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Export Certificate Report

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**Report Highlights:**

This report provides an overview of Vietnam's certification requirements for imported food and agricultural products. In the first six months of 2022, Vietnam made no changes to its certification requirements. Updates are made in Section 3.1 - Export Certificate for Meat, Poultry and Animal Products as the U.S. Food Safety and Inspection Service (FSIS) implemented a new PHIS Export Certificate process. There are also updates in Section 3.3 – Export Certificate for Seafood as the National and Oceanic and Atmospheric Administration (NOAA) updated its aquatic animal health certification statements.

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## **DISCLAIMER**

This report was prepared by the Office of Agricultural Affairs of the USDA/Foreign Agricultural Service in Hanoi Vietnam, for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, information provided may not be completely accurate either because policies may have changed since its preparation, or because clear and consistent information about these policies was not available. It is strongly recommended that U.S. exporters verify the full set of import requirements with their foreign customers, who are normally best equipped to research such matters with local authorities, before any goods are shipped. FINAL IMPORT APPROVAL OF ANY PRODUCT IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY.

Post also notes that this report may not cover the regulations released after June 2022, by the Government of Vietnam (GVN), should U.S. exporters have any questions, please contact [aghanoi@usda.gov](mailto:aghanoi@usda.gov).

## **Executive Summary**

Vietnam has a set of certification requirements for imported food and agricultural products. Certification requirements are considered as parts of the administrative procedures, therefore, the certification requirements must be promulgated by the Government of Vietnam (GVN)'s Decrees or Laws.

The certification requirements are regulated under several key laws, including the Food Safety Law (FSL), the Law on Quality of Goods and Products, the Law on Animal Health (AHL) and the Law on Plant Health and Quarantine (PHL). Specifically, certification requirements for imported food are regulated under the FSL and Decree 15/2018 guiding the FSL. Processed foods require a Certificate of Analysis for self-declaration which must be issued by accredited laboratories or Ministry-registered laboratories. Health Certificates or Export Certificates or Certificates of Free Sale are required for imported supplemental foods, medical foods, and health supplements, food for special dietary uses, and products for children up to 36 months.

Export Certificates are required for animals and animal products and plants and plant products according to the AHL and the PHL. The contents of export certificates and attestations for animal health or plant health must be approved by the Vietnam competent authorities.

In the first half of 2022, Vietnam made no changes to certification requirements for food and agricultural products.

## Section I. List of All Export Certificates Required by the Government of Vietnam

### 1. Food

Products	Reference/ Requesting Agency	Title of Certificate/Attestation required on Certificate	U.S. Issuing Agency/ Form
Pre-packaged processed food	Law on Food Safety and Decree 15/2018/ND-CP	<ul style="list-style-type: none"> <li>• Certificate of Analysis</li> <li>• Certificate of GMP, HACCP, ISO 22000, IFS, BRC, FSSC 22000 or an equivalent certificate<sup>1</sup> for application of reduced import inspection</li> </ul>	
Health supplements	Law on Food Safety and Decree 15/2018/ND-CP Ministry of Health (MOH)/Vietnam Food Administration (VFA)	Certificate of Free Sale <sup>2</sup> , Certificate of Exportation or Health Certificate	FDA, State Departments of Health
		Certificate of Good Manufacturing Practice (GMP) or an equivalent certificate <sup>3</sup>	
Medical foods, food for special dietary uses	Law on Food Safety and Decree 15/2018/ND-CP MOH/VFA	Certificate of Free Sale, Certificate of Exportation or Health Certificate	FDA, State Departments of Health
Dietary products for children up to 36 months	Law on Food Safety and Decree 15/2018/ND-CP MOH/VFA	Certificate of Free Sale, Certificate of Exportation or Health Certificate	FDA, State Departments of Health
Genetically Engineered (GE) and irradiated food	Law on Food Safety and Decree 15/2018/ND-CP MOH/VFA	Certificate of Free Sale or Health Certificate	

<sup>1</sup> It must be the certified true copy or consular legalized copy that is not expired when submitted.

<sup>2</sup> See Section II.1 for details.

<sup>3</sup> It must be an authenticated copy.

