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Export Certificate Report

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**Report Highlights:**

This report highlights certification requirements for food and agricultural products exported to Canada and supplements the FAIRS Country Report CA2019-0048. Key Words: Canada, FAIRS, Certificate

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## Executive Summary

This report highlights export certificates issued by U.S. government authorities to comply with Canadian import standards and regulations. It is an update to the 2018 Food and Agricultural Import Regulations and Standards (FAIRS) Export Certificate Report. This is not intended as a compendium of Canadian import requirements, but rather as a supplement to the most recent [FAIRS Country Report](#). While every possible care was taken in the preparation of this report, this information is provided only as guidance, because requirements may have changed since this report's preparation, or because clear and consistent information about these requirements was not available.

FAS/Canada strongly recommends that U.S. exporters verify the full set of import requirements through the Canadian Food Inspection Agency's [Automated Import Reference System \(AIRS\)](#). As a good business practice, U.S. companies should consult with their Canadian customers to ensure products meet Canadian import requirements. As a caveat, final import approval of any product is subject to the importing country's rules and regulations as interpreted by border officials at the time of product entry.

## Section I. Export Certificate Matrix:

Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
All	<a href="#">NAFTA Certificate of Origin</a>  Form <a href="#">434</a>	To claim preferential tariff treatment	Bureau of Customs and Border Protection (CBP)	Canada Border Service Agency (CBSA)
Meat and Poultry	<a href="#">Certificate for Export of Meat and Poultry Products to Canada</a>  Form <a href="#">9135-3 and 9135-3A</a>	Product Safety and Wholesomeness	Food Safety and Inspection Service (FSIS)	Canadian Food Inspection Agency (CFIA)
Egg Products	<a href="#">Egg Products Export Certificate of Wholesomeness</a>  Form <a href="#">9060-5EP</a>	Wholesomeness	FSIS	CFIA
Processed Egg and Egg Products	<a href="#">Processed Egg and Egg Products Export Verification Certificate</a>  LPS-234	Wholesomeness	AMS	CFIA
Bison (Buffalo)	<a href="#">USDA Beef Export Verification (EV) Program Certificate</a>	To attest to eligibility to export bison to Canada	Agricultural Marketing Service (AMS)	CFIA
Pet Food	<a href="#">Veterinary Export Certificate for Processed, Shelf-Stable Pet Foods, Treats &amp; Compound Chews</a>	U.S. origin and veterinary health attestations	Animal and Plant Health Inspection Service (APHIS)	CFIA
Pet Food	<a href="#">Veterinary Sanitary Certificate for Export of Unprocessed (Raw) U.S. Pet Foods</a>	U.S. origin and veterinary health attestations	Animal and Plant Health Inspection Service (APHIS)	CFIA

Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
Fresh Fruits and Vegetables	<a href="#">Specialty Crops Program (SC) Export Form Certificate</a>  Forms <a href="#">SC-205</a> and <a href="#">SC-205-1</a>	Grading and Quality	AMS	CFIA
Live Animals, Honeybees	<a href="#">Animal Health Export Certificate</a>  <a href="#">VS Form 16-4</a>	Animal Health	APHIS	CFIA
Plant and Plant Products	<a href="#">Phytosanitary Certificate</a>  <a href="#">PPQ Form 572</a> <a href="#">PPQ Form 577</a> <a href="#">PPQ Form 578</a> <a href="#">PPQ Form 579</a>	Plant Health, Freedom from Pests	APHIS	CFIA
Fish and Seafood	<a href="#">National Oceanic and Atmospheric Administration (NOAA) Seafood Inspection Program, APHIS Aquatic Animal Health Export Certificate, Fish and Wildlife Service (FWS) Export Permit</a>	Sanitation, Grading, Food Safety, Wholesomeness, Animal Health, Eligibility of Species	NOAA, APHIS, FWS	CFIA, CBSA, Environment and Climate Change Canada (ECCC)
Other Foods	<a href="#">Food Drug Agency (FDA) Certificate of Exportability</a>  <a href="#">CFSAN eCATS</a>	Product Meets U.S. Food, Drug and Cosmetic Act Requirements	FDA	CFIA

## Section II. Purpose and Type of Export Certificates

### A. All Products: NAFTA Certificate of Origin

The North American Free Trade Agreement (NAFTA) is a trilateral agreement among Canada, Mexico, and the United States under which most products enter into Canada duty free. To obtain this preferential treatment, U.S. exporters must validate that the food products they are shipping to Canada qualify for duty free treatment. All exporters may review treatment of their products under NAFTA by locating the specific rules of origin treatments, which are described by chapter starting on page 118 of the [NAFTA Rules of Origin Regulations](#).

Once exporters have validated that their product is eligible for duty free treatment, they can complete the Customs and Border Protection NAFTA Certificate of Origin ([Form 434](#)) for shipments exceeding \$2,500 in value. Completing the form correctly is imperative. Misinformation on this document may affect future shipments. Once completed, all U.S. exporters must retain a copy of the form for up to five years.

To complete Form 434, the U.S. exporter will need to identify their Harmonized System (HS) tariff classification number on the form. The World Customs Organization (WCO), an independent international body is responsible for developing and updating these product classifications. HS codes are updated periodically, and companies should review their classification numbers on the [Census Bureau website](#) for accuracy and compliance.

The U.S. Census Bureau recommends that U.S. exporters identifying more than the first six digits of an HS code on their NAFTA form should use the Canadian HS codes, which can be found on this [CBSA webpage](#) or CFIA [AIRS](#). While the first six digits of an HS code are the same for Canada, Mexico and the United States, the last four to six digits are unique to each country and will vary slightly from the U.S. codes. Since U.S. export shipments are inspected by the CBSA, it is important for U.S. companies to use Canadian HS codes to minimize delays or misinterpretations at the border.

