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Food and Agricultural Import Regulations and Standards

Export Certificate Report

2005

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Report Highlights:

This report identifies export certificates issued by U.S. issuing authorities to comply with Canadian import standards and regulations or to attest that the product is subject to U.S. government regulation. It is intended as a supplement to the Food and Agricultural Importation Regulation Report (FAIRS) submitted in August 2005 as CA5053.

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Executive Summary

This report identifies export certificates issued by U.S. issuing authorities to comply with Canadian import standards and regulations or to attest that the product is subject to U.S. government regulation. It is not intended as a compendium of Canadian import requirements but rather as a supplement to the Food and Agricultural Importation Regulation Report (FAIRS) submitted in August 2005 as CA5053.

Section I. List of Export Certificates

Product(s)	Name of Certificate	Purpose / Attestation	Issuing Authority	Authority Requiring Certificate	Legal Reference	Electronic Copy Attached
All	NAFTA Certificate of Origin CBP, form 434	To claim preferential tariff treatment	Bureau of Customs and Border Protection	CBSA	NAFTA	YES;
Beef	Beef Export Verification Program Certificate	To attest to eligibility to export beef to Canada	AMS	CFIA	Meat Inspection Regulations	NO
Meat and Poultry Products	FSIS Form 9135-3, Certificate for Export of Meat and Poultry Products to Canada	Product Safety and Wholesomeness	FSIS	CFIA	Meat Inspection Regulations	NO
Live Animals, Honeybees	Zoosanitary Export Certificate	Animal Health	APHIS	CFIA	Animal Health Regulations	NO
Plant and Plant Products	Phytosanitary Certificate PPQ Form 577	Plant Health, Freedom from Pests	APHIS	CFIA	Plant Health Regulations	YES
Fresh Apples, Onions, Potatoes	Fresh Fruit and Vegetable Inspection Certificate FV-300	Grading and Quality	AMS	CFIA	Fresh Fruit and Vegetable Regulations	YES
Fish and Seafood	NOAA Inspection Certificate	Vessel And Plant Sanitation, Product Inspection, Grading, Safety And Wholesomeness	NOAA	none	Voluntary	NO
Other Foods	FDA Certificate of Export	The Food is Regulated by FDA	FDA	Not a requirement of entry	N/A	NO

Section II. Purpose of Export Certificates

NAFTA Certificate of Origin

This is a trilaterally agreed upon form used by Canada, Mexico, and the United States to certify that goods qualify for the preferential tariff treatment accorded by NAFTA. The Certificate of Origin must be completed by the exporter.

USDA Beef Export Verification (BEV) Program

The BEV export certification provides the specified product requirements for marketing U.S. beef to Canada. The requirements apply to U.S. suppliers (slaughterers, fabricators, or processors) of beef, beef products, and beef offal items that are eligible for export to Canada as listed with the Food Safety and Inspection Service (FSIS). Suppliers who wish to label and sell product as meeting the specified product requirements for Canada under the BEV Program must meet these requirements through an approved USDA Quality System Assessment.

Meat And Poultry Export Certificate

FSIS Form 9135-3, Certificate for Export of Meat and Poultry Products to Canada certifies that U.S. meat and poultry exports to Canada meet the eligibility, safety and wholesomeness requirements laid out in the Canadian Meat Inspection regulations for imports of meat and poultry from the United States.

Zoosanitary Export Certificate

Is an animal health form certifying that certain live animals and bees meet the animal health import requirements of Canada. For further information, see the electronic Animal Products Manual of the Animal and Plant Health Inspection Service of USDA:

http://www.aphis.usda.gov/ppq/manuals/port/APM_Chapters.htm

Phytosanitary Certificate

A phytosanitary certificate certifies that the plants or plant products are free from quarantine pests, practically free from other injurious pests, and conform with the current phytosanitary regulations of Canada. For further information see the electronic manuals of the Animal and Plant Health Inspection Service of USDA:

http://www.aphis.usda.gov/ppq/manuals/online_manuals.html

The United States does not require phytosanitary export certification but provides the service, if requested. Plant Protection and Quarantine (PPQ) is the unit within the Animal and Plant Health Inspection Service (APHIS) that serves to assist exporters in meeting the plant quarantine import requirements of foreign countries.

