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# Report Name: FAIRS Export Certificate Report Annual

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#### **Report Highlights:**

This report highlights certification requirements for food and agricultural products exported to Canada and supplements the FAIRS Country Report.

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*Disclaimer:* This report was prepared by the Ottawa Office of Agricultural Affairs for exporters of U.S. food and agricultural products. While every possible care has been taken in the preparation of this report, information provided may not be completely accurate either because policies have changed since its preparation, or because clear and consistent information about these policies was not available. It is highly recommended that U.S. exporters verify the full set of import requirements with their foreign customers, who are normally best equipped to research such matters with local authorities, before any goods are shipped. FINAL IMPORT APPROVAL OF ANY PRODUCTS IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY.

#### **Executive Summary**

This report highlights export certificates issued by U.S. government authorities to comply with Canadian import standards and regulations. It is an update to the 2024 Food and Agricultural Import Regulations and Standards (FAIRS) Export Certificate Report. This is not intended as a compendium of Canadian import requirements, but rather as a supplement to the most recent FAIRS Country Report. While every possible care was taken in the preparation of this report, this information is provided only as guidance. Requirements may have changed since this report's preparation.

FAS/Canada strongly recommends U.S. exporters verify the full set of import requirements through the Canadian Food Inspection Agency's <u>Automated Import Reference System (AIRS)</u> that serves as a reference guide on Canadian importing requirements for several commodities. It is good business practice for U.S. companies to consult with their Canadian customers (importers) to ensure products meet the latest Canadian import requirements. Final import approval of any product is subject to the importing country's rules and regulations as interpreted by border officials at the time of product entry.

FAS/Canada encourages all U.S. exporters to work closely with their U.S. federal and state inspectors in the issuance of various attestations and certificates. A number of <u>State</u> <u>Departments of Agriculture</u> maintain inspection programs and cooperative agreements with USDA, such as the <u>Food Safety and Inspection Services (FSIS)</u>, to assist with required documentation for exporters. Every effort is made by U.S. inspectors to comply and consult with the Canadian Food Inspection Agency (CFIA) when necessary. Exporters are urged to contact the appropriate authorities well in advance of export.

The USDA's <u>electronic Trade Document Exchange</u> (eTDE) allows for exporters and importers of peanuts, dairy, egg products, seeds, and/or organic products to access trade forms and certificates to be downloaded and completed.

As of June 2022, the <u>USDA FSIS Public Health Information System (PHIS)</u> provides export applications for all meat (including Siluriformes) and poultry exports to Canada. Exporters of such products are encouraged to check the <u>FSIS export library for Canada</u> often as USDA frequently updates the library.

The USDA Animal and Plant Health Inspection Service (APHIS) has created the International Animal Product Export Regulations (IRegs) to provide animal-origin product exporters with USDA's best understanding of importing countries requirements. U.S. exporters of such products should review the <u>IRegs page for Canada</u> often as APHIS publishes important notices and updates.

The Food and Drug Administration (FDA) also <u>issues different types of export certification</u> for different food products. Industry may request most types of FDA-issued export certificates for food products through the <u>CFSAN Export Certification Application and Tracking System</u> (<u>CFSAN eCATS</u>). Please <u>visit this website</u> for step-by-step instructions for applying for FDA export certificates.

# Section I. Export Certificate Table

	Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
А.	<u>Origin</u> " for preferential	Certification of Origin (Template) (There is no requirement for this information to be provided on a specific form. It may be provided on an invoice or any other document.)	Must be provided upon request to CBSA in connection with a claim for preferential tariff treatment	<b>1</b>	Canada Border Services Agency (CBSA)
B(i).	Meat and Poultry	FSIS Form 9060-5, Meat and Poultry Export Certificate of Wholesomeness Canada   Food Safety and Inspection Service (usda.gov) Guideline for completing export certificates for Canada is available here	product(s) meet FSIS requirements and are eligible for commerce	Food Safety and Inspection Service (FSIS)	Canadian Food Inspection Agency (CFIA)
B(ii).	Certain conventional foods, food additives, food contact substances, and infant formula	FDA CFSAN Certificate to a Foreign Government CFSAN eCATS	This certificate states, among other things, that a product (or products) may be marketed in and legally exported from the United States	FDA	CFIA
B(iii).	Labelling of meat and poultry products	FSIS labelling guidance Reference: <u>CFIA Labelling</u> Tool			CFIA

	Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
B.(iv)	Egg Products	Canada   Food Safety and Inspection Service (usda.gov) Guideline for completing export certificates for Canada is available <u>here</u> Egg Products Export Certificate of Wholesomeness and listing of approved establishments Form 9060-5EP (terms) Form 2630-9, Declaration of Veterinarian	product(s) meet FSIS requirements and are eligible for	FSIS	CFIA
	Fresh eggs	Letterhead Certificate for Unpasteurized Eggs Letterhead Certificate for Pasteurized Eggs	Veterinary Health Attestation	FSIS	CFIA
	Processed egg and egg products	Processed Egg and Egg Products Export Verification Certificate LPS-234	Processed egg products and hard-cooked eggs meet USDA requirements and are eligible for commerce	Agricultural Marketing Service (AMS)	CFIA
C.	Ready-to-eat meat and poultry products	Microbiological Testing of Ready-To-Eat (RTE) Products Destined for Canada FSIS Form 5200-6	Meet microbiological testing criteria to ensure food safety	AMS FSIS	CFIA