Here are some tips for U.S. exporters when completing Form 434:

- ✓ **Tip:** The HS tariff schedule is set up where the less processed foods like fresh foods are listed in the earlier chapters and the more processed foods are listed in the later chapters (e.g., vegetables are in chapter 7 and cereal preparations are in chapter 19).
- ✓ **Tip:** In field 6, insert the six-digit HS classification number. If required to provide an 8-12 digit classification number, then identify and enter the **Canadian Tariff Classification Number** in field 6. **Do not** use the U.S. 8-12 digit classification number.
- ✓ **Tip:** In field 7, the most likely preference criteria for food products will be either “A” for raw or natural crops, or “B” for food products produced in the United States.

- ✓ **Tip:** In field 9, entitled “Net Cost,” **do not** provide a dollar amount. The exporter needs to identify the method used to determine origin; either through the net cost method (enter “NC”) or not (enter “NO”).
- ✓ **Tip:** In field 10, only the United States, Canada, or Mexico are valid answers. Products originating in non-NAFTA countries are not eligible for duty free treatment under Form 434.

U.S. exporters seeking assistance validating their product may view [U.S. Census Bureau educational modules on YouTube](#). Further assistance is available through [local U.S. Export Assistance Centers](#) or through the agricultural [State Regional Trade Groups](#) (SRTGs). Exporters in the Food Export USA SRTG regions may [contact the Food Export helpline](#).

## **Retaliatory Tariffs on U.S. Agricultural Products**

On May 19, 2019, following an [agreement](#) between the United States and Canada, the Canadian authorities [announced](#) the repeal of all retaliatory surtaxes adopted in response to measures imposed by the United States on imports of certain steel and aluminum products from Canada. Since July 1, 2018, certain U.S. agricultural products had been assessed a 10 percent surtax upon importation into Canada.

For additional information, please see FAS/Canada GAIN Report [CA19021](#).

## **B. Meat, Poultry and Processed Egg Products**

The USDA’s Food Safety and Inspection Service (FSIS) is responsible for certification of all fresh, frozen and packaged meat, poultry and processed egg products. More information on the export requirements for Canada are available [here](#) and [here](#).

### **B.1. Meat and Poultry**

The USDA Food Safety and Inspection Service (FSIS) is responsible for certification of meat, poultry and processed egg products. Exporters are encouraged to confirm product export requirements on the FSIS Export Library webpage for [meat and poultry products](#), which contain information on eligible and ineligible products for certification, as well as slaughter, processing, labelling, marking and all other documentation requirements.

FSIS Forms 9135-3 and 9135-3A, Official Meat Inspection Certificate for Export of Meat and Poultry Products to Canada, certify that U.S. meat and poultry exports to Canada meet the eligibility, safety and wholesomeness requirements laid out in the Safe Food for Canadians Regulations for imports of meat and poultry from the United States. Additional information can be found on the [FSIS Procedures, Requirements & Exporter Responsibilities for Canada webpage](#). Instructions for completing FSIS Forms 9135-3 and 9135-3A can be found [here](#). (Note: FSIS Forms 9135-3 and 9135-3A must be signed by an official Veterinarian.)

- ✓ **Tip:** Your shipment may be detained, returned or destroyed by CBSA if the shipment export certificate number (on the original export certificate) does not match the number used on the export certificate stickers adhered to individual containers.

## **B.2. Meat and Poultry Label Verification**

Exporters of meat and poultry products should read the [Labeling Requirements](#) section of the Export Library closely. Certain labels applied to U.S. meat and poultry exports must be approved by FSIS. Labels that deviate from U.S. domestic requirements must be submitted, along with supporting documentation verifying Canadian government acceptance of the deviation, to the [FSIS Labeling and Program Delivery Staff](#) (LPDS).<sup>1</sup> LPDS recommends U.S. exporters obtain an e-authentication account and process label approval requests through the online [Label Submission and Approval System \(LSAS\)](#). However, paper applications for label approval submissions ([FSIS Form 7234-1](#)) may be mailed to:

**USDA, FSIS, OPPD, LPDD**  
Labeling Distribution Unit  
Stop Code 3786, Patriots Plaza III, 8-168  
1400 Independence Ave, SW  
Washington, DC 20250-3700

For additional information, please contact LPDS at 1-800-233-3935 or visit [askFSIS](#).

- ✓ **Tip:** Consult the FSIS [Do's and Don'ts](#) for submitting Form 7234-1.

## **B.3. Eggs and Processed Egg Products**

Eggs and egg products are import controlled products and subject to a tariff rate quota (TRQs) system in Canada. U.S. exporters must work with a Canadian importer that has available 'quota' to legally import eggs. Requirements for U.S. egg product exports to Canada may vary from state to state, and U.S. producers are urged to review [the requirements](#) before shipping. FSIS inspectors issue an Egg Product Export Certificate of Wholesomeness ([FSIS Form 9060-5EP](#)) for each export shipment.

For processed products containing small amounts of egg products (such as imitation egg products, frozen egg patties, and frozen omelets) manufacturers may voluntarily participate in the [Processed Egg and Egg Products Export Verification \(PEEPEV\) Program](#) operated by the USDA Agricultural Marketing Service (AMS). The USDA processed egg and egg products export certificate (Form LPS-234) is used to verify that the processed egg products and hard-cooked eggs are wholesome for export. A list of companies approved to export these products to Canada is available [here](#).