Export Certification Manual

Serves as an operational reference to be used when inspecting and certifying plants and plant products offered for export and when issuing federal plant export certificates. Provides Authorized Certification Officials specific instructions on how to help exporters meet the plant quarantine import requirements of foreign countries. Updated 10/07/05.

For additional information on APHIS Export Certification services, go to the following APHIS webpage:

<http://www.aphis.usda.gov/ppq/pim/exports/index.html>

Fresh Fruit and Vegetable Inspection Certificate

AMS form FV-300 certifies that U.S. fresh apples, onions, or potatoes meet Canadian import standards for grade and quality established in the Canadian Fresh Fruit and Vegetable Regulations.

Section III. Other Export Certifications

NOAA Inspection Certificate

The National Oceanic and Atmospheric Administration (NOAA) Seafood Inspection Program offers a variety of professional inspection services which ensure compliance with all applicable food regulations. In addition, product quality evaluation, grading and certification services on a product lot basis are also provided. Benefits include the ability to apply official marks, such as the U.S. Grade A, Processed Under Federal Inspection (PUFI) and Lot Inspection.

Food and Drug Administration

The U.S. Food and Drug Administration can issue a Certificate of Export, which will generally indicate that the product is regulated by the Food and Drug Administration (FDA) and that the product is not at this time the subject of any enforcement action by the FDA. Such certificates are neither guarantees nor a certification of the product's safety and quality. They are issued at the request of a domestic (US) company.

FDA will issue Certificates assuming the product meets the requirements of 801(e) of the Federal Food, Drug, and Cosmetics Act as follows:

1. accords to the specifications of the foreign purchaser,
2. is not in conflict with the laws of the country to which it is intended for export,
3. is labeled on the outside of the shipping package that it is intended for export, and
4. the particular shipment is not sold or offered for sale in domestic commerce.

The U.S. Food and Drug Administration's Center for Food Safety and Applied Nutrition now has five offices that process requests for Certificates of Export. When mailing your request, include the specific office address as indicated below:

Office of Plant and Dairy Foods and Beverages, HFS-300
Or Office of Seafood, HFS-400

U.S. Food and Drug Administration
Center for Food Safety and Applied Nutrition
5100 Paint Branch Parkway
College Park, MD 20740-3835

For more information about FDA export certificates, go to the following FDA webpage:

<http://vm.cfsan.fda.gov/~lrd/certific.html>

Organic

The certification of organic foods is not currently a requirement for product entry into Canada. However, there may be marketing benefits associated with organic certification. For more information on Export Certificates (TM-11) visit the USDA's [National Organic Program \(NOP\) Web site on Trade Issues](#).

Section IV. Sample Forms

Samples of several export certificate forms are shown on the following pages.

