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## Ecuador

### Food and Agricultural Import Regulations and Standards

### Country Report

2005

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**Report Highlights:**

Ecuador's food import regulations are based in international standards and regulations, such as CODEX, OIE, and the SPS Agreement. Although rules are written in consistency with WTO regulations, weak institutions, lack of resources, and inefficiency provides for import processes to be long and cumbersome. Many regulations allow for interpretation and discretionary decisions by authorities that are usually political appointees and lack technical knowledge.

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**FOOD AND AGRICULTURAL IMPORT REGULATIONS AND STANDARDS (FAIRS)**

"This report was prepared by the Office of Agricultural Affairs of the USDA/ Foreign Agricultural Service in Quito, Ecuador for U.S. exporters of domestic food and agricultural products. While every possible care was taken in the preparation of this report, information provided may not be completely accurate either because policies have changed since its preparation, or because clear and consistent information about these policies was not available. It is highly recommended that U.S. exporters verify the full set of import requirements with their foreign costumers, who are normally best equipped to research such matters with local authorities, before any goods are shipped. FINAL IMPORT APPROVAL OF ANY PRODUCT IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY."

## SECTION I. FOOD LAWS

Ecuador is a member of the World Trade Organization since 1996. Its Foreign Trade Law prohibits any economic, administrative or technical practice that may limit or discourage foreign and internal trade, free competition and local production of goods and services. Also, the referred law allows the application of corrective measures only in cases included in the World Trade Organization normative.

Nonetheless, Ecuador maintains both tariff and non-tariff barriers interfering with agricultural imports. Although imports are not subject to excessive tariffs, technical and safety restrictions are not always established with the only purpose of protecting human, animal and plant health. Weak and politically managed institutions often create inefficiency and sudden changes in the application or interpretation of rules and requirements involving food and agricultural imports. Some administrative procedures are cumbersome and require excessive amount of documents as a way to delay or discourage imports.

Food safety is a shared responsibility in Ecuador. The Ministries of Agriculture and Health are in charge of controlling the food supply. Several agencies within these ministries handle the technical and administrative processes of prior authorization, inspection and control of local and imported foods and agricultural products. Lately, with the increasing importance of Biotechnology, the Ministry of Environment has played a major role in proposing regulations regarding the safe use and consumption of transgenic products. Currently there is not a specific biosafety law in Ecuador, but the Ministry of Environment has been designed as the key player on issues related to biotechnology.

### The Official Register

The Official Register (RO) is Ecuador's counterpart of the United States Federal Register. New laws, application rules, executive decrees, ministerial agreements or standards issued in Ecuador come into legal effect on the day after its publication in the Official Register, unless a specific date had been established for this purpose.

The Official Register is the official legal publication of Ecuador, and its purpose is to inform stakeholders and the population about any new laws, decrees, agreements or other legal dispositions. It is a paper-printed publication sold mainly in Quito, at a price of US\$0.25. A few private companies also commercialize this publication in electronic format for a yearly charge of approximately \$200.

### The Ministry of Agriculture and Livestock (MAG)

The Ministry of Agriculture and Livestock is responsible for promoting harmonic and sustained development of the agricultural and livestock sectors of Ecuador, through direction and evaluation of agricultural and livestock activities. The laws by which this agency is ruled are mainly the following:

#### Agricultural Development Law

This law, published in OR #55 of April 30, 1997, guarantees free imports and internal trade of agricultural inputs, improved seeds, improved plants and animals, machineries, equipment and technology, except for those qualified by the country of origin as harmful to the environment.

Plant Health Law

This law, published in OR #475 of January 18, 1974, is aimed at preventing and controlling plagues, illnesses and pests that could affect agricultural crops. This norm establishes regulations, conditions and prohibitions for imports, exports and local trade and production of certain plant products.

Animal Health Law

The Law of Animal Health, published on OR #409 of March 31, 1981, was enacted to prevent, control and eradicate illnesses in Ecuador's livestock population. This Law points out that the Ministry of Public Health, along with the Ministry of Agriculture and Livestock, must control the quality of animal origin products destined for human consumption. Also, these two institutions must prohibit trade and call back harmful products from the market. This law also states the obligation to obtaining previous authorization and a sanitary certificate from the Ministry of Agriculture for importing and exporting animals and their products and by-products.

Law of Seeds

This law is related to all requirements for imports and exports of seeds. It also mentions the obligation of seed importers and exporters to register as such with the Ministry of Agriculture and Livestock. Furthermore, this law regulates commercialization of seeds and seeds products.

Law for the Formulation, Manufacture, Import, Trade and Use of Pesticides and Similar Products of Agricultural Use

This law establishes the obligation of every natural person or company importing, manufacturing or distributing pesticides and similar products for agricultural use, to register at the Ministry of Agriculture for such purposes. It also rules transportation, storage, labeling and advertisement of these products. This legal body also determines tolerance residue levels for pesticides.

**Agencies within the Ministry of Agriculture (MAG)**Ecuadorian Animal and Plant Health Service (SESA)

SESA's basic purpose is to ensure good phytosanitary conditions of agricultural crops and good health of livestock population. It is also responsible for impeding the entry and spread of exotic pests and diseases, and for eradicating existing animal diseases and plant pests. SESA is the Ecuadorian counterpart of APHIS.

