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**Export Certificate Report** 

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### **Report Highlights:**

This report highlights certification requirements for food and agricultural products exported to Canada and supplements the FAIRS Country Report CA2021-0038.

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This report was prepared by the Ottawa Office of Agricultural Affairs for U.S. exporters of domestic food and agricultural products. While every possible care has been taken in the preparation of this report, information provided may not be completely accurate either because policies have changed since its preparation, or because clear and consistent information about these policies was not available. It is highly recommended that U.S. exporters verify the full set of import requirements with their foreign customers, who are normally best equipped to research such matters with local authorities, before any goods are shipped. FINAL IMPORT APPROVAL OF ANY PRODUCTS IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY.

#### **Executive Summary**

This report highlights export certificates issued by U.S. government authorities to comply with Canadian import standards and regulations. It is an update to the 2018 Food and Agricultural Import Regulations and Standards (FAIRS) Export Certificate Report. This is not intended as a compendium of Canadian import requirements, but rather as a supplement to the most recent FAIRS Country Report CA2021-0038. While every possible care was taken in the preparation of this report, this information is provided only as guidance, because requirements may have changed since this report's preparation, or because clear and consistent information about these requirements was not available.

FAS/Canada strongly recommends U.S. exporters verify the full set of import requirements through the Canadian Food Inspection Agency's <u>Automated Import Reference System (AIRS)</u>. As a good business practice, U.S. companies should consult with their Canadian customers to ensure products meet Canadian import requirements. As a caveat, final import approval of any product is subject to the importing country's rules and regulations as interpreted by border officials at the time of product entry.

# **Section I. Export Certificate Matrix:**

Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
All U.S	Certification of Origin	To claim preferential	N/A	Canada Border
Origin		tariff treatment		Services
	[Note: The United States-Mexico-		Importer,	Agency
•	Canada Agreement (USMCA)		Exporter, or	(CBSA)
	does not follow a prescribed		Producer can	
Treatment Under	format.]		make the certification	
USMCA			cermication	
Meat and	Certificate for Export of Meat and	Product Safety and	Food Safety	Canadian Food
Poultry	Poultry Products to Canada	Wholesomeness		Inspection
			Service	Agency
	Form <u>9135-3 and 9135-3A</u>		(FSIS)	(CFIA)
		Product Safety and	FDA	CFIA
Products of	(FDA) Center for Food Safety	Wholesomeness		
Meat Origin	and Applied Nutrition (CFSAN)  Certificate to a Foreign			
(Broth,	Government			
Flavor, or				
Extract)	<u>CFSAN eCATS</u>			
	Egg Products Export Certificate of Wholesomeness	Wholesomeness	FSIS	CFIA
	Form 9060-5EP			
Egg Products	Letterhead Certificate for Unpasteurized Eggs	Veterinary Health Attestation	FSIS	CFIA
	Letterhead Certificate for Pasteurized Eggs			

Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
	Processed Egg and Egg Products Export Verification Certificate  LPS-234	Wholesomeness	AMS	CFIA
Bison (Buffalo)	USDA Beef Export Verification (EV) Program Product Conformity Attestation on Shipping Documentation	_	Agricultural Marketing Service (AMS)	CFIA
Pet Food	Veterinary Export Certificate for Heat-Processed, Shelf-Stable Pet Foods, Treats & Compound Chews	and veterinary health attestations		CFIA
Pet Food	Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from a Bovinae Approved Facility to Canada	and veterinary health attestations	Animal and Plant Health Inspection Service (APHIS)	CFIA
Vegetables	Specialty Crops Program (SC) Export Form Certificate Forms SC-205 and SC-205-1	Grading and Quality	AMS	CFIA
Live Animals, Honeybees	Animal Health Export Certificate  VS Form 16-4	Animal Health	APHIS	CFIA

Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
Plant and	Phytosanitary Certificate	,	APHIS	CFIA
Plant		Freedom from Pests		
Products	PPQ Form 572			
	PPQ Form 577			
	PPQ Form 578			
	PPQ Form 579			
Fish and	National Oceanic and	Sanitation, Grading,	NOAA,	CFIA, CBSA,
Seafood	Atmospheric Administration	Food Safety,	APHIS, FWS	Environment
	(NOAA) Seafood	Wholesomeness,		and Climate
	Inspection Program	Animal Health,		Change Canada
		Eligibility of Species		(ECCC)
	APHIS Aquatic Animal Health			
	Export Certificate			
	Fish and Wildlife			
	Service (FWS) Export Permit			
Other Foods	FDA Certificate of Exportability	Product Meets U.S.	FDA	CFIA
		Food, Drug and		
	CFSAN eCATS	Cosmetic		
		Act Requirements		

## Section II. Purpose and Type of Export Certificates

### A. All Products: USMCA Certification of Origin

The United States-Mexico-Canada Agreement (USMCA) is a trilateral agreement among Canada, Mexico, and the United States under which most products enter into Canada duty free. To obtain this preferential treatment, a product must be accompanied by a certification of origin made by the importer, exporter or the producer of the product. U.S. exporters must validate that the food products they are shipping to Canada qualify for duty free treatment. All exporters may review treatment of their products under USMCA by locating the specific rules of origin treatments, which are described by chapter starting on page 18 of Annex 4-B of the USMCA text.

