CONSIGNEE/IMPORTER <b>2</b>		U.S. Department of Agriculture Food Safety and Inspection Service		
ORIGIN COUNTRY U.S.A.	PORT OF LOADING <b>4</b>	EXPORT ESTABLISHMENT: ( <i>Establishment Number</i> ) <b>6</b>		
DESTINATION CANADA				
MEANS OF TRANSPORT <b>5</b>				
SHIPPING MARKS <b>7</b>	NUMBER/ KIND OF PACKAGES <b>8</b>	EST. / PLANT NUMBER ON PRODUCT <b>9</b>	PRODUCT DESCRIPTION/ SPECIES LABEL APPROVAL NUMBER <b>10</b>	NET WEIGHT (Check one and enter net weight) <input type="checkbox"/> lb. or <input type="checkbox"/> kg. <b>11</b>
USDA SEAL NUMBER (S) ( <i>If Applicable</i> ) <b>12</b>				
REMARKS <b>13</b>				
<p>I CERTIFY that the meat or meat food product specified hereon is from animals that received both antemortem and postmortem inspection and were found sound and healthy and that it has been inspected and passed as provided by law and regulations of the Department and is sound and wholesome, and / or</p> <p>I CERTIFY that the poultry and poultry products specified above came from birds that were officially given an antemortem and postmortem inspection and passed in accordance with applicable laws and regulations of the United States Department of Agriculture and are wholesome and fit for human consumption.</p>				
BY ORDER OF THE SECRETARY OF AGRICULTURE		NAME OF OFFICIAL VETERINARIAN ( <i>Typed</i> ) <b>SAMPLE</b>	DATE SIGNED <b>14</b>	SIGNATURE OF THE OFFICIAL VETERINARIAN <b>15</b>
FSIS FORM 9135-3 (01/01/2000)		PREVIOUS EDITIONS OBSOLETE		<b>16</b>
				<b>ORIGINAL</b>



## Example: Pet Food and Treats Certificate (1 of 2)

Certificate Reference Number: \_\_\_\_\_

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### VETERINARY EXPORT CERTIFICATE FOR PROCESSED<sup>1</sup> PET FOOD AND TREATS CONTAINING ANIMAL PRODUCTS AND BY-PRODUCTS FROM THE USA TO CANADA

(SINGLE SHIPMENT)

Consignor (Name and Address):	Consignee (Name and Address):
Processing Plant (Name and Address) and USDA-APHIS approval number if applicable <sup>2</sup> :	Description of product(s): <input type="checkbox"/> Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (i.e., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight). <input type="checkbox"/> Pet foods and/or pet treats with non-exempt bovine-origin ingredients.
Quantity of the products (Number and Type of packaging or Weight):	
Intended end use :	RESALE
Identification (Lot-batch or date of production):	
Container(s) and seal(s) number(s) :	
Port of Entry:	
Shipping Date:	
Means of transportation:	<input type="checkbox"/> Truck <input type="checkbox"/> Rail

**Notes:**

1: "Processed" includes only heat treated and shelf-stable products.

2: In the case of multiple pet food manufacturing facilities, please attach addendum with complete list of names, addresses, and USDA-APHIS approval numbers (if applicable).

3: The CFIA follows the [OIE categorization](#) of countries for BSE Risk Status.

4: The term SRM refers to Specified Risk Materials of bovine animals originating from countries other than Negligible BSE Risk Status. SRMs include: the skull, brain, trigeminal ganglia, eyes, spinal cord and vertebral column (excluding the vertebrae of the tail, the transverse processes of the thoracic and lumbar vertebrae and the wings of the sacrum), the palatine tonsils from bovine animals aged 30 months and older and the distal ileum from bovine animals of all ages.

5: Bovine-origin animal proteins /processed proteins include those bovine-origin products produced in rendering facilities, including animal origin meals such as rendered meals, protein digests, hydrosylates, etc.