## 2. Animals and Animal Products

Products	Reference/ Requesting Agency	Title of Certificate/Attestation required on Certificate	U.S. Issuing Agency/ Form
<i>The following certificates are required to register for a quarantine or food safety inspection prior to or on the arrival date of a shipment.</i>			
Bovine embryos	Circular 25/2016 /TT-BNNPTNT	Health Certificate for export of bovine embryos	APHIS
Bovine semen	Ministry of Agriculture and Rural Development (MARD)/Department of Animal Health (DAH)	Health Certificate for export of bovine semen	APHIS
Breeding cattle		Health Certificate for export of breeding bovine	APHIS
Swine		Health Certificate for export of swine	APHIS
Swine semen		Health Certificate for export of swine semen	APHIS
Dairy products	Circular 25/2016 /TT-BNNPTNT  MARD/DAH	Health/Export Certificate	AMS
		Certificate of HACCP or Good Manufacturing Practices (GMPs), or equivalent	AMS
Rendered Meals: Poultry or Porcine Meals	Circular 25/2016 /TT-BNNPTNT  MARD/DAH	VS Form 16-4, “Export Certificate for Animal Products”	APHIS
<b>Blood products</b>			
Bovine Blood/Blood Products (Slaughter collection)	Circular 25/2016 /TT-BNNPTNT  MARD/DAH	VS Form 16-4, “Export Certificate for Animal Products”	APHIS
Bovine Blood/Blood	Circular 25/2016	VS Form 16-4, “Export Certificate	APHIS

Products (Live Collection)	/TT-BNNPTNT MARD/DAH	for Animal Products”	
<b>Gelatin</b>			
Porcine Bone-Derived Gelatin	Circular 25/2016 /TT-BNNPTNT MARD/DAH	VS Form 16-4, “Export Certificate for Animal Products”	APHIS
Bovine Bone-Derived Gelatin	Circular 25/2016 /TT-BNNPTNT MARD/DAH	VS Form 16-4, “Export Certificate for Animal Products”	APHIS
Gelatin Derived from Hides and/or Skins	Circular 25/2016 /TT-BNNPTNT MARD/DAH	VS Form 16-4, “Export Certificate for Animal Products”	APHIS

### 3. Meat, Poultry, and Animal Products

Note:

- See Section 3.1 for a description of the required procedures for animal product processing facilities to become registered and eligible to export to Vietnam.
- The following certificates are required for quarantine of meat and meat products imported to Vietnam as well as temporarily imported for re-export, transported from one border-gate to another border-gate, imported-exported from bonded warehouses, and transited through the territory of Vietnam.

Products	Reference/ Requesting Agency	Title of Certificate/Attestation required on Certificate	U.S. Issuing Agency/ Form
Pork and pork products, including pork offal	Circular 25/2016 /TT-BNNPTNT MARD/DAH	Beginning December 21, 2020: Export Certificate - FSIS Form 9060-5 and Pork Offal Attestations FSIS Form 9060-5B	FSIS
			FSIS
Poultry and poultry products, including poultry	Circular 25/2016 /TT-BNNPTNT	Beginning December 21, 2020: Export Certificate - FSIS Form 9060-5 and Poultry Offal	FSIS

offal	MARD/DAH	Attestations FSIS Form 9060-5B	
Beef, bone-in and boneless, and offal products		Beginning December 21, 2020: Export Certificate - FSIS Form 9060-5 and Beef Offal Attestations FSIS Form 9060-5B	FSIS
Protein-free beef tallow	Circular 25/2016 /TT-BNNPTNT MARD/DAH	Export Certificate - FSIS Form 9060-5	FSIS
Certificate of Origin	Circular 38/2018/TT_BTC Ministry of Finance (MOF)/Directorate of Customs	Certificate/Proof of Origin	

#### 4. Egg Products

Products	Reference/ Requesting Agency	Title of Certificate/Attestation required on Certificate	U.S. Issuing Agency/ Form
Egg products	Circular 25/2016 /TT-BNNPTNT MARD/DAH	FSIS Form 9060-5EP	FSIS

#### 5. Aquatic Animals and Aquatic Animal Products

Note:

- See Section 3.2 for a description of the required procedures for processing facilities to become registered and eligible to export to Vietnam.
- The following certificates are required for quarantine of aquatic animals and products imported to Vietnam as well as temporarily imported for re-export, transported from one border-gate to another border-gate, imported-exported from bonded warehouses, and transited through the territory of Vietnam.