While the certification of origin need not follow a prescribed format, each certification must include nine data elements specified in USMCA Annex 5-A (see page 16 of USMCA Chapter 5). The certification of origin may be completed and submitted electronically and may be signed with an electronic or digital signature.

For multiple shipments of identical goods, shippers may include a previously signed certification of origin for a "<u>blanket period</u>" of up to 12 months. Additional guidance and information is available on the U.S. Customs and Border Protection <u>website</u>. USMCA-related questions and concerns can be addressed to Customs and Border Protection at <u>USMCA@CBP.DHS.gov</u>

The U.S. Census Bureau recommends that certifiers identifying more than the first six digits of an HS code on their certification documentation should use the Canadian HS codes, which can be found on this <u>CBSA webpage</u> or CFIA <u>AIRS</u>. While the first six digits of an HS code are the same for Canada, Mexico and the United States, the last four to six digits are unique to each country and will vary slightly from the U.S. codes. Since U.S. export shipments are inspected by the CBSA, it is important for U.S. companies to use Canadian HS codes to minimize delays or misinterpretations at the border.

Here are some tips for U.S. certifiers when generating a USMCA certification of origin:

- *Tip*: The HS tariff schedule is set up where the less processed foods like fresh foods are listed in the earlier chapters and the more processed foods are listed in the later chapters (e.g., vegetables are in chapter 7 and cereal preparations are in chapter 19).
- *Tip*: If required to provide an 8-12 digit classification number, then identify and enter the **Canadian Tariff Classification Number**. **Do not** use the U.S. 8-12 digit classification number.
- *Tip*: U.S. companies exporting goods eligible for preferential tariff treatment to Canada should contact their export sales partners to confirm the certification of origin procedure to be used under USMCA.
- *Tip*: U.S. exporters should review trade partners' certification of origin documents to ensure the data provided meets USMCA requirements.

Assistance is available through local <u>State Departments of Agriculture</u> through agricultural <u>State Regional Trade Groups</u> (SRTGs). Exporters in the Food Export USA SRTG regions may <u>contact the Food Export helpline</u>.

#### **B.** Meat, Poultry and Processed Egg Products

The USDA's Food Safety and Inspection Service (FSIS) is responsible for certification of all fresh, frozen and packaged meat, poultry and processed egg products. More information on the export requirements for Canada are available <a href="here">here</a>.

#### **B.1. Meat and Poultry**

The USDA Food Safety and Inspection Service (FSIS) is responsible for certification of meat, poultry and processed egg products. Exporters are encouraged to confirm product export requirements on the FSIS Export Library webpage for <u>meat and poultry products</u>, which contain information on eligible and ineligible products for certification, as well as slaughter, processing, labelling, marking and all other documentation requirements.

FSIS Forms 9135-3 and 9135-3A, Official Meat Inspection Certificate for Export of Meat and Poultry Products to Canada, certify that U.S. meat and poultry exports to Canada meet the eligibility, safety and wholesomeness requirements laid out in the Safe Food for Canadians Regulations for imports of meat and poultry from the United States. Additional information can be found on the FSIS Procedures, Requirements & Exporter Responsibilities for Canada webpage. (Note: FSIS Forms 9135-3 and 9135-3A must be signed by an official Veterinarian.)

• *Tip:* Your shipment may be detained, returned or destroyed by CBSA if the shipment export certificate number (on the original export certificate) does not match the number used on the export certificate stickers adhered to individual containers.

#### **B.2.** Certain Products of Meat Origin

On May 14, 2020, CFIA published guidance establishing the use of an FDA CFSAN Certificate to a Foreign Government for broths, flavors or extracts of meat origin from the United States. Previously, broths, flavors, or extracts of meat origin exported to Canada from the United States generally required an FSIS export certificate or, for products from an FDA-regulated facility, a CFIA Letter of Exemption or an Importer Attestation. CFIA will continue to accept these Letters and Attestations through October 31, 2020, after which date CFIA will require with an FSIS export certificate or a CFG. Please see FAS/Canada GAIN Report CA2020-0054 for additional information, including a list of product HS codes to which this certificate applies.