## Example: Pet Food and Treats Certificate (2 of 2)

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I, the undersigned Official Veterinarian, designated by the Government of the United States of America, certify, that this office has on file a notarized affidavit(s) from the manufacturer(s) [identified above or in the attached addendum] OR a valid APHIS approval(s) of the manufacturing facility(ies) to verify the accuracy of the statements below:

1. The certified pet food and/or pet treats were processed in a facility or facilities located in the USA and authorized by the official competent veterinary authority in the United States to manufacture pet food containing animal products and by-products.<sup>2</sup>
2. The certified pet foods and/or pet treats in the shipment may include the following [check all that apply]:
  - Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (i.e., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight).
  - Pet foods and/or pet treats with non-exempt bovine-origin ingredients.
3. In the case of pet foods or pet treats containing tallow, the tallow used is protein-free with a maximum level of insoluble impurities of 0.15% by weight.
4. In the case of pet foods or pet treats containing bovine meat, offal, or any bovine ingredients other than exempt ingredients, they meet the following requirements:
  - a. Bovine-origin raw materials [meat/offals]:
    - i. were harvested in the United States from U.S.-origin cattle or legally imported cattle that:
      - were presented live for slaughter AND
      - were not subjected to a stunning process prior to slaughter, with a device injecting compressed air or gas into the cranial cavity, or to a pithing process, and were humanely rendered unconscious prior to being bled
  - AND/OR [check all that apply]:
    - ii. Were legally imported from a country or countries recognized by the CFIA to be of :
      - Negligible BSE Risk Status<sup>3</sup> OR
      - Controlled BSE Risk Status [Insert name of country or countries]:  
\_\_\_\_\_ and that:
        - do not contain AND
        - have not been contaminated with bovine specified risk materials (SRMs)<sup>4</sup>.
  - b. All bovine-origin rendered protein materials<sup>5</sup> originate only from countries of Negligible BSE Risk Status.
5. The certified pet foods and/or pet treats were sufficiently heat processed to ensure the destruction of pathogens that represent a risk to public or animal health.
6. Precautions were taken to prevent cross-contamination of the finished products with any animal origin product or by-product derived from animals of a lesser zoosanitary status.

Place: \_\_\_\_\_

Date: \_\_\_\_\_

Official Stamp /

Signature of Official Veterinarian





# EXAMPLE: STATE APPLICATION FOR INSPECTION AND CERTIFICATION OF PLANTS AND PLANT PRODUCTS

No Phytosanitary Export Certificate can be issued until an application is completed (7 CFR 353).  
FORM APPROVED OMB NO. 0679-0062

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0062. The time required to complete this information collection is estimated to average .25 minutes/hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE <b>APPLICATION FOR INSPECTION AND CERTIFICATION OF DOMESTIC PLANTS AND PLANT PRODUCTS FOR EXPORT</b>		INSTRUCTIONS: <b>APPLICANT</b> - Forward original to the Officer in Charge where inspections, treatment, and certification will be given (Item 4). Complete Items 1 thru 11. <b>OFFICER</b> - Complete Items 12 thru 17.	
1. NAME AND ADDRESS OF EXPORTER		3. NAME AND ADDRESS OF APPLICANT (or exporters agent)	
2. NAME AND ADDRESS OF FOREIGN CONSIGNEE		AREA CODE AND PHONE NO.	
		4. PLACE WHERE ARTICLES WILL BE MADE AVAILABLE FOR INSPECTION AND/OR TREATMENT AND CERTIFICATION (Port and location)	
		5. APPROX. DATE OF DEPARTURE	6. PORT OF EXPORT
7. DESCRIPTION OF ARTICLES TO BE CERTIFIED			
a.	QUANTITY AND NAME OF PRODUCE AND BOTANICAL NAME		
b.	NUMBER AND DESCRIPTION OF PACKAGES		
c.	DISTINGUISHING MARKS		
d.	CERTIFIED ORIGIN		
8. DECLARED MEANS OF CONVEYANCE		I certify that the origin (place where grown) of the articles listed is as represented.	
9. DECLARED POINT OF ENTRY		10. SIGNATURE (applicant or exporters agents)	11. DATE
EXPORT INSPECTION DATA - (To be filled in by Plant Protection and Quarantine Officer)			
12. LOCATION OF ARTICLES		13. % OF MATERIALS EXAMINED	14. % OF MATERIALS INFESTED
15. FINDINGS AND/OR TREATMENT GIVEN (Use reverse if necessary)			
16. SIGNATURE		17. DATE AND TIME INSPECTED	