<b>Products</b>	<b>Reference/ Requesting Agency</b>	<b>Title of Certificate/Attestation required on Certificate</b>	<b>U.S. Issuing Agency/ Form</b>
Live aquatic animals	Circular 26/2016/TT- BNNPTNT MARD/DAH	Export Certificate	APHIS
Fishery products, including live aquatic animals for human consumption	Circular 26/2016/TT- BNNPTNT MARD/DAH	Health Certificate for exports of fishery products intended for human consumption	NOAA/Seafood Export Inspection
Raw materials intended for re-export to the European Union (EU)	Law on Food Safety MARD/NAFIQAD	Certificate of Inspection – Fishery products intended for re-export to EU	NOAA/Seafood Export Inspection

## 6. Plants and Plant Products

Note:

- The following certificates are required for quarantine of plants and plant products imported to Vietnam and transited through the territory of Vietnam.

<b>Products</b>	<b>Reference/ Requesting Agency</b>	<b>Title of Certificate /Attestation required on Certificate</b>	<b>U.S. Issuing Agency/ Form</b>
<i>The following certificates are required for the registration of quarantine inspection prior to or on the arrival date of a shipment.</i>			
Plants and plant products	Circular 33/2014 MARD/ Plant Protection Department (PPD)	Phytosanitary Certificate PPQ Form 577	APHIS

GM plants for feed/food use	Circular 33/2014 MARD/PPD	Phytosanitary Certificate	APHIS
Processed plant products <sup>4</sup>	Circular 33/2014 and Decision 2515 MARD/PPD	Export Certificate PPQ Form 578	APHIS
Irradiated plant products	Circular 33/2014 MARD/PPD	Phytosanitary Certificate	APHIS
<i>The following certificates are required for the registration of food safety inspection prior to or on the arrival date of a shipment.</i>			
Irradiated plant products	Law on Food Safety MARD/PPD	Certificate of Free Sale	

## 7. Animal Feed

Animal Feed	Reference/ Requesting Agency	Title of Certificate /Attestation required on Certificate	U.S. Issuing Agency/ Form
<i>The following certificates are required for the declaration of imported supplemental feeds</i>			
Supplemental feeds	Decree 13/2020/ND-CP MARD/DLP/DFISH	Certificate of Free Sale or equivalent certificate issued by competent authorities of exporting countries	
		Certificate of Analysis	
		Certificate of GMP or HACCP or ISO or equivalent certificate (if any)	

<sup>4</sup> Refer to Section II for the list of processed plant products eligible for a Processed Plant Products Export Certificate (PPQ Form 578).

<i>The following certificates are required for import quality inspection</i>			
Animal feed/Feed ingredients	Decree 13/2020/ND-CP MARD/DLP/DFISH	Certificate of Analysis	
Single ingredients	Decree 13/2020/ND-CP MARD/DLP/DFISH	Certificate Free Sale	
		Certificate of Analysis	
		Certificate of GMP, HACCP or ISO or equivalent certificate	

## Section II. Purpose of Specific Export Certificate(s)

### 1. Certificate of Free Sale

Vietnam requires a Certificate of Free Sale (CFS) to verify that the products are legally sold or distributed in the market of the country of origin. The CFS should be granted by a competent authority in the country of origin. The original CFS or a notarized/legalized copy of the document can be provided. According to Decree 69/2018 guiding the Law on Foreign Trade, Vietnam requires that a CFS include the following information:

- Name of agency or organization issuing the CFS.
- Number and date of issuance of the CFS.
- Name of products or goods for which the CFS is granted.
- Type or group of products or goods for which the CFS is granted.
- Name and address of the manufacturer.
- The CFS must clearly state that the products or goods are manufactured and allowed to be sold freely in the market of the producing country or the CFS issuing country.
- Full name, signature of the person signing the CFS and the stamp of the agency or organization issuing the CFS.