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<sup>1</sup> Deviations as defined by FSIS are those components on a Canadian label not permitted or used for the U.S. market, such as bilingual labelling, net quantities expressed in metric units, nutrition facts, specific claims related to natural, gluten free, ethnic food certification, health claims, etc.

For questions concerning shipments of FDA-regulated products containing eggs that were previously certified by FSIS, contact FSIS at 1-855-444-9904 or by e-mail at [importexport@fsis.usda.gov](mailto:importexport@fsis.usda.gov).

### **C. Bison - USDA Export Verification (EV) Program**

Meat and meat products derived from bison (or buffalo) are eligible for export to Canada. However, Canada applies the same bovine spongiform encephalopathy (BSE) requirements for these products as applied for beef and beef products. Since U.S. BSE regulations do not apply to bison, meat and meat products derived from bison must be raised and produced under an approved [AMS Export Verification \(EV\) program](#). Only those suppliers meeting the requirements of the EV program are eligible to export specified products to Canada. Additional information about the [EV program](#) and a [list of EV approved establishments](#) can be found on the AMS website.

### **D. Pet Foods – Veterinary Export Certificate for Processed, Shelf-Stable Pet Foods, Treats, and Compound Chews**

As of July 1, 2016, all export shipments of U.S.-origin, heat-processed, shelf-stable pet foods, treats, and compound must be certified for export to Canada by Animal and Plant Health Inspection Service (APHIS) Veterinary Services (VS) on the basis of APHIS inspection and approval of the manufacturing facilities. For the latest requirements, restrictions and certificates for APHIS-regulated pet foods exported to Canada, please visit [APHIS International Regulations \(IRegs\) site](#) and [this webpage](#).

For more information on the procedures and requirements for exporting animal products, or to obtain a zoosanitary certificate for an animal product, exporters should contact the [VS Field Office](#) covering the area from which the product will be exported, the area in which the exporter office is located, or the area in which the product is manufactured.

### **E. Ready-to-Eat Products - EV Program**

This program was initially established for closed-faced sandwiches exported to Canada. Canadian requirements dictate that closed-faced sandwiches be produced under a Hazard Analysis and Critical Control Point (HACCP) plan. Only those manufacturing establishments participating in this EV program are eligible to export to Canada. For more information on the EV program, please refer to the [Microbiological Testing Program for RTE products](#).

### **F. Fresh Fruit and Vegetable - Inspection Certificate**

All fruits and vegetables imported into Canada must meet the requirements of the [Safe Food for Canadians Regulations](#). Apples, onions and potatoes require an inspection certificate indicating minimum Canadian import requirements for quality, labelling, and packaging are met. However, there could be phytosanitary/plant health certificate requirements as well, depending on the commodity, state of origin, and provincial destination. More information on the requirements for importing fruit and vegetable is available on this [CFIA website](#) and the [AMS export verification and certificate website](#). Please contact the nearest [Specialty Crops Inspection Division field office](#) for more information or to request an export certificate.

The importation of leafy green vegetables from the United States are limited to those growers and suppliers that have met the Leafy Green Good Agricultural Practices (GAP) food safety guidelines and are a signatory to the California Leafy Green Products Handler Marketing Agreement. Import requirements for U.S. leafy greens are on [this CFIA webpage](#).

Information on exemptions from U.S. inspection and certification under the Export Apple Act for U.S. apple shipments to Canada weighing more than 100 pounds can be found [here](#). Please note that such shipments would still have to be inspected and certified to the requirements (if any) of the Ministerial Exemption under which the import shipment would occur.

### **G. Live Animals and Live Reproductive Organisms - Animal Health Export Certificate**

APHIS Veterinary Services (VS) issues health certificates certifying the herd and animal health status for live animals and live reproductive organisms exported from the United States. Depending on the type of animal, additional tests and vaccinations may be required.

Visit the [APHIS IREGS website](#) for the most up-to-date information on export requirements and restrictions related to live animal exports to Canada.

### **Travelling and Shipment of Pets to Canada**

Although the scope of this report is largely focused on commercial shipments, FAS/Canada periodically receives calls from U.S. visitors traveling with or shipping their pets to Canada. While, certification is not required for cats or dogs, CBSA may request vaccination records. In addition, inspection fees and quarantine may be required for certain pets. Visitors are encouraged to work closely with their veterinarian to ensure necessary documents are prepared before traveling. The latest information related to pets entering Canada is on the [CFIA webpage](#).

### **H. Plant and Plant Products Phytosanitary Certificate**

APHIS Plant Protection and Quarantine (PPQ) is responsible for issuing the phytosanitary certificate attesting that plants or plant products are free from quarantine pests, practically free from other injurious pests, and conform to the current phytosanitary regulations of Canada. For more information, please visit the [APHIS PPQ export website](#).

## Section II. Other Export Certification/Accreditation Requirements

### A. NOAA Inspection Certificate

The National Oceanic and Atmospheric Administration (NOAA) [Seafood Inspection Program](#) offers a variety of professional inspection services that ensure compliance with all applicable food regulations. In addition, product quality evaluation, grading and [certification services](#) on a product lot basis are provided. Benefits of obtaining a certificate include the ability to apply official marks, such as the U.S. Grade A, Processed Under Federal Inspection (PUFI) and Lot Inspection. Additional information on certification requirements for Canada is on [this NOAA webpage](#).