	<b>Product</b> (s)	Title of Certificate	Purpose/	Issuing	Authority
			Attestation	Authority	Requiring Certificate
D.	Bison (Buffalo)	USDA Beef Export Verification (EV) Program: Product Conformity Attestation on Shipping Documentation	Demonstrate the ability to produce products in accordance with the EV Program for Canada	AMS	CFIA
E.	Pet food and supplements	Export of pet foods with animal products Veterinary export certificate	U.S. origin and veterinary health attestations	APHIS	CFIA
F.	Fresh Fruits, Horticulture and Vegetables	Phytosanitary Certificates U.S. – Canada Greenhouse-Grown Plant Certification Program	Certify the plant or plant product meets the following: 1) Has been inspected per appropriate procedures 2) Is considered to be free from certain pests 3) Conforms to Canada's current phytosanitary regulations	APHIS	CFIA
F1.	Samples for trade shows	CFIA Applications to be submitted <u>Office of Registration</u> <u>Variety</u>	Permissions issued by the CFIA to keep Canada's food supply safe, protect environment from invasive diseases and pests, and ensure compliance with various regulatory requirements		CFIA

	Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
G.	Live Animals, honeybees, and aquatic animals	Veterinary Export Health Certificate	Animal Health	APHIS	CFIA
H.	Fish and seafood	Canada – Aquaculture Commodities Aquatic Animal Health Export Certificate National Oceanic and Atmospheric Administration (NOAA) Seafood Inspection Program	Sanitation, Grading, Food Safety, Wholesomeness, Animal Health, Eligibility of Species	NOAA, APHIS, FWS	CFIA, CBSA, Environment and Climate Change Canada (ECCC)
I.	Selected products, broth, extracts, flavors derived from beef, pork, and poultry.	<u>CFSAN</u>	Meet food safety, production standards that include required heat treatment	FDA	CFIA
J.	Organic equivalency	USDA Agricultural Marketing Service CFIA Organic Equivalency Arrangement	Certify products meet standards, practices, and requirements set by NOP	AMS	CFIA

## Section II. Regulations and Types of Export Certificates

- A. To obtain preferential treatment for food products under <u>the United States-Mexico-Canada</u> <u>Agreement (USMCA</u>), exporters must certify U.S. goods as complaint with the USMCA rules of origin and Canadian importers must claim the preference to benefit from duty-free treatment. There is no prescribed USMCA form to be completed, but the Customers and Border Protection (CBP) Agency does provide a suggested format. To claim preferential treatment, the <u>following elements</u> need to be stated on the commercial invoice:
  - Name and information about the exporter and producer of origin

- Name and information about the importer
- The harmonized tariff code
- Origin criteria
- The period the certification
- Authorized signature and date

In 2020, the Canadian Border Services Agency (CBSA) raised the value of shipments that do not need to provide proof of origin to CAD (USD 2,500).

Harmonized system (HS) codes will be required on commercial invoices. The CBSA has the final say in terms of tariff classification, and FAS/Canada always recommends companies, especially in situations where tariff classification is more complex, to apply with CBSA for an <u>advance ruling for tariff classification</u>. Once issued, these rulings are binding and help remove uncertainty when clearing the customs.

Assistance is available through local <u>State Departments of Agriculture</u> or through USDA's State Regional Trade Groups (SRTGs) represented <u>here.</u>

#### B. Meat, Poultry and Processed Egg Products

The USDA's FSIS is responsible for certification of all fresh, frozen, and packaged meat, poultry, and processed egg products. More information on export requirements for Canada are available on the FSIS export library <u>here.</u>

#### **B(i).** Meat and Poultry

All meat and poultry items must present a <u>Meat and Poultry Certificate of</u> <u>Wholesomeness</u>. Since 2022, FSIS and CFIA only accept digital signatures as they are generated through PHIS.

Prior to generating the above-mentioned certificates, exporters will need to <u>set up an e-authentication</u> account on line by going to the non-USDA Federal Login page and hit n*eed an account*. For further questions on PHIS client enrollment, exporters may call 1-800-473-9135 or email <u>PHISTechnicalQA@usda.gov</u>.

Only <u>registered</u> meat and poultry establishments are eligible to export to Canada. Once the establishment is approved by FSIS and designated as a "registered", exporters are urged to check <u>CFIA's Foreign Establishment Library</u> prior to exporting to ensure both libraries are synchronized. All export requirements can be reviewed in the FSIS Export Library under the header <u>Canada</u>. Detailed information is provided on regulations concerning product eligibility for certification, as well as slaughter, processing, labelling, marking, and all other documentation requirements.

*Tip:* Exporters will be provided with a six-digit number on export documentation. Please ensure this number coincides with the number on the stickers adhered to each shipping container. Shipping containers with shipping marks that are missing, illegible or do not

correlate to the OMIC are considered non-compliant. Current <u>CFIA guidance</u> states shipping containers with missing, incorrect, or illegible shipping marks may be brought into compliance provided an official of the exporting country's government inspection and certification agency oversees the correction of the shipping marks to these containers. The government official can supervise the correction in person or virtually. The importer is responsible for arranging for a government official of the exporting country to oversee the correction of shipping marks.

#### **B(ii).** Certain Products of Meat Origins

Shipments to Canada of several meat and poultry-containing products regulated by the FDA, such as meat and poultry-derived ingredients like broths, extracts, bouillons, flavors, and certain soups and noodles must have an FDA-issued "Certificate to a Foreign Government," (CFG). To obtain the certificate, exporters are to register online at Export Certificates for Food.

#### **B** (iii). Meat and Poultry Label Verification

Exporters are responsible for working with their importers to ensure that their labels meet the requirements listed on the <u>CFIA Industry Labelling Tool website</u>, including bilingual (English and French) requirements, to prevent delays or possible rejection at the border. The <u>FSIS Export Library</u> also provides thorough labeling guidance.