Depending on the type of commodity, a CFS can be issued in the United States by a number of federal, state, and city government offices, as well as non-profit organizations. The Food and Drug Administration (FDA) provides an electronic application system for CFS (titled “Certificate of Export to a Foreign Government”) for conventional food, including food additives and seafood. Further information is available at:

<https://www.fda.gov/Food/GuidanceRegulation/ImportsExports/Exporting/ExportCertificates/ucm260280.htm>

On June 22, 2020, FDA's Center for Veterinary Medicine (CVM) launched a new online system that enables exporters of animal food to apply for, track, and receive export certificates electronically. Effective January 1, 2021, CVM no longer issues Certificates of Free Sale on paper. Exporters are able to access these certificates online and print them on their own:

<https://www.fda.gov/animal-veterinary/cvm-updates/fda-launches-new-online-portal-assist-industry-exporting-animal-products>

In addition to the FDA, State Departments of Agriculture can issue a CFS for exports. The directory of contacts within State Departments of Agriculture who issue export certification documents is available at: <http://www.fas.usda.gov/certificate-free-sale-point-contact-list>

Should U.S. exporters have any questions, please contact [aghanoi@usda.gov](mailto:aghanoi@usda.gov)

## **2. Certificate of Analysis**

Vietnam requires a Certificate of Analysis (CA) issued by accredited laboratories or Ministry-registered laboratories in the self-declaration dossier to prove the compliance of product specifications with relevant national technical regulations on food/feed safety and quality. The CA must be issued within 12 months from the date of self-declaration and an original or notarized/legalized copy of the document should be provided.

## **3. Export/Health Certificate**

Vietnam imposes a number of import requirements for animals and animal products. Export certificates should include additional attestations based on the model certificates accepted by the DAH and signed by U.S. officials. The U.S. agencies involved in issuing these certificates are FSIS, APHIS, the Agricultural Marketing Service (AMS), and the NOAA Fisheries Seafood Inspection Office (SIP).

For livestock, poultry, and seafood exporters, Vietnam requires establishments to be registered with MARD/DAH. Individual U.S. Food Business Operators (FBOs) should submit their applications via NOAA Seafood Inspection Program (SIP) or FAS/Hanoi in order for MARD/DAH to approve their applications(see GAIN report [VM7036](#)).

From February 2018, when Decree 15 on Food Safety came into effect, exporters must complete and submit Form 9, of Decree 15 (previously referred as Appendix 3, Circular 25) for review and approval by MARD/DAH to be registered to export. Facilities should contact FAS/Vietnam at:

[usda4circ25@gmail.com](mailto:usda4circ25@gmail.com) to receive the application and instructions. The completed application will be submitted by FAS/Vietnam on behalf of the facility. Facilities should work closely with their importers and with their respective cooperator organization, such as the U.S. Meat Export Federation (USMEF) or the USA Poultry and Egg Export Council (USAPEEC) during the application process. Exporters who wish to ship new products to Vietnam that are not yet registered must resubmit a revised Form 9 indicating the facility's desire to ship those additional products to Vietnam.

## **4. Phytosanitary Certificates**

Vietnam requires phytosanitary certificates to indicate that imported plant and plant products meet specified phytosanitary import requirements and are inspected by the relevant plant health authority of the exporting country. Unlike veterinary certificates, there is only one model certificate for all plant products. APHIS inspectors issue phytosanitary certificates and can attest to specific requirements based on Vietnamese regulations by making additional declarations on the Certificate.

### **Phytosanitary Certificate for Processed Plant Products**

APHIS publishes the List of Commodities eligible for a Processed Plant Products Export Certificate, List of Commodities eligible for a Processed Plant Products Export Certificate (PPQ Form 578), and the List of Commodities ineligible for any type of PPQ Certification on their [website](#).

In Vietnam, MARD/PPD broadly defines the list of articles subject to plant quarantine in Circular 30/2014 and then specifically defines the List of Harmonized System (HS) codes of products subject to plant quarantine. The list is found in Appendix I Section 9 of MARD's Circular 11/2021 (GAIN report [VM2021-0104](#)), includes various pre-packaged and processed food products of plant origin, some of which may be included in APHIS's List of Commodities ineligible for PPQ certification.