### B. Products Regulated by the Food and Drug Administration

The U.S. Food and Drug Administration issues a Certificate of Exportability for some products destined for Canada, including food additives, supplements, infant formula and medical foods. Some of these certificates are on a voluntary basis. They generally indicate that the product is marketed in the United States and eligible for export. Such certificates are neither guarantees nor a certification of the product's safety nor its quality. Certificates of Exportability are issued at the request of a U.S. company by the FDA Center for Food Safety and Applied Nutrition (CFSAN) or by a state governmental authority.

For more information, contact [export certification offices within CFSAN](#) and visit the [FDA export webpage](#).

### C. Organic

On June 17, 2009, the United States and Canada [jointly announced](#) an organic equivalence arrangement, the first one of its kind. The equivalence arrangement followed a review by both nations of the other's organic certification program and a determination that products meeting the standard in the United States can be sold as organic in Canada, and vice versa.



Producers and processors that are certified to the [USDA National Organic Program](#) (NOP) standards by a USDA-accredited certifying agent do not have to become separately certified to the [Canada Organic Standards](#) in order for their products to be represented as organic in Canada. Likewise, Canadian organic products certified to COS may be sold or labeled in the United States as organically produced. Both the USDA Organic seal and the Canada Organic/Biologique logo can be used on certified products from both countries. More information on the

[equivalence arrangement](#) can be found on the [CFIA Organic Products webpage](#). Information on specific requirements for U.S. organic products exported to Canada can be found on [this AMS webpage](#).

U.S. firms are reminded that the import and sale of organic food products in Canada are governed by the same rules and regulations that apply to non-organic food products. No distinction is made between organic and non-organic food with regard to import requirements. Currently, all Canadian packaging and labeling, grade, and inspection regulations apply equally to organic and non-organic foods. Organic claims are required to be printed in English and French.

### Section III. Specific Attestations Required on Export Certificate(s)

U.S. exporters are recommended to first contact their [local state agencies](#). U.S. food and agricultural companies should be aware there may be user fees on certain commercial shipments. Please check with the respective authorizing government office. See below for the nearest contact.

#### U.S. Contact Information:

Name of Certificate	Issuing Authority	U.S. Contact
NAFTA Certificate of Origin, CBP  (Requires Harmonized Tariff Classification Number)	Bureau of Customs and Border Protection  U.S. Department of Commerce for the first six digits	<a href="#">CBP Form 434</a>  <a href="#">Census Bureau HS Code Classification Tool</a>  Email: <a href="mailto:eid.scheduleb@census.gov">eid.scheduleb@census.gov</a> Tel. 1-800-549-0595, ext.#2
Certificate for Export of Meat and Poultry Products to Canada	FSIS	<a href="#">Food Safety and Inspection Service District Offices</a>  Email: <a href="mailto:MPHotline.fsis@usda.gov">MPHotline.fsis@usda.gov</a> Tel. 1-888-674-6854
Export Certificate - Bison EV Program	AMS	<a href="#">Export Verification Program</a>  AMS Quality Assurance Division E-mail: <a href="mailto:QAD.BusinessOps@ams.usda.gov">QAD.BusinessOps@ams.usda.gov</a> Phone: 501-312-2962
Export Certificate - RTE EV Program	AMS	<a href="#">AMS Microbiological Testing Program</a>  National Science Laboratories E-mail: <a href="mailto:NationalScienceLaboratories@ams.usda.gov">NationalScienceLaboratories@ams.usda.gov</a> Phone: 704-867-3873
Export Certificate - Table Eggs Program &	AMS	<a href="#">List of Plants Approved to Export Table Eggs to Canada</a>

Name of Certificate	Issuing Authority	U.S. Contact
Export Certificate - PEEPEV Program	AMS	<a href="#">The Processed Egg and Egg Products Export Verification (PEEPEV) Program</a>  Quality Assessment Division, Auditing <a href="mailto:EV.Export@usda.gov">EV.Export@usda.gov</a> Phone: 501-766-4782
National Organic Program	AMS	<a href="#">National Organic Program Staff Directory</a>
Export Certificate - Fresh Fruit and Vegetable Inspection Certificate	AMS	<a href="#">Specialty Crops Inspection Contacts</a>
Animal Health Export Certificate VS Form 16-4	APHIS	<a href="#">APHIS IRegs</a>  <a href="#">Veterinary Services Service Center Directory</a> Animal Exports Call Center: 301-851-3300 <a href="mailto:asknies.products@usda.gov">Animals Products: asknies.products@usda.gov</a> <a href="#">Live Animals:</a> <a href="mailto:VS-Live.Animals_Import.Permits@aphis.usda.gov">VS-Live.Animals_Import.Permits@aphis.usda.gov</a>
Phytosanitary Certificate for Plants and Plant Products	APHIS	<a href="#">Plant Export Information</a>  Email: <a href="mailto:PPQExportServices@usda.gov">PPQExportServices@usda.gov</a> Phone: 301-851-2309
National Oceanic and Atmospheric Administration (NOAA) Inspection Certificate	NOAA	<a href="#">NOAA Regional Offices Directory</a>
U.S. Food Drug Agency (FDA) Certificate of Exportability	FDA	<a href="#">FDA Export Certification Contact List</a>  Email: <a href="mailto:CFSANExportCertification@fda.hhs.gov">CFSANExportCertification@fda.hhs.gov</a> Phone: 240-402-2307

*(Effective January 1, 2017, HS codes for some agricultural and food items have been updated)*

DEPARTMENT OF HOMELAND SECURITY  
U.S. Customs and Border Protection

OMB No. 1651-0098  
Exp. 04-30-2020

19 CFR 181.11, 181.22

I CERTIFY THAT:

- THE INFORMATION ON THIS DOCUMENT IS TRUE AND ACCURATE AND I ASSUME THE RESPONSIBILITY FOR PROVING SUCH REPRESENTATIONS. I UNDERSTAND THAT I AM LIABLE FOR ANY FALSE STATEMENTS OR MATERIAL OMISSIONS MADE ON OR IN CONNECTION WITH THIS DOCUMENT;
- I AGREE TO MAINTAIN AND PRESENT UPON REQUEST, DOCUMENTATION NECESSARY TO SUPPORT THIS CERTIFICATE, AND TO INFORM, IN WRITING, ALL PERSONS TO WHOM THE CERTIFICATE WAS GIVEN OF ANY CHANGES THAT COULD AFFECT THE ACCURACY OR VALIDITY OF THIS CERTIFICATE;
- THE GOODS ORIGINATED IN THE TERRITORY OF ONE OR MORE OF THE PARTIES, AND COMPLY WITH THE ORIGIN REQUIREMENTS SPECIFIED FOR THOSE GOODS IN THE NORTH AMERICAN FREE TRADE AGREEMENT AND UNLESS SPECIFICALLY EXEMPTED IN ARTICLE 411 OR ANNEX 401, THERE HAS BEEN NO FURTHER PRODUCTION OR ANY OTHER OPERATION OUTSIDE THE TERRITORIES OF THE PARTIES; AND
- THIS CERTIFICATE CONSISTS OF  PAGES, INCLUDING ALL ATTACHMENTS.

11.	11a. AUTHORIZED SIGNATURE		11b. COMPANY	
	11c. NAME		11d. TITLE	
	11e. DATE (mm/dd/yyyy)	11f. TELEPHONE NUMBERS (Voice) (Facsimile)	11g. EMAIL	


**Example: FSIS Form 9135-3, Certificate for Export of Meat and Poultry Products**

CONSIGNOR			CERTIFICATE NO. <b>US-CA-2017-</b>			
CONSIGNEE/IMPORTER			U.S. Department of Agriculture Food Safety and Inspection Service			
ORIGIN COUNTRY <div style="text-align: center;"><b>U.S.A.</b></div>			<b>CERTIFICATE FOR EXPORT OF MEAT AND POULTRY PRODUCTS</b>			
					PORT OF LOADING	
					EXPORT ESTABLISHMENT: <i>(Establishment Number)</i>	
					DESTINATION <div style="text-align: center;"><b>CANADA</b></div>	
MEANS OF TRANSPORT						

SHIPPING MARKS	NUMBER/ KIND OF PACKAGES	EST. / PLANT NUMBER ON PRODUCT	PRODUCT DESCRIPTION/ SPECIES LABEL APPROVAL NUMBER	NET WEIGHT (Check one and enter net weight) <input type="checkbox"/> lb. or <input type="checkbox"/> kg.

USDA SEAL NUMBER (S) *(If Applicable)*  
  
 REMARKS

☐ I CERTIFY that the meat or meat food product specified hereon is from animals that received both antemortem and postmortem inspection and were found sound and healthy and that it has been inspected and passed as provided by law and regulations of the Department and is sound and wholesome, and / or  
☐ I CERTIFY that the poultry and poultry products specified above came from birds that were officially given an antemortem and postmortem inspection and passed in accordance with applicable laws and regulations of the United States Department of Agriculture and are wholesome and fit for human consumption.

BY ORDER OF THE SECRETARY OF AGRICULTURE <div style="text-align: center;">  </div>	NAME OF OFFICIAL <i>(Typed)</i> <hr/> SIGNATURE OF THE OFFICIAL	DATE SIGNED
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FSIS FORM 9135-3 (10/17/2016)
PREVIOUS EDITIONS OBSOLETE
ORIGINAL

**Example: Pet Food and Treats Certificate (1 of 3)**

Health Certificate Number: \_\_\_\_\_

Page \_\_\_\_ of \_\_\_\_

**VETERINARY EXPORT CERTIFICATE FOR PROCESSED<sup>1</sup> PET FOOD/CHEWS/TREATS  
CONTAINING ANIMAL PRODUCTS AND BY-PRODUCTS EXPORTED FROM THE UNITED  
STATES OF AMERICA TO CANADA**

<b>Consignor (Name and Address):</b>	<b>Consignee (Name and Address):</b>
<b>Processing Plant (Name and Address) and USDA-APHIS approval number if applicable <sup>2</sup>:</b>	<b>Description of product(s):</b>  <input type="checkbox"/> Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (e.g., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight). <input type="checkbox"/> Pet foods and/or pet treats with non-exempt bovine-origin ingredients.
<b>Intended end use :</b>	<b>RESALE</b>
<b>Port of Entry:</b>	<b>Any Canadian Port of entry</b>
<b>Date of Endorsement: mm/dd/yyyy</b>	<b>Date of Certificate Expiration<sup>3</sup>: mm/dd/yyyy</b>
<b>Means of transportation:</b>	<input type="checkbox"/> Truck <input type="checkbox"/> Rail

**Example: Pet Food and Treats Certificate (2 of 3)**

**Health Certificate Number:** \_\_\_\_\_

**Page** \_\_\_\_ **of** \_\_\_\_\_

I, the undersigned Official Veterinarian of the Animal and Plant Health Inspection Service (APHIS), certify that this office has on file a valid APHIS approval(s) of the manufacturing facility(ies) to verify the accuracy of the statements below:

1. The certified pet food and/or pet treats were processed in a facility or facilities located in the USA or Canada and authorized by the official competent veterinary authority in the country of origin to manufacture pet food containing animal products and by-products.<sup>2</sup>
2. The certified pet foods and/or pet treats in the shipment may include the following [check all that apply]:
  - ☐ Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (e.g., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight).
  - ☐ Pet foods and/or pet treats with non-exempt bovine-origin ingredients.
3. In the case of pet foods or pet treats containing tallow, the tallow used is protein-free with a maximum level of insoluble impurities of 0.15% by weight.
4. In the case of pet foods or pet treats containing bovine meat, offal, or any bovine ingredients other than exempt ingredients, they meet the following requirements:
  - a. Bovine-origin raw materials [meat/offals]:
    - i. were harvested in the United States from U.S.-origin bovine or legally imported bovine that:
      - were presented live for slaughter AND
      - were not subjected to a stunning process prior to slaughter, with a device injecting compressed air or gas into the cranial cavity, or to a pithing process, and were humanely rendered unconscious prior to being bled

AND/OR [check all that apply]:

  - ii. Were legally imported from a country or countries recognized by the CFIA to be of:
    - ☐ Negligible BSE Risk Status<sup>4</sup> OR
    - ☐ Controlled BSE Risk Status [Insert name of country or countries]:  
\_\_\_\_\_ and that:
      - do not contain AND
      - have not been contaminated with bovine specified risk materials (SRMs)<sup>5</sup>.

  - b. All bovine-origin rendered protein materials<sup>6</sup> originate only from countries of Negligible BSE Risk Status.

5. The certified pet foods and/or pet treats were sufficiently heat processed to ensure the destruction of pathogens that represent a risk to public or animal health.
6. Precautions were taken to prevent cross-contamination of the finished products with any animal origin product or by-product derived from animals of a lesser zoosanitary status.

**Example: Pet Food and Treats Certificate (3 of 3)**

**Health Certificate Number:** \_\_\_\_\_

**Page** \_\_\_\_ **of** \_\_\_\_

Official Seal	
	Signature of Official Veterinarian
	Name of Signatory (in capital letters)
	Title of Signatory (in capital letters)

**Notes:**

- 1: "Processed" includes only heat treated and shelf-stable products.
- 2: In the case of multiple pet food manufacturing facilities, please attach addendum with complete list of names and either addresses OR USDA-APHIS approval numbers (if applicable).
- 3: This certificate will be valid for 30 days after veterinary endorsement. Shipments of thermally processed pet food/chews/treats certified under this protocol must arrive at the Canadian port prior to the expiration date.
- 4: The CFIA follows the OIE categorization of countries for BSE Risk Status
- 5: The term SRM refers to Specified Risk Materials of bovine animals originating from countries other than Negligible BSE Risk Status. SRMs include: the skull, brain, trigeminal ganglia, eyes, spinal cord and vertebral column (excluding the vertebrae of the tail, the transverse processes of the thoracic and lumbar vertebrae and the wings of the sacrum), the palatine tonsils from bovine animals aged 30 months and older and the distal ileum from bovine animals of all ages.
- 6: Bovine-origin animal proteins /processed proteins include those bovine-origin products produced in rendering facilities, including animal origin meals such as rendered meals, protein digests, hydrolysates, etc.

**Example: SC-205 – USDA AMS Specialty Crops Program Export Form Certificate**



OMB No. 0581-0143  
Exp. 08/30/2018

SPECIALTY CROPS PROGRAM  
EXPORT FORM CERTIFICATE

### X-EXAMPLE

[illegible]

## Example: PPQ Form 572 - Application for Inspection and Certification of Plants and Plant Products

No Phytosanitary Export Certificate can be issued until an application is completed (7 CFR 353).

FORM APPROVED OMB NO. 0579-0052

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0052. The time required to complete this information collection is estimated to average .25 minutes/hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

<p align="center">U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE</p> <p align="center"><b>APPLICATION FOR INSPECTION AND CERTIFICATION OF DOMESTIC PLANTS AND PLANT PRODUCTS FOR EXPORT</b></p>		<p><b>INSTRUCTIONS: APPLICANT</b> - Forward original to the Officer in Charge where inspections, treatment, and certification will be given (Item 4). Complete items 1 thru 11. <b>OFFICER</b> - Complete items 12 thru 17.</p>	
1. NAME AND ADDRESS OF EXPORTER		3. NAME AND ADDRESS OF APPLICANT ( <i>or exporters agent</i> )	
		AREA CODE AND PHONE NO.	
2. NAME AND ADDRESS OF FOREIGN CONSIGNEE		4. PLACE WHERE ARTICLES WILL BE MADE AVAILABLE FOR INSPECTION AND/OR TREATMENT AND CERTIFICATION ( <i>Port and location</i> )	
		5. APPROX. DATE OF DEPARTURE	6. PORT OF EXPORT
7. DESCRIPTION OF ARTICLES TO BE CERTIFIED			
a.	QUANTITY AND NAME OF PRODUCE AND BOTANICAL NAME		
b.	NUMBER AND DESCRIPTION OF PACKAGES		
c.	DISTINGUISHING MARKS		
d.	CERTIFIED ORIGIN		
8. DECLARED MEANS OF CONVEYANCE		I certify that the origin (place where grown) of the articles listed is as represented.	
9. DECLARED POINT OF ENTRY		10. SIGNATURE ( <i>applicant or exporters agents</i> )	11. DATE
EXPORT INSPECTION DATA - ( <i>To be filled in by Plant Protection and Quarantine Officer</i> )			
12. LOCATION OF ARTICLES		13. % OF MATERIALS EXAMINED	14. % OF MATERIALS INFESTED
15. FINDINGS AND/OR TREATMENT GIVEN ( <i>Use reverse if necessary</i> )			
16. SIGNATURE		17. DATE AND TIME INSPECTED	

PPQ FORM 572  
(APR 2005)

Example: Aquatic Animal Health Export Certificate (1 of 4)

.....