U.S. DEPARTMENT OF HOMELAND SECURITY Bureau of Customs and Border Protection NORTH AMERICAN FREE TRADE AGREEMENT CERTIFICATE OF ORIGIN						OMB No. 1551-0048 (See back of form for Paperwork Reduction Act notice)
Please print or type						
19 CFR 181.11, 181.22						
1. EXPORTER NAME AND ADDRESS			2. BLANKET PERIOD			
			FROM			
			TO			
TAX IDENTIFICATION NUMBER: 3. PRODUCER NAME AND ADDRESS			4. IMPORTER NAME AND ADDRESS			
TAX IDENTIFICATION NUMBER:			TAX IDENTIFICATION NUMBER:			
5. DESCRIPTION OF GOODS	6. HS TARIFF CLASSIFICATION NUMBER	7. PREFERENCE CRITERION	8. PRODUCER	9. NET COST	10. COUNTRY OF ORIGIN	
I CERTIFY THAT: * THE INFORMATION ON THIS DOCUMENT IS TRUE AND ACCURATE AND I ASSUME THE RESPONSIBILITY FOR PROVING SUCH REPRESENTATIONS. I UNDERSTAND THAT I AM LIABLE FOR ANY FALSE STATEMENTS OR MATERIAL OMISSIONS MADE ON OR IN CONNECTION WITH THIS DOCUMENT; * I AGREE TO MAINTAIN AND PRESENT UPON REQUEST, DOCUMENTATION NECESSARY TO SUPPORT THIS CERTIFICATE, AND TO INFORM, IN WRITING, ALL PERSONS TO WHOM THE CERTIFICATE WAS GIVEN OF ANY CHANGES THAT COULD AFFECT THE ACCURACY OR VALIDITY OF THIS CERTIFICATE; * THE GOODS ORIGINATED IN THE TERRITORY OF ONE OR MORE OF THE PARTIES, AND COMPLY WITH THE ORIGIN REQUIREMENTS SPECIFIED FOR THOSE GOODS IN THE NORTH AMERICAN FREE TRADE AGREEMENT AND UNLESS SPECIFICALLY EXEMPTED IN ARTICLE 411 OR ANNEX 401, THERE HAS BEEN NO FURTHER PRODUCTION OR ANY OTHER OPERATION OUTSIDE THE TERRITORIES OF THE PARTIES; AND * THIS CERTIFICATE CONSISTS OF PAGES, INCLUDING ALL ATTACHMENTS.						
11a. AUTHORIZED SIGNATURE			11b. COMPANY			
11c. NAME (PRINT TYPE)			11d. TITLE			
11e. DATE (MM/DD/YYYY)			11f. TELEPHONE NUMBER		11g. FAX NUMBER	

CBP Form 434 (04/97)

Fresh Fruit and Vegetable Inspection Certificate (Form FV-300)

An inspection certificate is completed for every inspection performed by AMS graders and AMS-licensed state personnel. This official document is accepted in all U.S. courts, and describes the quality and/or condition factors of product packed at shipping point locations or received at destination markets. This page contains a typical inspection certificate, broken out by major sections. Click on each section of the inspection certificate to get a complete explanation of its content.

SHIPMENT OR LOT IDENTIFICATION		LOGGING	
Prefix: L	Number: 78177	State: SW	Lot: LO
Carrier / Lot ID owned by: INSPECTOR		Accession Lot ID: B/2 34567	
Carrier Type / Name: MECHANICALLY REFRIGERATED TRAILOR			
Refrigeration Unit: ☒ On ☐ Off		Door: ☒ Open ☐ Closed	

APPLICANT	
MY PRODUCE CO	
Address: SOMEWHERE, USA	
Shipper: THAT GROWER	
Address: ANYWHERE, USA	
Ship. Site: APPLICANT WAREHOUSE	

U.S. DEPARTMENT OF AGRICULTURE							
AGRICULTURAL MARKETING SERVICE							
INSPECTION CERTIFICATE							
K -							
m	m	d	d	y	y	hour	min
0	4	0	1	0	2	0	7
1	5						

Lot	Temperature	Product	Brand / Variety	Unit	Size	Number of Containers	Ins. Date
A	30	Y	TOMATOES	"LABEL NAME" 55 LB BTWT	S W	550A/50T25E	800 CARTONS
B	30	Y	SWEET PEPPERS	"LABEL NAME" 1 1/2 BL	S W	LARGE	370 CARTONS
C							
D							