FAS/Vietnam continues to work closely with MARD/PPD to clarify which products are subject to plant quarantine and to seek enforcement that minimizes the impact on the trade of agricultural products from the United States. Should U.S. exporters receive information about changing or new requirements for plant origin products from Vietnamese importers they should contact [aghanoi@usda.gov](mailto:aghanoi@usda.gov).

## **5. Certificate of Origin**

Vietnam Customs requires a Certificate/Proof of Origin (C/O) for meat, livestock, and poultry products as of June 5, 2018. A detailed list of products and HS codes are provided in Appendix II of MOF's Circular 38/2018 dated April 20, 2018, regulating the identification of origin for imported and exported goods (GAIN report [VM8062](#)). The following information must be included in the C/O:

- a. Name of exporter;
- b. Name of importer;
- c. Means of transport;
- d. Goods description, codes of goods [HS codes];
- dd. Quantity, weight, or volume of goods;
- e. Countries, groups of countries or territories of origin;
- g. Date of issuance; and
- h. Signature of the person in charge of issuing C/O.

### **Section III. Specific Attestations Required on Export Certificate(s)**

#### **1. Export Certificate for Meat, Poultry and Animal Products**

FSIS updated its Export Certifications of Wholesomeness for Meat and Poultry (FSIS Form 9060-5) to Vietnam (see [FSIS Export Library](#)). As of December 21, 2020, FSIS includes the attestations required by Vietnam for meat and poultry products on the FSIS Form 9060-5B, instead of on a separate FSIS letterhead certificate. The text of the attestations required by Vietnam has not changed. Both Form 9060-5 and Form 9060-5B are generated electronically via the Public Health Information System (PHIS) with the name and title of the FSIS public health veterinarian, and a digital signature applied on the date of issuance. Starting from May 16, 2022, FSIS is using a new PHIS printing process for Export Certificates utilizing a digital signature. Accordingly, the FSIS Form 9060-5 digitally signed Export Certificate is now printed on plain paper rather than on security paper ([FSIS Notice](#)).

The following FSIS export certificates and attestations are required:

A. For poultry and poultry products, including offal: Meat and Poultry Export Certificate of Wholesomeness FSIS Form 9060-5 and Poultry Offal Attestations FSIS Form 9060-5B

For FSIS Form 9060-5B, select the following statement in PHIS via the statements module:

- The birds from which the product was derived originated from a zone free of highly pathogenic notifiable avian influenza.

B. For beef and beef products from cattle of any age slaughtered on or after March 27, 2015: Meat and Poultry Export Certificate of Wholesomeness FSIS Form 9060-5 and Beef Offal Attestations FSIS Form 9060-5B.

For FSIS Form 9060-5B, select the following statements in PHIS via the statements module:

- The beef and beef products were derived from federally certified slaughter and processing facilities, operating under supervision of the Food Safety and Inspection Service (FSIS).
- The cattle from which the beef and beef products were derived were not subjected to a stunning process, prior to slaughter, with a device injecting compressed air or gas into the cranial cavity or to a pithing process.
- The beef and beef products were derived from cattle that received ante- and post-mortem inspection determined to be fit for human consumption by FSIS inspection personnel and were not from suspect or confirmed BSE cases.
- The beef and beef products were not derived from the following specified risk materials: the brain, skull, eyes, trigeminal ganglia, spinal cord, vertebral column (excluding the vertebrae of the tail, the transverse processes of the thoracic and lumbar vertebrae, and the wings of the sacrum), and dorsal root ganglia of cattle 30 months of age and older; and the tonsils and distal ileum of the small intestine of any cattle regardless of age.

- The beef and beef products do not contain any advanced recovery meat derived from the skulls and vertebral columns of cattle 30 months of age and older nor mechanically separated beef from any cattle regardless of age.
- The United States prohibits the feeding of ruminants with ruminant-origin meat-and-bone meal and greaves, and this regulation is effectively enforced.

C. For protein-free beef tallow: include the following certification statement in PHIS via the statements module:

- The protein-free tallow covered by this certificate has been tested for content of insoluble impurities with the results below 0.15 percent in weight.

This certification statement will be included on the export certificate based on the presentation of a certificate issued by an independent laboratory report (not belonging to the exporter and/or the manufacturer).