Health Certificate No: \_\_\_\_\_  
(Valid only if USDA Veterinary Seal  
appears over the certificate number)

Page \_\_\_\_ of \_\_\_\_

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Export Certification Requirements for Live Aquatic Animals  
(Finfish/Molluscs/Crustaceans) for Food Service/Retail Use

Zoosanitary Requirements for Cultivated Aquatic Animals:

1. The OIE listed disease(s) listed in Section 4 is/are compulsorily notifiable to the competent authority in the exporting country and/or reports of suspicion of non-OIE diseases of concern are investigated by the competent (federal or state) authority.
2. The aquatic animals to be exported are not under any restriction by the exporting country's competent authority or intended for destruction or slaughter for disease control purposes.
3. The transport water that is used is sourced from the premises of origin of the aquatic animals, or has been treated in a manner that will prevent the introduction of the disease(s) in Section 4.

Federal endorsing official name and address

Date and signature of Federal endorsing official

Official Stamp:

Example: Aquatic Animal Health Export Certificate (2 of 4)

Page \_\_\_\_\_ of \_\_\_\_\_  
Health Certificate No.: \_\_\_\_\_  
(Valid only if USDA Veterinary Seal  
appears over the certificate number)

**AQUATIC ANIMAL HEALTH EXPORT CERTIFICATE**

**LIVE AQUATIC ANIMALS (Finfish/Molluscs/Crustaceans) FOR FOOD SERVICE OR  
RETAIL USE FROM THE UNITED STATES OF AMERICA TO CANADA**

**NOTE: THIS CERTIFICATE IS NOT TO BE USED FOR IN TRANSIT SHIPMENTS**

Import Permit No. \_\_\_\_\_

**SECTION 1 - ORIGIN OF AQUATIC ANIMALS**

State/Territory and Country of Export: \_\_\_\_\_

Issuing Authority: **United States Department of Agriculture (USDA) – Animal Plant Health Inspection Service (APHIS)**

Name and Address of Consignor: \_\_\_\_\_

Name and Address of Premises(s) of Origin: \_\_\_\_\_

**SECTION 2 - DESTINATION OF AQUATIC ANIMALS**

Country of Destination: **CANADA**

Name and Address of Consignee: \_\_\_\_\_

**SECTION 3 - TRANSPORT INFORMATION**

Transport means and conveyance identification: \_\_\_\_\_  
(flight #, etc. )

**SECTION 4 - DESCRIPTION OF CONSIGNMENT**

☐ Cultured<sup>1</sup> ☐ Wild

Species (scientific name)	Common name	Quantity or total weight (kg)	Lot identifier or date code	Lifestage	Disease(s) to which species is susceptible

<sup>1</sup> Cultured refers to the keeping of live aquatic animals with some method of intervention in the rearing process such as feeding, seeding or protecting from predators.

**Example: Aquatic Animal Health Export Certificate (3 of 4)**

Page \_\_\_\_ of \_\_\_\_  
Health Certificate No: \_\_\_\_\_  
(Valid only if USDA Veterinary Seal  
appears over the certificate number)

**PRODUCER'S DECLARATION** for live aquatic animals for food services  
and retail use.

***I. Producer's details***

Name and address of production facility:

***II.  
Animal***

Description of Animal:

Origin of Animal:

Date of export as appears on the packaging or immediate container of the animals:

***III. Animal Management:***

1. For finfish species, the shipment is, to the best of my knowledge, free from visible ectoparasites and intermediate hosts.

2. Either

☐

To the best of my knowledge, only those species specified in the import permit are included in the shipment and the shipment is free from fellow travellers.

OR

☐

For molluscs, if the shipment is not free of fellow travellers, then the shipment has been treated in a manner which will destroy the fellow travellers.

(Check the appropriate  
box)

**Example: Aquatic Animal Health Export Certificate (4 of 4)**

Page \_\_\_\_\_ of \_\_\_\_\_  
Health Certificate No: \_\_\_\_\_  
(Valid only if USDA Veterinary Seal  
appears over the certificate number)

**IV. Packing and Shipping Attestations:**

1. The aquatic animals are packaged in shipping containers, holding units and/or conveyances that are either new or cleaned and disinfected.
2. The shipping containers and/or holding units prevent release of the shipping contents (eg. water or animals) while en route.
3. The aquatic animal(s) being presented for importation are packaged in a manner which prevents contact or exchange of animals or water between different shipments of aquatic animals on the same conveyance.
4. The package was labeled indicating the detailed contents, including the location in which the aquatic animal(s) was born, the taxonomic name of the aquatic animal(s), whether the aquatic animal(s) was(were) wild or farmed, and the number or biomass of the aquatic animal(s) in the shipment.