PPQ FORM 572  
(APR 2005)

**Example: Aquatic Animal Health Export Certificate (1 of 4)**

Page \_\_\_\_ of \_\_\_\_  
Health Certificate No: \_\_\_\_\_  
(Valid only if USDA Veterinary Seal  
appears over the certificate number)

**Example: Aquatic Animal Health Export Certificate (2 of 4)**

**Export Certification Requirements for Live Aquatic Animals  
(Finfish/Molluscs/Crustaceans) for Food Service/Retail Use**

**Zoosanitary Requirements for Cultivated Aquatic Animals:**

1. The OIE listed disease(s) listed in Section 4 is/are compulsorily notifiable to the competent authority in the exporting country and/or reports of suspicion of non-OIE diseases of concern are investigated by the competent (federal or state) authority.
2. The aquatic animals to be exported are not under any restriction by the exporting country's competent authority or intended for destruction or slaughter for disease control purposes.
3. The transport water that is used is sourced from the premises of origin of the aquatic animals, or has been treated in a manner that will prevent the introduction of the disease(s) in Section 4.

Federal endorsing official name and address

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date and signature of Federal endorsing official

\_\_\_\_\_

Official Stamp:

## Example: Aquatic Animal Health Export Certificate (3 of 4)

**PRODUCER'S DECLARATION** for live aquatic animals for food services and retail use.

### *I. Producer's details*

Name and address of production facility:

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### *II. Animal*

Description of Animal:

Origin of Animal:

Date of export as appears on the packaging or immediate container of the animals:

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### **III. Animal Management:**

1. For finfish species, the shipment is, to the best of my knowledge, free from visible ectoparasites and intermediate hosts.
2. Either  
 To the best of my knowledge, only those species specified in the import permit are included in the shipment and the shipment is free from fellow travellers.  
OR  
 For molluscs, if the shipment is not free of fellow travellers, then the shipment has been treated in a manner which will destroy the fellow travellers.

(Check the appropriate box)

## Example: Aquatic Health Certificate (4 of 4)

Page \_\_\_\_ of \_\_\_\_  
Health Certificate No: \_\_\_\_\_  
(Valid only if USDA Veterinary Seal  
appears over the certificate number)

#### IV. Packing and Shipping Attestations:

1. The aquatic animals are packaged in shipping containers, holding units and/or conveyances that are either new or cleaned and disinfected.
2. The shipping containers and/or holding units prevent release of the shipping contents (eg. water or animals) while en route.
3. The aquatic animal(s) being presented for importation are packaged in a manner which prevents contact or exchange of animals or water between different shipments of aquatic animals on the same conveyance.
4. The package was labeled indicating the detailed contents, including the location in which the aquatic animal(s) was born, the taxonomic name of the aquatic animal(s), whether the aquatic animal(s) was(were) wild or farmed, and the number or biomass of the aquatic animal(s) in the shipment.

Signed

Date

Position within the Company:

Name and address of Company employee:





**USDA Organic Seal and the Canada Organic/Biologique Logo**



## **Glossary of Acronyms**

AMS – Agricultural Marketing Service of USDA

APHIS – Animal and Plant Health Inspection Service of USDA

BtB – Beyond the Border

CFIA – Canadian Food Inspection Agency

EV – Export Verification

FDA – Food and Drug Administration

FSIS – Food Safety and Inspection Service of USDA

HACCP – Hazard Analysis and Critical Control Point

NAFTA – North American Free Trade Agreement

NOAA – National Oceanic and Atmospheric Administration

NOP – National Organic Program

PPQ – Plant Protection and Quarantine

RTE – Ready-To-Eat

SFCA – Safe Food for Canadians Act

SFCR – Safe Food for Canadians Regulations

USCOEA – United States-Canada Organic Equivalence Arrangement