#### **B.3. Meat and Poultry Label Verification**

Exporters of meat and poultry products should read the <u>Labeling Requirements</u> section of the Export Library closely. Certain labels applied to U.S. meat and poultry exports must be approved by FSIS. Labels that deviate from U.S. domestic requirements must be submitted, along with supporting documentation verifying Canadian government acceptance of the deviation, to the FSIS Labeling and Program Delivery Staff (LPDS). LPDS recommends U.S. exporters obtain an e-authentication account

and process label approval requests through the online <u>Label Submission and Approval System (LSAS)</u>. However, paper applications for label approval submissions (<u>FSIS Form 7234-1</u>) may be mailed to:

#### USDA, FSIS, OPPD, LPDD

Labeling Distribution Unit Stop Code 3786, Patriots Plaza III, 8-168 1400 Independence Ave, SW Washington, DC 20250-3700

For additional information, please contact LPDS at 1-800-233-3935 or visit askFSIS.

#### **B.4. Eggs and Processed Egg Products**

Eggs and egg products are import controlled products and subject to a tariff rate quota (TRQs) system in Canada. U.S. exporters must work with a Canadian importer that has available 'quota' to legally import eggs. Requirements for U.S. egg product exports to Canada may vary from state to state, and U.S. producers are urged to review the requirements before shipping. FSIS inspectors issue an Egg Product Export Certificate of Wholesomeness (FSIS Form 9060-5EP) for each export shipment.

For processed products containing small amounts of egg products (such as imitation egg products, frozen egg patties, and frozen omelets) manufacturers may voluntarily participate in the <u>Processed Egg and Egg Products Export Verification (PEEPEV) Program</u> operated by the USDA Agricultural Marketing Service (AMS). The USDA processed egg and egg products export certificate (Form LPS-234) is used to verify that the processed egg products and hard-cooked eggs are wholesome for export. A list of companies approved to export these products to Canada is available <a href="here">here</a>.

For questions concerning shipments of FDA-regulated products containing eggs that were previously certified by FSIS, contact FSIS at 1-855-444-9904 or by e-mail at importexport@fsis.usda.gov.

### **B.5. Ready-to-Eat Products - EV Program**

This program was initially established for closed-faced sandwiches exported to Canada. Canadian requirements dictate that closed-faced sandwiches be produced under a Hazard Analysis and Critical Control Point (HACCP) and *Listeria monocytogenes* control plans. Only those manufacturing establishments participating in this EV program are eligible to export to Canada. For more information on the EV program, please refer to the Microbiological Testing Program for RTE products.

#### C. Bison - USDA Export Verification (EV) Program

Meat and meat products derived from bison (or buffalo) are eligible for export to Canada. However, Canada applies the same bovine spongiform encephalopathy (BSE) requirements for these products as applied for beef and beef products. Since U.S. BSE regulations do not apply to bison, meat and meat products derived from bison must be raised and produced under an approved AMS Export Verification (EV) program. Only those suppliers meeting the requirements of the EV program are eligible to export specified products to Canada. Additional information about the EV program and a list of EV approved establishments can be found on the AMS website.

#### D. Pet Foods

# D.1. Veterinary Export Certificate for Processed, Shelf-Stable Pet Foods, Treats, and Compound Chews

As of July 1, 2016, all export shipments of U.S.-origin, heat-processed, shelf-stable pet foods, treats, and compound must be certified for export to Canada by Animal and Plant Health Inspection Service (APHIS) Veterinary Services (VS) on the basis of APHIS inspection and approval of the manufacturing facilities. For the latest requirements, restrictions and certificates for APHIS-regulated pet foods exported to Canada, please visit <u>APHIS International Regulations (IRegs) site</u> including specific requirements for <u>thermally processed products</u>.

# D.2. Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from a Bovinae Approved Facility to Canada

CFIA defines biologically appropriate raw food (BARF) diets as "biologically appropriate raw food or bones and raw food diets." A typical BARF diet is made up of 60-80 percent raw meaty bones, that is bones with about 50 percent meat (e.g., chicken necks, backs, and wings) and 20-40 percent fruits and vegetables, offal, meat, eggs, or dairy. CFIA requires all facilities involved in the production of raw, unprocessed BARF pet foods or diets to be inspected and approved by APHIS VS prior to issuance of the APHIS certificate and export to Canada. For more information on how to obtain facility approval, U.S. companies should contact their <u>local VS Service Center</u>.

For more information on the procedures and requirements for exporting animal products, or to obtain a zoosanitary certificate for an animal product, exporters should contact the <u>VS Field Office</u> covering the area from which the product will be exported, the area in which the exporter office is located, or the area in which the product is manufactured.

#### E. Fresh Fruit and Vegetable - Inspection Certificate

All fruits and vegetables imported into Canada must meet the requirements of the <u>Safe Food for Canadians Regulations</u>. Apples, onions and potatoes require an inspection certificate indicating minimum Canadian import requirements for quality, labelling, and packaging are met. However, there

could be phytosanitary/plant health certificate requirements as well, depending on the commodity, state of origin, and provincial destination. More information on the requirements for importing fruits and vegetables is available on this <a href="CFIA website">CFIA website</a> and the <a href="AMS export verification and certificate website">AMS export verification and certificate website</a>. Please contact the nearest <a href="Specialty Crops Inspection Division field office">Specialty Crops Inspection Division field office</a> for more information or to request an export certificate.