There are specific Canadian acts and regulations that outline approved nomenclature and standards of identity. Among other purposes, these acts and regulations are intended to enable consumers to make informed food choices based on information that is truthful and not misleading. Information that is provided voluntarily on food labels is often referred to as a claim. This may include any specific claims such as "Product of USA", "Low in fat", or "Fair trade," as well as any other text or wording about specific manufacturing processes, descriptions about certain ingredients or properties of the food, historical references, environmental statements, and so on. It also includes images, pictures or other visual representations, or any combination of these. In general, claims may be made about various aspects of a food, provided they are truthful, not misleading nor likely to create an erroneous impression, and that they are in compliance with any specific requirements that exist for a given type of claim.

#### B(iv). Table Eggs and Processed Egg Products

Egg and egg product imports are controlled and subject to a tariff rate quota (TRQs) system in Canada. U.S. exporters must work with a <u>Canadian importer</u> that has available 'quota' to import egg and egg products. Similar to approved meat establishments, only USDA <u>approved establishments</u> may export to Canada. It is upon the exporter to verify that their plant is on <u>CFIA's Foreign Approved Establishments</u> as well. Please take note that requirements for U.S. egg product exports to Canada may vary from state to state, and those establishments restricted from exporting are listed on FSIS page under the tab

egg products. U.S. exporters may review all <u>requirements on FSIS Export</u> <u>Library</u>. Additionally, a certificate of wholesomeness is required (please refer to section B(i)).

Processed egg and egg products are urged to register with the USDA Agricultural Marketing Service (AMS) and FDA's <u>Processed Egg and Egg Products Export</u> <u>Verification (PEEPEV) Program.</u> The list of approved exporters in the Export Verification program can be reviewed <u>here</u>.

For further questions concerning shipments of FSIS-regulated products, exporters can contact FSIS at 1-855-444-9904 or e-mail at: <u>importexport@fsis.usda.gov.</u>

#### C. Ready-to-Eat products (e.g., closed-faced sandwiches)

AMS has a Microbiological Testing Program as a part of the Export Verification (EV) Program for Ready-To-Eat (RTE) products destined for Canada. The program is designed to verify establishments' control of closed-faced sandwiches destined for Canada. The EV Program may eventually include other RTE products.

Canada requires that closed-faced sandwiches be produced under a Hazard Analysis and Critical Control Point (HACCP) plan. Under the EV program, the sandwiches will be produced in establishments that are under an <u>FSIS voluntary reimbursable inspection service</u>. For closed-faced sandwiches produced under FSIS voluntary inspection, AMS will conduct a microbiological testing program, on behalf of FSIS, to verify the adequacy of establishment's food safety system in producing RTE products. Only <u>establishments participating in this program</u> can export closed-faced sandwiches to Canada.

If an establishment would like to participate in the AMS Microbiological Testing Program, please contact Biology Section Supervisor with the National Science Laboratories, at NationalScienceLaboratories@ams.usda.gov or (704) 867-3873 (Main).

#### D. Bison - USDA Export Verification (EV) Program

U.S. suppliers (slaughter facilities, fabricators, or processors) of bison, bison products, and bison offal items are required to meet the standards outlined in <u>the AMS EV Program</u> in order to export to Canada. U.S. suppliers that have an approved USDA QSA Program and have demonstrated the ability to produce products in accordance with the EV program for Canada will be listed on the <u>Official Listing of Eligible Suppliers to the USDA Bovine EV Programs</u>.

#### E. Pet Foods

## Thermally Processed Pet Food/Pet Treats/Compound Chews

Each shipment of U.S. pet food products for the Canadian market must be accompanied by a shipping document (e.g., invoice, bill of lading, manifest, Canada Customs Invoice) and a zoosanitary export certificate issued by USDA APHIS. The shipping document must list the products in the shipment and the amounts and clearly reference the zoosanitary export certificate number.

As of January 21, 2025 the Importer's Statement of Compliance is no longer required for shipments of pet food products (pet food, treats, and supplements) imported from the United States.

There will be a 3-month transition period until April 21, 2025, where shipments will still be accepted with the Importer's Statement of Compliance. By the end of the transition period all shipments must be accompanied by a shipping document which includes the certificate number. The Importer's Statement of Compliance will no longer be available after this date.

Questions may be directed to the import team for animal products and by-products at: <u>APABPImport@inspection.gc.ca</u>

#### F. Fresh Fruit, Horticulture, and Vegetable - Inspection Certificate

APHIS Plant Protection and Quarantine (PPQ) Division is responsible for issuing the <u>phytosanitary certificate attesting that</u> exported plants or plant products are free from pests and diseases. APHIS offers an <u>Export Manual Guide</u> on-line which outlines the various commodities in their scope.

CFIA requires a <u>Phytosanitary Certificate for most produce products</u>. Exporters are urged to go on to the <u>Automated Import Reference System (AIRS</u>) to determine if the certificate is required.

Apples, onions and potatoes are subject to specific grading, labelling, and quality standards, as noted in Canada's <u>Safe Food for Canadian Regulations</u> (Division 6).

The importation of leafy green vegetables from California and Arizona are limited to those growers and suppliers that have met the Leafy Green Good Agricultural Practices (GAP) food safety guidelines and are a signatory to the <u>California Leafy Greens Marketing Agreement</u> and the <u>Arizona Leafy Greens Marketing Agreement</u>. Guidelines on import requirements for leafy greens from Arizona and California are found on the <u>CFIA webpage</u>.