D. Pork and pork products - obtain FSIS Form 9060-5, Meat and Poultry Export Certificate of Wholesomeness.

E. Pork Offal - obtain FSIS Form 9060-5 Meat and Poultry Export Certificate of Wholesomeness and select the following statement via the statements module:

- The meat or meat food product specified hereon is from animals that received both antemortem and postmortem inspection and were found sound and healthy and that it has been inspected and passed as provided by law and regulations of the Department and is sound and wholesome.

## **2. Export Certificate for Egg Products:**

Vietnam accepts the FSIS Export Certificate Form 9060-5EP for egg products. Requirements for exporting processed egg products are available on the [FSIS Export Library](#).

## **3. Export Health Certificate for Seafood**

Only firms approved and listed on the DAH website can export to Vietnam. Firms must request an Export Health Certificate from their local NOAA Fisheries SIP. The certificate must accompany every shipment to Vietnam and indicate the name of the producer on the list. Beginning January 1, 2020, NOAA SIP uses only a singular bicolor certificate stamp on all Export Health Certificates. Beginning February 1, 2022, NOAA implemented and endorsed a new Export Certificate with updated animal health certification statements to reflect the aquatic animal health management practices in the United States.

More information, including NOAA contact details, is available at:

<https://www.fisheries.noaa.gov/export-requirements-country-and-jurisdiction-n-z#vietnam>

Post notes that Vietnam does not require pre-listing for U.S. exporters for the export of raw materials to Vietnam for export processing. On June 18, 2019, NAFIQAD accepted the NOAA Certificate of Inspection for raw materials intended for re-export to EU.

#### **4. Export Certificate for Gelatin Products Derived from Hides and/or Skins**

Format: VS Form 16-4 (MAR 2010) “Export Certificate for Animal Products.”

##### **Additional Requirements**

The following certification statements must be included in the “Additional Declarations” section of the APHIS VS Form 16-4 (MAR 2010). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; animal species of origin must be identified as either porcine or bovine in statement #1; specific intended end-use must be identified in statement #2).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The gelatin was prepared exclusively from [insert bovine or porcine] hides and skins.
2. The product is of U.S. origin and is intended for [insert intended end use, e.g., pharmaceutical use-human; pharmaceutical use-animal; food-human consumption; food- animal consumption].

#### **5. Export Certificate for Porcine Bone-Derived Gelatin**

Format: VS Form 16-4 (MAR 2010) “Export Certificate for Animal Products.”

##### **Additional Requirements**

The following certification statements must be included in the “Additional Declarations” section of the APHIS VS Form 16-4 (MAR 2012). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; specific intended end-use must be identified in statement #4).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The product was derived from healthy swine that were subjected to slaughter inspection in official establishments under the control of the competent authority.
2. The product was manufactured exclusively from the bones of swine.
3. The product was processed in a manner to ensure destruction of microbiological pathogens of concern.
4. The product is of U.S. origin and is intended for [insert intended end use, e.g., pharmaceutical use-human; pharmaceutical use-animal; food-human consumption; food- animal consumption].



## **6. Export Certificate for Bovine Bone-Derived Gelatin**

Format: VS Form 16-4 (MAR 2010) “Export Certificate for Animal Products.”

### **Additional Requirements**

The following certification statements must be included in the “Additional Declarations” section of the APHIS VS Form 16-4 (MAR 2012). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; the pertinent section of #3 should be used, including a description of “the equivalent or better process” if (b) is used; specific intended end-use must be identified in statement #4).

The United States has been officially recognized by the World Organization for Animal Health (OIE) as a country having a negligible bovine spongiform encephalopathy (BSE) risk status.

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The product was derived from the bones of healthy cattle that were subjected to slaughter inspection in official establishments under the control of the competent authority.
2. The product was manufactured in accordance with U.S. laws and regulations, including exclusion of skulls and vertebral columns from cattle over 30 months of age from raw materials used to manufacture the product.
3. The bones from which the product was manufactured were subjected to (a) a process that includes degreasing, acid demineralization, acid or alkaline treatment, filtration, and sterilization at >138 degrees C for a minimum of 4 seconds; or (b) an equivalent or better process in terms of infectivity reduction, such as high-pressure heating. [Use only the pertinent section. If (b) is used, describe the equivalent or better process.]
4. The product is of U.S. origin and is intended for [insert intended end use, e.g., pharmaceutical use-human; pharmaceutical use-animal; food-human consumption; food- animal consumption].