The importation of leafy green vegetables from the United States are limited to those growers and suppliers that have met the Leafy Green Good Agricultural Practices (GAP) food safety guidelines and are a signatory to the California Leafy Green Products Handler Marketing Agreement. Import requirements for U.S. leafy greens are on <a href="mailto:this CFIA webpage">this CFIA webpage</a>.

Information on exemptions from U.S. inspection and certification under the Export Apple Act for U.S. apple shipments to Canada weighing more than 100 pounds can be found <a href="here">here</a>. Please note that such shipments would still have to be inspected and certified to the requirements (if any) of the Ministerial Exemption under which the import shipment would occur.

### F. Live Animals and Live Reproductive Organisms - Animal Health Export Certificate

APHIS Veterinary Services (VS) issues health certificates certifying the herd and animal health status for live animals and live reproductive organisms exported from the United States. Depending on the type of animal, additional tests and vaccinations may be required.

Visit the <u>APHIS IRegs website</u> for the most up-to-date information on export requirements and restrictions related to live animal exports to Canada.

#### Travelling with and Shipment of Pets to Canada

Although the scope of this report is largely focused on commercial shipments, FAS/Canada periodically receives calls from U.S. visitors traveling with or shipping their pets to Canada. While, certification is not required for cats or dogs, CBSA may request vaccination records. In addition, inspection fees and quarantine may be required for certain pets. Visitors are encouraged to work closely with their veterinarian to ensure necessary documents are prepared before traveling. The latest information related to pets entering Canada is on the CFIA webpage.

#### G. Plant and Plant Products Phytosanitary Certificate

APHIS Plant Protection and Quarantine (PPQ) is responsible for issuing the phytosanitary certificate attesting that plants or plant products are free from quarantine pests, practically free from other injurious pests, and conform to the current phytosanitary regulations of Canada. For more information, please visit the <u>APHIS PPQ export website</u> and the <u>Phytosanitary Export Database</u> (PExD).

## **Section III. Specific Attestations Required on Export Certificates**

U.S. exporters are recommended to first contact their <u>local State Department of Agriculture</u>. U.S. food and agricultural companies should be aware there may be user fees on certain commercial shipments. Please check with the respective authorizing government office. See below for the nearest contact.

Name of Certificate	Issuing Authority	U.S. Contact
Certificate for Export of Meat and Poultry	FSIS	Food Safety and Inspection Service District Offices
Products to Canada		Email: MPHotline.fsis@usda.gov
Troducts to Canada		Phone: 1-888-674-6854
Export Certificate -	AMS	Export Verification Program
Bison EV Program		AMS Quality Assurance Division
		E-mail: QAD.BusinessOps@ams.usda.gov
		Phone: 501-312-2962
Export Certificate - RTE EV Program	AMS	AMS Microbiological Testing Program
		National Science Laboratories
		E-
		mail: NationalScienceLaboratories@ams.usda.gov
		Phone: 704-867-3873
Export Certificate -	AMS	List of Plants Approved to Export Table Eggs to
Table Eggs Program &		<u>Canada</u>
Export Certificate -	AMS	The Processed Egg and Egg Products Export
PEEPEV Program	1 21.20	Verification (PEEPEV) Program
		Quality Assessment Division, Auditing
		EV.Export@usda.gov
		Phone: 501-766-4782
National Organic Program	AMS	National Organic Program Staff Directory

Name of Certificate	Issuing Authority	U.S. Contact
Export Certificate - Fresh Fruit and Vegetable Inspection Certificate	AMS	Specialty Crops Inspection Contacts
Animal Health Export Certificate VS Form 16-4	APHIS	APHIS IRegs  Veterinary Services Service Center Directory Animal Exports Call Center: 301-851-3300 Animals Products: asknies.products@usda.gov Live Animals: VS-Live.Animals Import.Permits@aphis.usda.gov
Phytosanitary Certificate for Plants and Plant Products	APHIS	Plant Export Information  Email: PPQExportServices@usda.gov  Phone: 301-851-2309
National Oceanic and Atmospheric Administration (NOAA) Inspection Certificate	NOAA	NOAA Regional Offices Directory
U.S. Food Drug Agency (FDA) Certificate of Exportability	FDA	FDA Export Certification Contact List  Email: CFSANExportCertification@fda.hhs.gov Phone: 240-402-2307

## Section IV. Government Certificate Legal Entry Requirements

## A. All Products: USMCA Certification of Origin

The USMCA Certification of Origin is required to be submitted to CBSA at the time of import notification (at least 1 hour (highway carriers), 2 hours (rail carriers), 4 hours (air carriers), or 24 hours (marine carriers) in advance). The USMCA certification of origin can be applied to multiple shipments of identical for a period of up to 12 months. The certification of origin can be supplied by an importer,

exporter, or producer. Shipments with an estimated duty value of \$3,300 CAD or less <u>do not require</u> a USMCA certification of origin.