Horticultural exporters are asked to review the <u>list of permissible plants</u> for import into Canada prior to securing paperwork. Plants with soil can only be imported from the continental United States. Those plants that are part of the <u>Canadian Growing Media Program (CGMP)</u> may be considered for exports into Canada.

Import of greenhouse-grown plants from Canada is simplified by the revised <u>U.S.-Canada</u> <u>Greenhouse Certification Program (GCP)</u>. A cooperative greenhouse certification program has been in place between the United States (as the USCGP) and Canada (as the CGCP) since 1996. The program facilitates the trade of greenhouse-grown plants between the United States and Canada by allowing authorized facilities to use an Export Certification Label (ECL, also called a "GCP Sticker") in lieu of a phytosanitary certificate.

#### G. Live Animals and Live Reproductive Organisms - Animal Health Export Certificate

APHIS Veterinary Services (VS) issues health certificates certifying the herd and animal health status for live animals and live reproductive organisms exported from the United States. In addition, export health certificates are needed for aquatic animals to Canada. Depending on the type of animal, additional tests and vaccinations may be required.

Visit the <u>APHIS Export of Live Animals to Canada</u> with a complete summary of requirements for embryos, horses, cattle, goats, and other live animal exports to Canada. A list of accredited veterinarians can be found <u>here.</u>

#### Travelling with and Shipment of Pets to Canada

If you are travelling with a pet or planning to import an animal to Canada, you will need the right paperwork at the border to meet Canada's import requirements. If you don't, you risk experiencing delays at the border and your animal may not be allowed into Canada. Canada has specific import requirements in place to avoid introducing animal diseases to protect its people, plants and animals. The latest information related to pets entering Canada is on <u>the CFIA webpage</u>.

As of August 1, 2024 dogs vaccinated in the U.S., but living in Canada and returning into the U.S., may be required to complete additional requirements as outlined by the <u>Center for Disease</u> <u>Control (CDC)</u>.

#### H. Fresh, Frozen Fish and Seafood

The <u>U.S. Department of Commerce (USDC)</u>, National Oceanic and Atmospheric Administration -<u>Seafood Inspection Program (NOAA - SIP)</u> advises its U.S. exporters that wild marine fish and seafood products for human consumption destined to Canada must originate from the U.S. or a country that is approved to export that product directly to Canada, as identified in the <u>Automated Import Reference System (AIRS)</u>. These <u>instructions</u> establish and implement a procedure that will assure national uniformity when completing and issuing aquatic animal health certificates for products shipped from the U.S. to Canada. U.S. Exporters are advised to check AIRS to determine if the seafood product requires an aquatic animal health certification with the import permit and, if the originating country is approved for export to Canada.

Aquatic animals listed as <u>susceptible to regulated diseases</u> require an import permit to enter Canada. This measure is aimed at protecting Canada's aquatic animal resources.

The three U.S. federal agencies that function as Competent Authorities for exported aquatic animals (<u>USDA-APHIS</u>; NOAA Fisheries; and Fish and Wildlife Services [FWS]) have worked with the CFIA on specific export requirements that will facilitate continued U.S. trade in aquatic animals and products with Canada. In many cases, regionalization has been established and

animals can move without a health/zoosanitary certificate from the origin. All products must meet the <u>Safe Food for Canadian Regulations</u>. Certain Fish and Seafood products must meet standards of identity and grades.

## Section III. Specific Attestations Required on Export Certificates

See matrix above for required attestations.

## Section IV. Government Certificates Legal Entry Requirements

#### **Business Registrations and Food Licenses on Imported Food into Canada**

Every shipment clearing the border (no matter the commodity) must have an <u>importer of record</u>. Those exporters responsible for clearing their own products at the border and/or shipping it to a distribution center in Canada are deemed as the importer of record and must apply for <u>Safe Food For Canadian</u> <u>import license</u> (SFC) under the <u>Safe Food for Canadians Regulations</u>. One of the purposes of the SFC license is to ensure proper safety controls are in place and should there be any product recalls, the producer/supplier can be identified. These regulations do not apply to producers shipping directly to a distribution center in the U.S. for a Canadian buyer.

For those U.S. exporters with no physical address in Canada but opting to import their own product, they will need to apply as a <u>Non-Resident Importer (NRI)</u>. The process for an NRI to obtain a SFC license is done in two steps. The first step is to apply for a nine-digit <u>Business Number (BN)</u> with the Canada Revenue Agency. Once the BN is obtained then the second step is to apply on-line for the <u>import license through CFIA</u>. This process may take approximately two to six weeks.

CFIA in coordination with <u>Canada Border Services Agency</u> has established a <u>Pre-arrival Review</u> <u>System (PARS)</u> allowing importers, NRIs, and <u>custom brokers</u> to submit, review, and process all required digital documentation.<sup>1</sup> Submission are made to CFIA-PARS with minimum of four hours to a maximum of 30 days' notice. It is recommended those products such as fresh, frozen, and live animals be submitted early allowing for the maximum days of review. Once approved, the importer or customs broker will be notified. All approvals are listed on CBSA's <u>Release Notification System</u>. To obtain more information contact the <u>National Import Service Centre (NISC)</u> at 1-800-835-4486.

## Food Irradiation and Attesting to the Radioactivity of Imported Food

Food irradiation is the treatment of food with a type of radiation energy known as ionizing radiation. Ionizing radiation may be used for various reasons depending on the food: it may be used to kill microorganisms that can cause human illness or cause food to spoil; to control insect or parasite infestation; or to slow the ripening or sprouting of fresh fruits and vegetables. The permitted amounts of ionizing radiation are too low to negatively affect the nutritional quality of food and they are too low to cause radioactivity in food.