## **7. Export Certificate for Bovine Blood/Blood Products (Live Collection)**

Format: VS Form 16-4 (MAR 2010) “Export Certificate for Animal Products.”

### **Additional Requirements**

The following certification statements must be included in the “Additional Declarations” section of the APHIS VS Form 16-4 (MAR 2012). These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; specific blood product or derivative must be identified in statement #1; specific intended end-use must be identified in statement #2).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The certified products are of U.S. origin and are [insert type of blood product, e.g., whole blood; serum; plasma; <blood derivatives> such as albumin or globulin].
2. The products are intended to be used for [insert intended end use, e.g., in-vitro laboratory or diagnostic purposes].
3. The products were manufactured from bovine blood or one of its components or derivatives.
4. The blood used in the manufacture of the products was derived from cattle determined to be clinically healthy at the time of blood collection.
5. The products were manufactured in a facility approved by the competent authority and meet the requirements of the United States for domestic sale and use.
6. The products were manufactured in accordance with U.S. laws and regulations intended to ensure that they are unlikely to transmit disease agents, including transmissible spongiform encephalopathies (TSEs).
7. Adequate precautions were taken following processing to prevent product contamination with microbiological pathogens.

## **8. Export Certificate for Bovine Blood/Blood Products (Slaughter Collection)**

Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

### **Additional Requirements**

The following certification statements must be included in the "Additional Declarations" section of the APHIS VS Form 16-4 (MAR 2012), "Export Certificate for Animal Products." These statements must not be modified except as noted (the notarized affidavit line should include the actual name of the manufacturer/exporter; specific blood product or derivative must be identified in statement #1; specific intended end-use must be identified in statement #2).

This office has on file a notarized affidavit from [manufacturer/exporter] verifying the accuracy of the statements below.

1. The certified products are of U.S. origin and are [insert type of blood product, e.g., whole blood; serum; plasma; <blood derivatives> such as albumin or globulin].
2. The products are intended to be used for [insert intended end use, e.g., in-vitro purposes (laboratory/diagnostics); animal feed].
3. The products were manufactured from bovine blood or one of its components or derivatives.
4. The blood used in the manufacture of the products was derived from healthy cattle subjected to slaughter inspection in an official establishment under the control of the competent authority.
5. The animals from which the blood was derived were not subjected to a stunning process, prior to slaughter, with a device injecting air or gas into the cranial cavity, or to a pithing process.

6. The products were manufactured in a facility approved by the competent authority and meet the requirements of the United States for domestic sale and use.
7. The products were manufactured in accordance with U.S. laws and regulations intended to ensure that they are unlikely to transmit disease agents, including transmissible spongiform encephalopathies (TSEs).
8. Adequate precautions were taken following processing to prevent product contamination with microbiological pathogens.

## **9. Export Certificate for Poultry or Porcine Meals**

Format: VS Form 16-4 (MAR 2010) "Export Certificate for Animal Products."

### **Additional Requirements**

This office has on file a notarized affidavit from [company/manufacturer] verifying the accuracy of the statements below.

1. The product [identify type of product, e.g., poultry byproduct meal or feather meal] is of U.S. origin and is intended to be used in aqua, poultry, and swine feeds, as well as pet food.
2. The product was manufactured in accordance with U.S. laws and regulations and meets the requirements of the United States for domestic sale and use in animal feed.
3. The product was subjected to a heat treatment at a minimum temperature of 118 degrees C (245 degrees F) for a minimum of 40 minutes; or a continuous hydrolyzing process at a minimum temperature of 122 degrees C (250 degrees F) for a minimum of 15 minutes with a minimum pressure of 55 lbs/square inch, or an equivalent method (describe if alternate method is used) to achieve commercial sterilization.
4. The product was manufactured using processing times and temperatures adequate to destroy microbiological pathogens of concern, including Salmonella, avian influenza, and Newcastle disease viruses, and result in a product fit for animal consumption.
5. The product was manufactured under sanitary conditions, including precautions to prevent contamination of the product with pathogenic agents following processing.
6. The product does not contain ruminant ingredients.