#### B. Meat, Poultry and Processed Egg Products

See Section II B.

#### C. Bison – USDA Export Verification (EV) Program

See Section II C. Shipping documentation (bills of lading, shipping manifests, or letters of guarantee) must contain the following information:

- Clearly identified product type and quantity
- One of the following applicable statements:
  - o "Product Meets EV Program Requirements for Canada and for the EU" for finished items eligible for export;
  - "Product Meets EV Program Requirements for Canada and for the EU excluding final fabrication requirements" for products that must be further fabricated to meet all requirements prior to being eligible to export.

#### D. Pet Foods

# D.1. Veterinary Export Certificate for Heat-Processed, Shelf-Stable Pet Foods, Treats, and Compound Chews

As the APHIS Veterinary Certificate for Heat-Processed, Shelf-Stable Pet Foods, Treats, and Compound Chews can cover multiple shipments within a 30-day window, original copies of the export certificate are not required upon entry. Every shipment should be accompanied by a copy of the endorsed export certificate. Each shipment imported into Canada with a USA Multiple Shipment Export Certificates must be accompanied by an <a href="Importer's Statement of Compliance">Importer's Statement of Compliance</a>, containing the shipment specific information. Import conditions and the information required on the Importer's Statement of Compliance can be found in the CFIA's <a href="Import Policy for Pet food and Treats Containing Animal Products and By-Products">Import Policy for Pet food and Treats Containing Animal Products and By-Products</a>.

# D.2. Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from a Bovinae Approved Facility to Canada

For APHIS Veterinary Services to certify U.S.-origin pet foods using the Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from a Bovinae Approved Facility to Canada:

- The exporter must present a valid, current <u>import permit</u> issued by CFIA allowing entry of the products to be exported;
- If the exporter is not the approved manufacturing facility, the exporter must provide documentation to show that the pet foods for export were manufactured in facilities approved by APHIS/VS; and

• The VS Area Office must verify that the manufacturing facility (ies) has/have been approved by VS and are listed in the APHIS internal database as being approved to export the pertinent product to Canada with a last validated inspection date in the previous 365 days.

#### E. Fresh Fruit and Vegetable - Inspection Certificate

Specific requirements for importation of U.S. potatoes, apples and onions are explained on this CFIA website. Generally, these products must be accompanied by an AMS-issued serially numbered certificate including the language "Meets Canadian Import Requirements for Grades, Packaging, Labelling and Standard Container Size." Shipments must be accompanied at the Canadian port of entry by an original serially numbered certificate or evidence in the form of a facsimile or a copy of an email message issued by AMS establishing that Canadian requirements for grades, packaging, and labelling are met.

#### F. Live Animals and Live Reproductive Organisms - Animal Health Export Certificate

For all commodities other than Aquaculture / Aquatic Animals to Canada, USDA Accredited Veterinarians may issue health certificates electronically through the online Veterinary Export Health Certification System (VEHCS). APHIS-endorsed, printed paper copies of appropriate export certificates and required additional forms must accompany the shipment at port of entry. Certain animals may only pass through certain ports of entry. For animal-specific requirements, exporters are encouraged to consult the APHIS IRegs website.

#### G. Plant and Plant Products Phytosanitary Certificate

Authorized certifying officials at the county, state or federal level may sign required phytosanitary certificates to accompany shipments of U.S. plants and plant materials to Canada. Exporters should consult the APHIS <a href="Phytosanitary Export Database">Phytosanitary Export Database</a> (PExD) and CFIA <a href="AIRS">AIRS</a> system to confirm the latest requirements for products to be exported.

#### Section V. Other Export Certification/Accreditation Requirements

#### A. NOAA Inspection Certificate

The National Oceanic and Atmospheric Administration (NOAA) <u>Seafood Inspection Program</u> offers a variety of professional inspection services that ensure compliance with all applicable food regulations. In addition, product quality evaluation, grading and <u>certification services</u> on a product lot basis are provided. Benefits of obtaining a certificate include the ability to apply official marks, such as the U.S. Grade A, Processed Under Federal Inspection (PUFI) and Lot Inspection. Additional information on certification requirements for Canada is on <u>this NOAA webpage</u>.

#### B. Products Regulated by the Food and Drug Administration

The U.S. Food and Drug Administration issues a Certificate of Exportability for some products destined for Canada, including food additives, supplements, infant formula and medical foods. Some of these certificates are on a voluntary basis. They generally indicate that the product is marketed in the United States and eligible for export. Such certificates are neither guarantees nor a certification of the product's safety nor its quality. Certificates of Exportability are issued at the request of a U.S. company by the FDA Center for Food Safety and Applied Nutrition (CFSAN) or by a state governmental authority.

For more information, contact <u>export certification offices within CFSAN</u> and visit the <u>FDA export</u> webpage.