<sup>&</sup>lt;sup>1</sup> Documentation includes, commercial invoice, all required certificates, declarations, cargo numbrequirements.



In Canada, <u>food irradiation is strictly regulated</u> under the Food and Drug Regulations. Division 26 (Part B) of these Regulations includes a table that lists the foods that may be irradiated and sold in Canada. The table identifies the permitted types and sources of ionizing radiation, the purpose of treatment, and the permitted absorbed dose of ionizing radiation. Labelling requirements for irradiated foods are set out in Division 1 (Part B) of the Regulations.

Only the following irradiated foods: (1) potatoes, (2) onions, (3) wheat, flour, whole wheat flour, (4) whole or ground spices and dehydrated seasoning preparations, and (5) ground beef (fresh and frozen) are currently permitted for sale in Canada.

Canada does not require a Certification in Relation to Radioactivity for food and/or beverage items exported from the United States.

## All Products: USMCA Certification of Origin

As mentioned above in Section II, the <u>commercial invoice</u> is sufficient in providing the name of the producer, location, and the origin of the goods of the producer. Shipping papers may be submitted to CBSA through a customs broker. Shipments with an estimated duty value of CAD\$3,300 (USD\$ 2,500) do not need a certification of origin but is recommended, particularly for food samples.

## A. Meat, Poultry and Processed Egg Products

In addition to the export certificates mentioned in Section II (B), the <u>CFIA has specific labelling</u> requirements on meat and poultry products. Attention should be made to the differences in nutritional labelling formats, grade names, levels of water retention, and required bilingual labelling for both retail and food service products and other components.

#### C. Ready-to-Eat Meat and Poultry Products

Heath Canada remains vigilant on the control measures for Listeria Monocytogenes in ready-toeat foods. U.S. exporters are urged to review the <u>2023 Overview</u> to maintain compliancy.

#### D. Bison – USDA Export Verification (EV) Program

Shipping documentation (bills of lading, shipping manifests, or letters of guarantee) must contain the following information:

- Clearly identified product type and quantity
- One of the following applicable statements:
  - 1. "Product Meets EV Program Requirements for Canada" for finished products eligible for export.
  - 2. "Product Meets EV Program Requirements for Canada excluding final fabrication requirements" for products that must be further fabricated to meet all requirements prior to being eligible to export.

## E. Pet Foods

i. Veterinary Export Certificate for Heat-Processed, Shelf-Stable Pet Foods, Treats, and Compound Chews

As the APHIS Veterinary Certificate for Heat-Processed, Shelf-Stable Pet Foods, Treats, and Compound Chews can cover multiple shipments within a 30-day window, original copies of the export certificate are not required upon entry. Every shipment should be accompanied by a copy of the endorsed export certificate. Each shipment imported into Canada with a USA Multiple Shipment Export Certificates must be accompanied by an Importer's Statement of Compliance containing the shipment specific information. Import conditions and the information required on the Importer's Statement of Compliance containing the shipment's Statement of Animal Products and By- Products.

## ii. Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from a Bovine Approved Facility to Canada

For APHIS Veterinary Services to certify U.S.-origin pet foods using the Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from a Bovine Approved Facility to Canada:

- The exporter must present a valid and current <u>import permit</u> issued by CFIA ( see Section V, business registrations);
- If the exporter is not the approved manufacturing facility, the exporter must provide documentation to show that the pet food products were manufactured in facilities approved by APHIS/VS; and
- The VS Area Office must verify that the manufacturing facility(ies) has/have been approved by VS and are listed in the APHIS internal database as being approved to export the pertinent product to Canada with a last validated inspection date in the previous 365 days.

#### iii. Labelling

The Competition Bureau of the Canadian government provides guidelines on <u>labelling</u> and advertising of prepackaged pet food for dogs and cats (but does not apply to pet treats nor other food for other pets).

#### F. Fresh Fruit, Horticultural and Vegetable – Phytosanitary Certificates

APHIS Plant Protection and Quarantine (PPQ) Division is responsible for issuing the <u>phytosanitary certificate attesting that</u> plants or plant products ensuring they are free from pests and diseases. This may include produce, seeds, grains, cotton, lumber, and other specialty crops. APHIS offers an <u>Export Manual Guide</u> on- line which outlines the various commodities in their scope.

All rooted produce requires a APHIS-issued <u>Phytosanitary Certificate</u>. Exporters are urged to go on to the <u>Automated Import Reference System (AIRS</u>) to determine if a certificate is required.

Apples, onions and potatoes are specific grading, labelling, and quality standards that must be met for sale in Canada as noted in Canada's <u>Safe Food for Canadian Regulations</u> (Division 6).

On occasion CFIA will provide <u>ministerial exemptions</u> on fruits and vegetables if there is a shortage of domestic supply. In most instances, these are bulk shipments exceeding over 50 pounds (mixed grades are not allowed).

The importation of leafy green vegetables from California and Arizona are limited to those growers and suppliers that have met the Leafy Green Good Agricultural Practices (GAP) food safety guidelines and are a signatory to the <u>California Leafy Greens Marketing Agreement</u> and the <u>Arizona Leafy Greens Marketing Agreement</u>. Guidelines on import requirements for leafy greens from Arizona and California are found on the <u>CFIA webpage</u>.

#### G. Live Animals and Live Reproductive Organisms - Animal Health Export Certificate

For all commodities other than Aquaculture / Aquatic Animals to Canada, USDA Accredited Veterinarians may issue health certificates electronically through the online Veterinary Export Health Certification System (VEHCS). APHIS-endorsed, printed paper copies of appropriate export certificates and required additional forms must accompany the shipment at port of entry. For certain animals, a specific port of entry may need to be used to process documentation. For animal-specific requirements, exporters are encouraged to consult the <u>APHIS IRegs website</u>.