## **10. Health Certificate and HACCP Certificate for Dairy Products**

Since November 2012, the GVN requires the AMS Sanitary Certificate for shipments of dairy products destined for human consumption. Exporters must ship products destined for human consumption using the AMS Sanitary Certificate, signed, and dated by the appropriate regulatory authority prior to the date of shipment as it appears on the bill of lading. Exporters should apply for an AMS certificate in time to have it signed prior to the product ship date. Certificates signed with a date after shipping will not be accepted by the competent authorities in Vietnam.

Dairy products for animal feed require an APHIS certificate.

## **11. Health Certificate for Live Aquatic Animals**

MARD/DAH allows for a Health Certificate for export of live aquatic animals issued by APHIS or NOAA.

Import of live seafood for food use from the United States must follow a very strict quarantine regime. On September 7, 2015, the Directorate of Fishery (DFISH) issued Decision 540/QD-TCTS-TTKN allowing the import of live American lobsters (*Homarus americanus*) to Vietnam. In August 2016, DAH accepted a Health Certificate for exports of fishery products intended for human consumption issued by NOAA with the following statement for live aquatic animals: *“The shipment is not known to contain species not identified on this health certificate.”*

When exporting live aquatic animals for consumption, please check with FAS/Vietnam and importers for the list of live aquatic animals approved for import for human consumption.

## **Section IV. Government Certificate’s Legal Entry Requirements**

- Export Certificate needs to accompany the product at the time of entry.
- In general, the export certificate is only valid for a single consignment of products.
- In most of the cases, Vietnam accepts a U.S. State issued Certificate of Free Sale.
- Vietnam doesn’t accept manufacturers and suppliers’ export declaration for food and agricultural products. Export Certificates are required to be issued by relevant U.S. regulatory agencies according to agreements with Vietnam.

## **Section V. Other Certification/Accreditation Requirements**

### **1. Quarantine Import Permit for Animals and Animal Products**

MARD/DAH requires a Quarantine Import Permit (QIP) issued by DAH prior to the importation of animals and animal products, meat and poultry, and seafood. Importers are required to submit the quarantine registration form to DAH. Within five working days of receipt of a valid dossier, based upon the disease situation in Vietnam and the exporting country, DAH shall grant the Quarantine Permit, and notify the importer of the quarantine authority that will conduct the quarantine process for the subject shipment.

### **2. Quarantine Import Permit for Plants and Plant Products**

MARD/PPD requires a Plant Quarantine Import Permit issued by PPD prior to the importation of plant and plant products which are included on the list of articles subject to pest risk analysis. Importers are required to submit the application dossier indicating the origin and quantity of consignment to PPD.

Within 15 days of receipt of a valid dossier, PPD shall grant the Plant Quarantine Import Permit; or notify importers of the refusal of quarantine by a written announcement.

## Appendix I. Links for Each Export Certificate

Certificate Name	Available at
Meat and Poultry Export Certificate of Wholesomeness Form 9060-5	<a href="#"><u>FSIS website</u></a>
Egg Product Export Certificate Form 9060-5EP	<a href="#"><u>FSIS website</u></a>
Export Certificate for Animal Products VS Form 16-4	<a href="#"><u>APHIS website</u></a>
Health Certificate for Export of Bovine Semen	<a href="#"><u>APHIS website</u></a>
Health Certificate for Export of Live Aquatic Animals from the United States to Vietnam	<a href="#"><u>APHIS website</u></a>
Health Certificate for Export of Breeding Cattle	<a href="#"><u>APHIS website</u></a>
Export Certificate for Dairy Products for Human Consumption	<a href="#"><u>AMS website</u></a>
Export Certificate for Gelatin products	<a href="#"><u>APHIS website</u></a>
Export Certificate for Blood products	<a href="#"><u>APHIS website</u></a>
Export Certificate for Poultry or Porcine Meals	<a href="#"><u>APHIS website</u></a>
Phytosanitary Certificate PPQ Form 577	<a href="#"><u>APHIS website</u></a>
Export Certificate PPQ Form 578	<a href="#"><u>APHIS website</u></a>

### Attachments:

No Attachments