#### C. Organic





On June 17, 2009, the United States and Canada <u>jointly announced</u> an organic equivalence arrangement, the first one of its kind. The equivalence arrangement followed a review by both nations of the other's organic certification program and a determination that products meeting the standard in the United States can be sold as organic in Canada, and vice versa.

Producers and processors that are certified to the <u>USDA National Organic Program</u> (NOP) standards by a USDA-accredited certifying agent do not have to become separately certified to the <u>Canadian Organic Standards</u> (COS) in order for their products to be represented as organic in Canada. Likewise, Canadian organic products certified to COS may be sold or labeled in the United States as organically produced. Both the USDA Organic seal and the Canada Organic/Biologique logo can be used on certified products from both countries. More information on the <u>equivalence arrangement</u> can be found on the <u>CFIA Organic Products webpage</u>. Information on specific requirements for U.S. organic products exported to Canada can be found on <u>this AMS webpage</u>.

U.S. firms are reminded that the import and sale of organic food products in Canada are governed by the same rules and regulations that apply to non-organic food products. No distinction is made

between organic and non-organic food with regard to import requirements. Currently, all Canadian packaging and labeling, grade, and inspection regulations apply equally to organic and non-organic foods. Organic claims are required to be printed in English and French.

# **Appendix I. Electronic Copy of Selected Export Certificates**

# **Example: FSIS Form 9135-3, Certificate for Export of Meat and Poultry Products**

CONSIGNOR			CERTIFICATE NO.	
			US-CA-2017-	
			U.S. Department of A Food Safety and Inspec	griculture tion Service
CONSIGNEE/IMPORTER				
			CERTIFICATE FOR EXPO	
ORIGIN COUNTRY U.S.A.	PORT OF L	OADING	EXPORT ESTABLISHMENT: (Establishm	ent Numberj
DESTINATION				
	CANADA			
MEANS OF TRANSPORT				
SHIPPING MARKS	NUMBER/ KIND OF PACKAGES	EST. / PLANT NUMBER ON PRODUCT	PRODUCT DESCRIPTION SPECIES LABEL APPROVAL NUMBER	NET WEIGHT (Check one and enter net weight)
	-			
USDA SEAL NUMBER (S) (IF A	nolinable)			
none sevr unwater (a) Is y	agricultury .			
REMARKS				
2002AVAII.				
		Facility of the Control of the Control	hanne in from animals that soul and b	ath automotors
and postmortem insp provided by law and	ection and were regulations of the	found sound and h Department and is	hereon is from animals that received be ealthy and that it has been inspected a s sound and wholesome, and / or	nd passed as
antemortem and pos	tmortem inspecti	on and passed in a	d above came from birds that were offic coordance with applicable laws and re- ome and fit for human consumption.	sially given an gulations of the
BY ORDER OF THE SECRETA	ARY OF	NAME OF OFFICIAL (7	(yped)	DATE SIGNED
AGRICULTURE		SIGNATURE OF THE C	FFICIAL.	

# **Example: Pet Food and Treats Certificate** $(1 \ of \ 3)$

	Page of
CONTAINING ANIMAL PRODUCTS AND I STATES OF AM	FOR PROCESSED <sup>1</sup> PET FOOD/CHEWS/TREAT BY-PRODUCTS EXPORTED FROM THE UNIT MERICA TO CANADA
Consignor (Name and Address):	Consignee (Name and Address):
Processing Plant (Name and Address) and USDA-APHIS approval number if applicable <sup>2</sup> :	Description of product(s):  Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (e.g., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight).  Pet foods and/or pet treats with non-exempt bovine-origin ingredients.
Intended end use :	RESALE
Port of Entry:	Any Canadian Port of entry
Date of Endorsement: mm/dd/yyyy	Date of Certificate Expiration <sup>3</sup> : mm/dd/yyyy
Means of transportation:	□ Truck □ Rail