Signed

Date

Position within the Company:

Name and address of Company employee:

**Example: LPS-234 USDA Processed Eggs and Egg Products Export Certificate**

OMB APPROVED: NO. 0581-NEW

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
LIVESTOCK, POULTRY, AND SEED PROGRAM

## USDA PROCESSED EGG AND EGG PRODUCTS EXPORT CERTIFICATE

NAME AND ADDRESS OF CONSIGNOR/EXPORTER:  SAMPLE	CERTIFICATE NUMBER:  SAMPLE
	COMPETENT AUTHORITY:  SAMPLE

NAME AND ADDRESS OF CONSIGNEE/IMPORTER:

## SAMPLE

STATE OF ORIGIN:

## SAMPLE

COUNTRY OF DESTINATION:

## SAMPLE

PLACE OF LOADING:

## SAMPLE

MEANS OF TRANSPORT:  SAMPLE	DECLARED POINT OF ENTRY:  SAMPLE	
CONDITIONS OF TRANSPORT/STORAGE:  SAMPLE		
PRODUCER/MANUFACTURER:  SAMPLE	DATE OF PACKING:  SAMPLE	TYPE OF PACKING:  SAMPLE
NAME OF PRODUCTS:  SAMPLE	NUMBER OF PACKAGES:  SAMPLE	NET WEIGHT:  SAMPLE

**ATTESTATIONS:**

## SAMPLE

### CERTIFICATION

DATE: SAMPLE	PRINTED NAME: SAMPLE
	SIGNATURE:

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0NFW. The time required to complete this information collection is estimated to average 12 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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**LPS-234 (12-2016)**

## Example: Application for Approval of Labels

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0553-0052. The time required to complete this information collection is estimated to average 75 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This form has been approved by OMB for web distribution.

PAGE 1 of 1

<p>U.S. DEPARTMENT OF AGRICULTURE FOOD SAFETY AND INSPECTION SERVICE</p> <p style="text-align: center;"><b>APPLICATION FOR APPROVAL OF LABELS, MARKING OR DEVICE</b></p> <p>FSIS has determined that information provided in items 11, 15, and 16 is exempt from mandatory disclosure under the Freedom of Information Act 5 U.S.C. 552(b)(4).</p> <p><b>APPLICANT: See Page 5 for instructions.</b></p>	<p>1. AGENT NAME, ADDRESS, TELEPHONE NO. (If using an Agent, complete this block, otherwise leave blank.)</p>	<p>2. FOR USDA USE ONLY</p>	<p>3. FOR USDA USE ONLY</p>	<p>4. ESTABLISHMENT NO. / FOREIGN COUNTRY (If applicable)</p>
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4a. TYPE OF PRODUCT

<input type="checkbox"/> Egg	<input type="checkbox"/> Meat
<input type="checkbox"/> Poultry	<input type="checkbox"/> Other

5a. NAME OF PRODUCT

5b. HACCP PROCESS CATEGORY (Select one)

☐ 03J: Slaughter - all species

☐ 03B: Raw Product - ground

☐ 03C: Raw Product - not ground

☐ 03D: Thermally processed - commercially sterile

☐ 03E: Not heat treated - shelf stable

☐ 03F: Heat treated - shelf stable

☐ 03G: Fully cooked - not shelf stable

☐ 03H: Heat treated but not fully cooked - not shelf stable

☐ 03I: Product with secondary inhibitors - not shelf stable

6a. TYPE OF APPROVAL REQUESTED

☐ SKETCH ☐ TEMPORARY

☐ EXTENSION OF TEMPORARY

6b. WAS THE LABEL PREVIOUSLY APPROVED?

☐ YES → Date of approval: \_\_\_\_\_

☐ NO Prior approval number: \_\_\_\_\_

Number of labels on hand: \_\_\_\_\_

Number of days requested: \_\_\_\_\_

7a. AREA OF PRINCIPAL DISPLAY PANEL (Square inches)

7b. TOTAL AVAILABLE LABELING SPACE FOR ENTIRE PACKAGE (Square inches)

8. Does this label include a "USDA-AMS Child Nutrition Program CN-Logo?" ☐ Yes ☐ No

9. (FOR USDA-AMS USE ONLY) CN Identification Number Assigned

10. Are there any special claims, guarantees, or foreign language on the label? ☐ YES ☐ NO (If yes, check all that apply)

<input type="checkbox"/> Allergen Statements <input type="checkbox"/> Animal Production/Breed/Raising <input type="checkbox"/> Certified/Verified <input type="checkbox"/> Environmental/Green <input type="checkbox"/> Export Only Labels w/deviations from Domestic Requirements <input type="checkbox"/> Foreign Language <input type="checkbox"/> Geographic/Undefined Style	<input type="checkbox"/> Grading Terms <input type="checkbox"/> Guarantees <input type="checkbox"/> Natural/Organic <input type="checkbox"/> Nutrition/Health <input type="checkbox"/> Religious Exemption	<input type="checkbox"/> Other Claims: Specify
--	--	--

11. NAME AND ADDRESS OF FIRM (Below and between dots)

12. SIGNATURE OF APPLICANT OR AGENT

13. DATE

14. (FOR USDA USE ONLY) CONDITIONS APPLYING TO USE OF LABELS OR DEVICE

## **Glossary of Acronyms**

AMS – Agricultural Marketing Service of USDA  
AIRS – Automated Import Reference System  
APHIS – Animal and Plant Health Inspection Service of USDA  
CBP – Bureau of Customs and Border Protection  
CBSA – Canada Border Service Agency  
CFIA – Canadian Food Inspection Agency  
COPR – Canada Organic Products Regulations  
EV – Export Verification  
FDA – Food and Drug Administration  
FSIS – Food Safety and Inspection Service of USDA  
HACCP – Hazard Analysis and Critical Control Point  
NAFTA – North American Free Trade Agreement  
NOAA – National Oceanic and Atmospheric Administration  
NOP – National Organic Program  
PPQ – Plant Protection and Quarantine  
RTE – Ready-To-Eat  
SFCA – Safe Food for Canadians Act  
SFCR – Safe Food for Canadians Regulations  
SRTG – State Regional Trade Groups  
USCOEA – United States-Canada Organic Equivalence Arrangement

**Attachments:**

No Attachments