Lot	AVERAGE DEFECTS	Including DAMAGE - NEW DAM.	Including DEF. DAM - Yell. Damage	OTHER
A	02	00	00	UNDERSIZE (J-2 25/32)
	06	01	00	QUALITY DEFECTS (SCARS, NOT FAIRLY WELL FORMED) (3 TO 8%)
	08	02	00	SUNKEN DISCOLORED AREAS (4 TO 10%)
	02	08	00	MOLDY STEMS
	02	02	02	DECAY
	20	05	02	CHECKSUM
B	04	00		QUALITY DEFECTS (NOT FAIRLY WELL SHAPED, SCARS)
	06	01		BRUISING (0 TO 5%)
	02	00		PITTED AREAS
	03	03		DECAY (0 to 5%)
	15	04		CHECKSUM
				MEETS SIZE AS MARKED
				LOT B: SIZE: 2 1/2 TO 3 INC
				DIAMETER 2 1/2 TO 3 1/2 IN
				LENGTH
				NO UNDERSIZE
				DECAY EARLY STAGES IN
				2% AFFECTING WALLS AND
				1% AFFECTING STEMS

GRADE	EACH LOT: FAILS TO GRADE U.S. NO 1 ACCOUNT OF CONDITION
REMARKS	INSPECTION MADE DURING THE PROCESS OF UNLOADING

WARNING: Any person who knowingly shall falsely make, issue, alter, forge, or counterfeit this certificate, or participate in any such actions, is subject to a fine of not more than \$1,000 or imprisonment for not more than one year, or both.	I, the undersigned, a duly authorized inspector of the United States Department of Agriculture, do hereby certify that at the request of the applicant and on the date indicated, samples of the herein described product were inspected and the quality and/or condition as shown by said samples were as herein stated.	ESTIM.
	Inspector's Signature: _____ Market Office: SOMEWHERE, USA	\$

FURN FV-300 (10-90) Replaces FV-303 (4-86) and FV-306 (1-80) which are obsolete

Return to: [Fresh Product Grading and Quality Certification](#)

Return to: [AMS Fruit and Vegetable Programs](#)

Example: State Application for Inspection and Certification of Plants and Plant Products for Export

Montana Department of Agriculture Agricultural Sciences Division Field Services Bureau APPLICATION FOR INSPECTION AND CERTIFICATION OF PLANTS AND PLANT PRODUCTS FOR EXPORT		No Federal Phytosanitary Export Certificate can be issued until an application is completed (7 CFR 353).
1. NAME AND ADDRESS OF EXPORTER	2. NAME AND ADDRESS OF APPLICANT (or exporter's agent)	
3. NAME AND ADDRESS OF FOREIGN CONSIGNEE	4. PLACE WHERE ARTICLES WILL BE MADE AVAILABLE FOR INSPECTION AND/OR TREATMENT AND CERTIFICATION (Port and location)	
5. APPROX. DATE OF SHIPMENT	6. PORT OF EXPORT	
7. DESCRIPTION OF ARTICLES TO BE CERTIFIED		
8. QUANTITY AND NAME OF PRODUCE AND BOTANICAL NAME	9. NUMBER AND DESCRIPTION OF PACKAGES	
10. DISTINGUISHING MARKS	11. CERTIFIED ORIGIN	
12. TREATMENTS APPLIED TO COMMODITY (Must attach a statement of treatment)	13. EXPORT PERMIT NUMBER (Must attach copy of export permit with certified English translation)	
14. DECLARED MEANS OF CONVEYANCE	15. DECLARED POINT OF ENTRY	
I certify that the origin (place where grown) of the articles listed is as represented. 16. SIGNATURE (applicant or exporter's agent) _____ 17. DATE _____		
EXPORT INSPECTION DATA (To be filled in by Authorized Certification Official)		
18. LOCATION OF ARTICLES	19. VALUE OF ARTICLES ☐ \$1000 OR LESS (If over \$1000, provide an invoice) ☐ MORE THAN \$1000	20. AMOUNT CHARGED ☐ \$25 ☐ \$50
21. % OF MATERIALS EXAMINED	22. % OF MATERIALS EXPORTED	
23. FINDINGS AND/OR TREATMENT ACTION (Use reverse if necessary)		
24. SIGNATURE	25. DATE AND TIME INSPECTED	

ASD-P50 PC Application Form
(Mar 04)
(previous editions may not be used)