# **Example: Pet Food and Treats Certificate** (2 of 3)

	Page of
I, th	e undersigned Official Veterinarian of the Animal and Plant Health Inspection Service (APHIS), certify that this office on file a valid APHIS approval(s) of the manufacturing facility(ies) to verify the accuracy of the statements below:
1.	The certified pet food and/or pet treats were processed in a facility or facilities located in the USA or Canada and authorized by the official competent veterinary authority in the country of origin to manufacture pet food containing animal products and by-products. <sup>2</sup>
2.	The certified pet foods and/or pet treats in the shipment may include the following [check all that apply]:
	<ul> <li>□ Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (e.g., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight).</li> <li>□ Pet foods and/or pet treats with non-exempt bovine-origin ingredients.</li> </ul>
3.	In the case of pet foods or pet treats containing tallow, the tallow used is protein-free with a maximum level of insoluble impurities of 0.15% by weight.
4.	In the case of pet foods or pet treats containing bovine meat, offal, or any bovine ingredients other than exempt ingredients, they meet the following requirements:
	Bovine-origin raw materials [meat/offals]:
	i. were harvested in the United States from U.Sorigin bovine or legally imported bovine that:
	were presented live for slaughter AND
	<ul> <li>were not subjected to a stunning process prior to slaughter, with a device injecting compressed air or gas into the cranial cavity, or to a pithing process, and were humanely rendered unconscious prior to being bled</li> </ul>
AN	D/OR [check all that apply]:
	ii. Were legally imported from a country or countries recognized by the CFIA to be of:
	☐ Negligible BSE Risk Status <sup>4</sup> OR
	☐ Controlled BSE Risk Status [Insert name of country or countries]:
	and that:
	1 NID
	<ul> <li>do not contain AND</li> <li>have not been contaminated with bovine specified risk materials (SRMs)<sup>5</sup>.</li> </ul>
	<ul> <li>All bovine-origin rendered protein materials<sup>6</sup> originate only from countries of Negligible BSE Risk Status.</li> </ul>
5.	The certified pet foods and/or pet treats were sufficiently heat processed to ensure the destruction of pathogens that represent a risk to public or animal health.
6.	Precautions were taken to prevent cross-contamination of the finished products with any animal origin product or by- product derived from animals of a lesser zoosanitary status.

#### **Example: Pet Food and Treats Certificate** (3 of 3)

	Health Certificate Number: Page of
Official Seal	Signature of Official Veterinarian
	Name of Signatory (in capital letters)
	Title of Signatory (in capital letters)

#### Notes:

- 1: "Processed" includes only heat treated and shelf-stable products.
- 2: In the case of multiple pet food manufacturing facilities, please attach addendum with complete list of names and either addresses OR USDA-APHIS approval numbers (if applicable).
- 3: This certificate will be valid for 30 days after veterinary endorsement. Shipments of thermally processed pet food/chews/treats certified under this protocol must arrive at the Canadian port prior to the expiration date.
- 4: The CFIA follows the OIE categorization of countries for BSE Risk Status
- 5: The term SRM refers to Specified Risk Materials of bovine animals originating from countries other than Negligible BSE Risk Status. SRMs include: the skull, brain, trigeminal ganglia, eyes, spinal cord and vertebral column (excluding the vertebrae of the tail, the transverse processes of the thoracic and lumbar vertebrae and the wings of the sacrum), the palatine tonsils from bovine animals aged 30 months and older and the distal ileum from bovine animals of all ages.
- 6: Bovine-origin animal proteins /processed proteins include those bovineorigin products produced in rendering facilities, including animal origin meals such as rendered meals, protein digests, hydrolysates, etc.

## **Example: SC-205 – USDA AMS Specialty Crops Program Export Form Certificate**



OMB No. 0681-0143 Exp. 09/30/2019

# SPECIALTY CROPS PROGRAM EXPORT FORM CERTIFICATE

X-EXAMPLE

service (CSP). It accompany ships SHIPMENTS to (	Electronically scanned vi ment and is not loaded to CANADA: The original of	ersion must be submi c CBP's ACE system, of this certificate must	tied with entry docume the shipment may be accompany regulated	ints to CBP's Automated Commercial Edenled exit from U.S. Commerce or pla	ta Customs for entry. If the original does not ac	not
	person who knowingly soment for not more than		ue, aiter, forge, or cour	nterfeit this certificate, or participate in a	any such actions, is subject to a fine of not more	than
DATE CERTIFICATE ISSUED	M M	0 0	Y . Y	COUNTRY OF DESTINATION:		
APPLICANT/ SHIPPER:				CITY/STATE:		
RECEIVER:				CITY/PROVINCE/ COUNTRY:	<b>A</b>	
CARRIER PLI No:	RID:		30	CERTIFICATE/ WORKSHEET NUMBER:		
PROD		MBER AND TYPE F CONTAINERS	0	DESCRIPTION OF PRODUCT BRAND, VARIETY, SIZE, ORIGIN, ET	C.) GRADE	cw
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EXPORT /	N IMPORT REQUIREM UREMENTS OF MINIST	ORT & O AND P	35 (1) OF AGRICULTU (EASEMENT) ISSUED			or Japan
REMARKS:						
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OVERTIME:	\$			the drawer as shown by said of	nent of Agriculture, do hereby certify that sample samples were as herein stated.	
EXPENSES:	\$	INSPECTOR:	SIGNATURE		ISSUING OFFICE	
	MATED TOTAL					
valid OMB contro	i number. The valid OM onse, including the time	B control number for	this information is 058	1-0143. The time required to complete	respond to a collection of information unless it of this information collection is estimated to avera aining data needed, and completing and reviews	ge 5

SC-205 (04/17)

# **Example: PPQ Form 572 - Application for Inspection and Certification of Plants and Plant Products**

No Phytosanitary Export Certificate can be issue until an application is completed (7 CFR 363). FORM APPROVED OMB NO. 0679-0062 According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 6679-0052. The time required to complete this information collection is estimated to average 25 minutes/hours per represent, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and valuable the relation of information.