#### Section V. Other Certification/Accreditation Requirements and Business Registrations

#### H. Fish and Seafood

In addition to the zoosanitary certificate mentioned above under Section II(H). <u>The National</u> <u>Oceanic and Atmospheric Administration (NOAA)</u> maintains an inspection program offering inspection services and export certificates which cover sanitation inspection, grading system and process audits, and other services.

In recent years, particularly in the fish and seafood industry, consumers have taken notice of sustainable fishing practices. These certifications are conducted by third party organizations and are strictly voluntary, such as the Marine Stewardship Council, Aquaculture Stewardship Council, and others.<sup>2</sup>

#### I. Products Regulated by the Food and Drug Administration (FDA)

Firms exporting products from the United States are often asked by foreign customers or foreign governments to supply a written export certification for products regulated by the U.S. Food and Drug Administration (FDA). FDA may provide this certification in a form the agency determines appropriate. For food products, FDA provides written certification for exports in the form of certificates and lists of eligible exporters for specific products or destinations.

For more information on FDA-Issued Export Certificates go to FDA's website for <u>Food Export</u> <u>Certificates</u>. Export Certificates include Certificate to a Foreign Government, Certificate of Exportability, Certificate of Free Sale, Certificate for Cosmetics, and health certificates for collagen and gelatin for products intended for export to the European Union.

<sup>&</sup>lt;sup>2</sup> USDA does not endorse any one third party certification body.

For certain exported food products, some foreign food safety authorities require certification in the form of publicly available lists of establishments eligible for export. For more information on FDA Food Export Lists go to FDA's website for Food Export Lists.

For more information, contact <u>export certification offices</u> within CFSAN and visit the <u>FDA Food</u> <u>Export Certificates webpage</u>.

#### J. Organic

The United States and Canada maintains an <u>organic equivalence arrangement</u>. The equivalence arrangement allows products to bear either the USDA Organic seal and/or the Canadian organic logo on the food product and will be recognized and accepted in both countries. Those organic product shipments are to be accompanied by the organic certificate issued by an AMS <u>U.S.</u> <u>accredited certifying agent</u>. The certificate will need the following attestation statement: "Certified in compliance with the terms of the US-Canada Organic Equivalency Arrangement."

U.S. firms are reminded that the import and sale of organic food products in Canada are governed by the same rules and regulations that apply to non-organic food products. No distinction is made between organic and non-organic food regarding import requirements. Currently, all Canadian packaging and labeling, grade, and inspection regulations apply equally to organic and non-organic foods.

# Appendix I. Electronic Copy of Selected Export Certificates Examples

		UNITED S	TATES MEXICO CERTIFIC	CANADA A	33	USMCA)		
. CERTIFIER	CERTIFIER TYPE (INDICATE "X")					BLANKET PERIOD	FROM:	
	IMPORTER		EXPORTER		PRODUCER	(MM/DD/YYYY)	TO:	
2. CERTIFIE NAME NODRESS	R NAME, ADDRESS, PH	ONE, AND EMAI	Ľ	3. EXPORTER NAME ADDRESS COUNTRY		PHONE, AND EMAIL	,	
EMAIL		PHONE		EMAIL		Prome		
	ICATION NUMBER ER NAME, ADDRESS, PH	HONE, AND EMA	AIL		NAME, ADDRESS, I	PHONE, AND EMAIL		
DDRESS				ADDRESS				
OUNTRY		PHONE		COUNTRY		PHONE		
EMA/L				EMAIL				
AX IDENTIF	ICATION NUMBER		<u> </u>		TION NUMBER	-	207	
	6. DESCRIPTION OF GOO	)D(S)	7. HTS	8. ORIGIN CRITERION	9. PRODUCER (YES/NO)	10. METHOD OF QUALIFICATION		11. OUNTRY ORIGIN
ND ACCUR	HAT THE GOODS DESCR RATE, I ASSUME RESPOI DURING A VERIFICATIO	NSIBILITY FOR P	ROVING SUCH REPRES	ENTATIONS AND RY TO SUPPORT TI	AGREE TO MAINTA	AIN AND PRESENT UPO		
AND ACCUR	RATE. I ASSUME RESPO DURING A VERIFICATIO	NSIBILITY FOR P ON VISIT, DOCUI	PROVING SUCH REPRES	ENTATIONS AND RY TO SUPPORT TI	AGREE TO MAINTA	AIN AND PRESENT UPO		
AND ACCUR	RATE. I ASSUME RESPO DURING A VERIFICATIO	NSIBILITY FOR P ON VISIT, DOCUI	PROVING SUCH REPRES	ENTATIONS AND RY TO SUPPORT TI	AGREE TO MAINTA HIS CERTIFICATION	AIN AND PRESENT UPO		