	reviewing the collection of information.				
APPLICATION FOR INSPECT	U.S. DEPARTMENT OF AGRICULTURE AND PLANT HEALTH INSPECTION SERVICE LANT PROTECTION AND QUARANTME ION AND CERTIFICATION OF DOI NT PRODUCTS FOR EXPORT	MESTIC PLANTS AND	to the Office treatment, and	er in Charge d certification on ns 1 thru 11.	NT - Forward original where inspections, will be given (item 4). OFFICER - Complete
1. NAME AND ADDRESS OF EXPORTER	1	3. NAME AND ADDRESS OF	APPLICANT (d	r exporters ag	enf)
			AREA CO	DE AND PHON	E NO.
2. NAME AND ADDRESS OF FOREIGN CONSIGNEE		PLACE WHERE ARTICLES AND/OR TREATMENT AND CE			
		5. APPROX. DATE OF DEPAR	TURE	6. PORT C	OF EXPORT
	7. DESCRIPTION OF ARTIC	LES TO BE CERTIFIED			
a.  QUANTITY AND NAME OF PRODUCE AND BOTANICAL NAME					
b. NUMBER AND DESCRIPTION OF PACKAGES					
C. DISTINGUISHING MARKS					
d. CERTIFIED ORIGIN					
8. DECLARED MEANS OF CONVEYANCE	E	I certify that the origin (pla represented.	ce where gr	own) of the	articles listed is as
9. DECLARED POINT OF ENTRY		10. SIGNATURE (applicant or o	expoders agen	(a)	11. DATE
	EXPORT INSPECTION DATA - (To be filled in b	V Plant Protection and Quarentine Offi	ioad		
12. LOCATION OF ARTICLES		13. % OF MATERIALS EXAMINED		% OF MATERS	ALS INFESTED
15. FINDINGS AND/OR TREATMENT GIVEN (U	ise reverse if necessary)				
16. SIGNATURE			17	DATE AND TR	ME INSPECTED

23

## Example: LPS-234 USDA Processed Eggs and Egg Products Export Certificate

	AGRICU	PARTMENT OF AGRICULTURE LTURAL MARKETING SERVICE	OMB APPROVED: NO. 0581-N		
	LIVESTOCK	POULTRY, AND SEED PROGRAM			
ı	JSDA PROCESSED EGG ANI	D EGG PRODUCTS EXPORT CE	RTIFICATE		
NAME AND ADDRESS OF CONSIGNORIE	XPORTER:	CERTIFICATE NUMBER:			
SAMPLE		SAMPLE  COMPETENT AUTHORITY:			
				SAMPLE	
		AME AND ADDRESS OF CONSIGNEE/IN	PORTER:		r
				NAME OF THE PARTY	
		SAMPLE			
TATE OF ORIGIN:		SAMPLE			
COUNTRY OF DESTINATION:		3/tmr LE			
		SAMPLE			
LACE OF LOADING:		SAMPLE			
MEANS OF TRANSPORT:		DECLARED POINT OF ENTRY:			
SAM	PLE		52 955000		
CONDITIONS OF TRANSPORTISTORAGE:  SAMPLE		SAMPLE			
SAMI RODUCERMANUFACTURER:	PLE	DATE OF PACKING:	TYPE OF PACKING:		
SAMF	PLE	SAMPLE	SAMPLE		
AME OF PRODUCTS:		NUMBER OF PACKAGES:	NET WEIGHT:		
SAME	PLE	SAMPLE	SAMPLE		
TTESTATIONS:					
ATTERNATURE .					
		SAMPLE			
		CERTIFICATION			
ATE:	PRINTED NAME:	SAMPLE			
30754	SIGNATURE:	Arms Co.			
SAMPLE					

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OME control number. The valid CME control number for this information collection is estimated to average 12 minutes per response, including the time for reviewing instructions, searching existing data sources, gethering and maintaining the data needed, and completing and reviewing the collection of information.

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LPS-234 (12-2016)

#### **Glossary of Acronyms**

AMS – Agricultural Marketing Service of USDA

AIRS - Automated Import Reference System

APHIS - Animal and Plant Health Inspection Service of USDA

CBP – Bureau of Customs and Border Protection

CBSA – Canada Border Service Agency

CFIA – Canadian Food Inspection Agency

COPR – Canada Organic Products Regulations

EV – Export Verification

FDA – Food and Drug Administration

FSIS - Food Safety and Inspection Service of USDA

HACCP - Hazard Analysis and Critical Control Point

NAFTA – North American Free Trade Agreement

NOAA – National Oceanic and Atmospheric Administration

NOP – National Organic Program

PPQ - Plant Protection and Quarantine

RTE – Ready-To-Eat

SFCA - Safe Food for Canadians Act

SFCR – Safe Food for Canadians Regulations

SRTG – State Regional Trade Groups

USCOEA - United States-Canada Organic Equivalence Arrangement

#### **Attachments:**

No Attachments