## **Example of USMCA Certification of Origin**

USMCA CERTIFICATE V3

# Example: FSIS Form 9060-5EP, Certificate for Wholesomeness for Meat and Poultry

U.S. DEPARTMENT OF AGRICULTURE FOOD SAFETY AND INSPECTION SERVICE FIELD OPERATIONS EGG PRODUCTS EXPORT CERTIFICATE OF WHOLESOMENESS			A knowingly false entry or alteration of any entry on the certificate m result in a fine of not more than \$10,000 or imprisonment for not m than five years or both (18 USC 1001). Additional Prohibited Acts a Penalties exist under the Egg Product Inspection Act [21 USC 1037 (2), (3), and (6), 21 USC 1041] for an unauthorized or false alteration misuse of this certificate.		
EXPORTED BY (Applicant's Name and Address Including ZIP Code)			EPA- 000006		
			EST / DI AM	PRODUCT EXPORTED FR	OM
STAN	ASI		Lon. IT Date		
The sale			CITY		
CONSIGNED TO ' (Name and Add	ess including ZIP Co	de)		EHOUSE	
			PRO	CESSING PLANT	
				ER (Please Specify)	
TOTAL MARKED NET WEIGHT	TOTAL CO	NTAINERS			
PRODUCT AS LABE	LED	MARKED WEIGHT OF LOT	NUMBER OF PACKAGES IN LOT	SHIPPING MARKS / LOT NUMBER *	ON
			INLOI		PRODUCT
		-			
			-		
'As stated by the applicant or contra REMARKS	ctor				
I CERTIFY that the egg prod of the United States Departm				ed in accordance with the applicable	laws and regulations
	INSPECTOR	VALID UNLESS SI	GNED BY A PSE	Date Signed (MM/DD/YYYY)	
By order of the Secretary of Agriculture					
				of the truth of the statement herein ed by the United States Department	
This ceruncate does not excl.	the remark to comply a	man and an ana ragen		The second s	

## **Example:** Pet Food ,Thermally Processed Pet Food/Treats/Compound Chews (1 of 3)

#### VETERINARY EXPORT CERTIFICATE FOR THERMALLY PROCESSED<sup>1</sup> PET FOOD/PET TREATS/COMPOUND CHEWS CONTAINING ANIMAL PRODUCTS AND BY-PRODUCTS EXPORTED FROM THE UNITED STATES OF AMERICA TO CANADA

Consignee (Name and Address):
Description of product(s):
<ul> <li>Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (e.g., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight).</li> <li>Pet foods and/or pet treats with non-exempt bovine-origin ingredients.</li> </ul>
RESALE
Any Canadian Port of entry
Date of Certificate Expiration <sup>3</sup> : mm/dd/yyyy
□Truck

# Example: Pet Food and Treats Certificate (2 of 3) Health Certificate Number:

Page \_\_\_\_ of \_\_

I, the undersigned Official Veterinarian of the Animal and Plant Health Inspection Service (APHIS), certify that this office has on file a valid APHIS approval(s) of the manufacturing facility(ies) to verify the accuracy of the statements below: 1. The certified pet food and/or pet treats were processed in a facility or facilities located in the USA or Canada and authorized by the official competent veterinary authority in the country of origin to manufacture pet food containing animal products and by-products.<sup>2</sup> 2. The certified pet foods and/or pet treats in the shipment may include the following [check all that apply]: Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (e.g., milk or milk products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight). Pet foods and/or pet treats with non-exempt bovine-origin ingredients. 3. In the case of pet foods or pet treats containing tallow, the tallow used is protein-free with a maximum level of insoluble impurities of 0.15% by weight. 4. In the case of pet foods or pet treats containing bovine meat, offal, or any bovine ingredients other than exempt ingredients, they meet the following requirements: a. Bovine-origin raw materials [meat/offals]: i. were harvested in the United States from U.S.-origin bovine or legally imported bovine that: were presented live for slaughter AND were not subjected to a stunning process prior to slaughter, with a device injecting compressed air or
gas into the cranial cavity, or to a pithing process, and were humanely rendered unconscious prior to being bled AND/OR [check all that apply]: ii. Were legally imported from a country or countries recognized by the CFIA to be of : Negligible BSE Risk Status<sup>4</sup> OR Controlled BSE Risk Status [Insert name of country or countries]: and that: do not contain AND have not been contaminated with bovine specified risk materials (SRMs)5. . All bovine-origin rendered protein materials<sup>6</sup> originate only from countries of Negligible BSE Risk Status. 5. The certified pet foods and/or pet treats were sufficiently heat processed to ensure the destruction of pathogens that represent a risk to public or animal health. Precautions were taken to prevent cross-contamination of the finished products with any animal origin product or by-6. product derived from animals of a lesser zoosanitary status.

#### **Example: Pet Food and Treats Certificate** (3 of 3)

Health Certificate Number:

Page \_\_\_\_ of \_\_\_\_

Official Seal	
	Signature of Official Veterinarian
	Name of Signatory (in capital letters)
	Title of Signatory (in capital letters)

Notes:

1: "Processed" includes only heat treated and shelf-stable products.

2: In the case of multiple pet food manufacturing facilities, please attach addendum with complete list of names and either addresses OR USDA-APHIS approval numbers (if applicable).

3: This certificate will be valid for 30 days after veterinary endorsement. Shipments of thermally processed pet food/chews/treats certified under this protocol must arrive at the Canadian port prior to the expiration date.

4: The CFIA follows the OIE categorization of countries for BSE Risk Status

5: The term SRM refers to Specified Risk Materials of bovine animals originating from countries other than Negligible BSE Risk Status. SRMs include: the skull, brain, trigeminal ganglia, eyes, spinal cord and vertebral column (excluding the vertebrae of the tail, the transverse processes of the thoracic and lumbar vertebrae and the wings of the sacrum), the palatine tonsils from bovine animals aged 30 months and older and the distal ileum from bovine animals of all ages.

6: Bovine-origin animal proteins /processed proteins include those bovineorigin products produced in rendering facilities, including animal origin meals such as rendered meals, protein digests, hydrolysates, etc.

## Example: SC-205 – USDA AMS Specialty Crops Program Export Form Certificate



United States Department of Agriculture CMB No. 0681-0143 Exp. 08/96/2019

SPECIALTY CROPS PROGRAM EXPORT FORM CERTIFICATE

X-EXAMPLE

spments to o pment, the shi	CANADA: The original of	this certificate must accompany regu	y be denied exit from U.S. Commerce or pil	ada Customs for entry. If the original does not accompan
ARNING: Any ,000 or Impriso	person who knowingly sho ment for not more than o	al faisely make, issue, alter, forge, o ne year, or both.	r counterfeit this certificate, or participate in	any such actions, is subject to a fine of not more than
ATE ERTIFICATE SUED			COUNTRY OF DESTINATION:	
PPLICANT/			CITY/STATE:	
ECEIVER:			CITY/PROVINCE/ COUNTRY:	
PUND:	10:		CERTIFICATE/ WORKSHEET NUMBER:	6.
PROD	UCT NUM	BER AND TYPE CONTAINERS	DESCRIPTION OF PRODUCT (BRAND, VARIETY, SIZE, ORIGIN, ET	TC.) GRADE CV
			$ \rightarrow $	
CANADIA	IREMENTS OF MINISTER	AT O CHAND PLUM ACT	DUREMENTS OF THE: EXPORT GRAPE AND PLUM ACT EXOST ULTURE CANADA'S FRESH FRUIT AND SUED BY AGRICULTURE CANADA AS FO WITH AMENDMENT	
EMARKS:				
E.	5	L the undersigned, a duty author	rized inspector of the United States Denart	ment of Agriculture, do hereby certify that samples of the
VERTIME:	\$	herein described product were i	nspected and the grades as shown by said	I samples were as herein stated.
PENSES	5	INSPECTOR'S SIGNATURE		ISSUING OFFICE
	MATED TOTAL			
ESTI		-		

\$C-205 (04/17)

## Example: PPQ Form 572 - Application for Inspection and Certification of Domestic Plants and Plant Products for Export

No Phytosanitary Export Certificate can be issued until an application is completed (7 CFR 353). FORM APPROVED OMB NO. 0579-0052	displays a valid OMB control nu required to complete this inform	laction Act of 1985, no persons are requi- imber. The valid OMS control number ation collection is estimated to average ing existing data sources, gathering an ation.	for this information .25 minutes/hours p	collection is 0570-0052. The time or response, including the time for
ANIMAL AND PLANT I APPLICATION FOR INSPECTION /	PARTMENT OF AGRICULTURE LAAT HEALTH INSPECTION SERVIC ROTECTION AND QUARANTINE IND CERTIFICATION OF RODUCTS FOR EXPORT	DOMESTIC PLANTS AND	to the Officer II treatment, and ce	APPLICANT - Forward original n Charge where inspections, stification will be given (item 4), thru 11. OFFICER - Complete
1. NAME AND ADDRESS OF EXPORTER		3. NAME AND ADDRESS OF	APPLICANT (or e)	(porters agent)
			AREA CODE	AND PHONE NO.
2. NAME AND ADDRESS OF FOREIGN CONSIGNEE		4. PLACE WHERE ARTICLES AND/OR TREATMENT AND C	WILL BE MADE A ERTIFICATION (Po	VAILABLE FOR INSPECTION of and location)
		5. APPROX. DATE OF DEPAI		5. PORT OF EXPORT
	7. DESCRIPTION OF A	ARTICLES TO BE CERTIFIED		
QUANTITY AND NAME OF PRODUCE AND BOTANICAL NAME				
NUMBER AND DESCRIPTION OF PACKAGES				
). DISTINGUISHING MARKS				
L CERTIFIED ORIGIN				
DECLARED MEANS OF CONVEYANCE				
		represented.	ace where grow	n) of the articles listed is as
DECLARED POINT OF ENTRY		10. SIGNATURE (applicant or	exporters agents)	11. DATE
E	PORT INSPECTION DATA - (70 be fil	ed in by Plant Protection and Quarantine Of	ficer)	
2. LOCATION OF ARTICLES		13. % OF MATERIALS EXAMINED	) 14. %	OF MATERIALS INFESTED
5. FINDINGS AND/OR TREATMENT GIVEN (Use news	te il necessary)	154 2000	ļ.	

#### **Glossary of Acronyms**

AMS – Agricultural Marketing Service of USDA AIRS – Automated Import Reference System APHIS - Animal and Plant Health Inspection Service of USDA BN – Business Number (Canada) CBP - Bureau of Customs and Border Protection CBSA – Canada Border Service Agency CDC -Center for Disease Control CGMP - Canada Growing Media Program CFIA – Canadian Food Inspection Agency CFG - Certificate to a Foreign Government CFSAN – Center for Food Safety and Applied Nutrition CFSAN eCATS - CFSAN Export Certification Application and Tracking System **COPR** – Canada Organic Products Regulations **EV** – Export Verification FDA – Food and Drug Administration FSIS – Food Safety and Inspection Service FWS – Fish and Wildlife Service Export Program GCP – U.S.-Canada Greenhouse Certification Program HACCP - Hazard Analysis and Critical Control NOAA - National Oceanic and Atmospheric Administration NOP – National Organic Program NRI-Non-Resident Importer PARS – Pre-Arrival Review System PEEPEV – Processed Egg and Egg Products Export Verification PHIS – Public Health Information System PPO – Plant Protection and Quarantine RTE – Ready-To-Eat SFCA – Safe Food for Canadians Act SFCR – Safe Food for Canadians Regulations SRTG – State Regional Trade Groups USCOEA - United States-Canada Organic Equivalence Arrangement USMCA - United States-Mexico-Canada Free Trade Agreement

#### **Attachments:**

No Attachments

## Attachments:

No Attachments