Among its duties, SESA must demand the fulfillment of legal norms and international regional standards (such as Andean Standards) that regulate imports, exports manufacturing, formulation, distribution, local trade and end-use of agricultural products, pesticides, and veterinarian products. This agency issues Health or Phytosanitary certificates that will allow imports of products and by-products from animals and plants (including plant breeding material).

### Domestic and International Trade Office

This office, under the supervision of the Undersecretary for Strategic Management of the MAG, is responsible for gathering and analyzing agricultural trade and production data in order to suggest policies and legislation changes. In practice, this office is the administrative instance in the Ministry of Agriculture in charge of receiving prior import authorization requests and passing them onto SESA for sanitary certification, and to the Undersecretary of Strategic Management for final approval.

Note: The Prior Authorization requirement for food and agricultural products is embodied in COMEXI (Foreign Trade Council) Resolution 183, published in RO Especial Edition #6 of May 5, 2003. This document provides a positive list of each HTS line subject to prior import approval from either the Ministry of Agriculture or the Ministry of Health, depending on the product's degree of processing.

### Undersecretary for Agricultural Development

Main responsibilities of this office are:

- a. Establish requirements for manufacture, formulation, import and trade of fertilizers, animal feed and seeds.
- b. Control the quality of locally traded fertilizers, animal feed, seeds and vegetal material.

## **The Ministry of Public Health (MSP)**

The Ministry of Public Health, through the National Control and Surveillance System, and its dependencies, regulates the entry and consumption of processed food products, beverages, additives and pesticides. On regards to food imports, the National Control and Surveillance System is ruled by the following laws and regulations:

### The Health Code

The health code establishes the obligation of obtaining the Sanitary Registration prior to import, trade, production, storage or transportation of processed food, beverages, additives, and pesticides for domestic, agricultural or industrial use. According to this law, the Ministry of Public Health (MSP) and its agencies are responsible for the inspection and control of all processed foods, beverages and food additives.

### The Sanitary Registration Law

This law regulates the process to obtain sanitary registrations for imported and locally produced food products and beverages. It affirms the obligation to obtain the Sanitary Registration prior to importation and local distribution of food products. It also provides different requirements for imported products and locally produced products.

### Food Regulation

This set of rules describes all requirements for food products to be authorized for free sale. It contains information on manufacture processes, container, packaging and labeling requirements. Moreover, the food regulation governs the activities of transportation, distribution and trade of food products.

## **The Law of Consumer Defense**

Its objective is to guarantee consumer rights to obtain quality, regularity and fair prices in goods and services. The dispositions of this law are applicable to all government entities and private companies (or professionals and business owners) that produce, import, export or distribute goods and services.

The consumer defense law establishes the obligations and responsibilities that all goods or services providers have towards consumers. Among them is the obligation of providing clear, complete and enough information about their products. It also determines the minimum necessary information in a label, including price (in local currency) and indications about the content and weight of the product, expressed in Standard International Units of Measurement. It states that products from GMOs must contain all necessary indications about their transgenic origin in order to alert consumers.

Note: Although this law and all its dispositions are in effect, the particular requirement for GMO labeling has not been enforced through application rules or inclusion of such requirement in Ecuador's labeling requirements. Currently, there is a proposed text for Food Labeling Rules, which includes the GMO labeling requirement. Ecuador is currently in the process of notifying this proposed text to the WTO for comment.

## **Other Institutions Involved in Foreign Trade**

Besides the Ministries of Health and Agriculture, which have the lead in agricultural and food trade, there are other Ecuadorian institutions involved that need to be mentioned, as follows:

### **Ministry of Foreign Trade, Industrialization and Fishing (MICIP)**

MICIP is the government agency responsible for planning, directing, controlling and executing policies for foreign trade, industrialization, fishing, small and medium industry and business, and ships. Its mission is to improve the business environment in Ecuador, and to facilitate domestic and international competitiveness of the productive sectors. This institution is the main player on negotiating multilateral and bilateral trade agreements.

### Law of Foreign Trade

This law was published in the Official Register #82 on June 9, 1997, and regulates all activities of MICIP. It also prohibits any practice or administrative disposition that may limit free competition or obstruct development of domestic and international trade and production. Nevertheless, the law allows corrective actions to be applied in the cases contemplated under the regulations of the World Trade Organization (WTO). In spite of that, the inefficiency of certain public agencies and other institutions block an adequate development of import activities. Examples of this are the actions of the National Health and Tropical Medicine Institute "Leopoldo Izquieta Pérez", co-responsible for the issuance of the sanitary registration, and those of the Ecuadorian Customs Corporation (CAE), which administers customs services.

Ecuadorian Normalization Institute (INEN)

INEN is an agency of the Ministry of Foreign Trade, Industrialization and Fishing (MICIP) and is responsible for:

- Preparing Ecuadorian Technical Standards (NTE), which define the characteristics of raw materials, intermediate products and finished products marketed in Ecuador. Also, INEN must formulate the methods for inspection, analysis, measurement, classification and denomination of such materials or products.
- Administering the Quality Certification System.
- Implementing and enforcing the use of the International Measurement System (SI).
- Inspecting the compliance of the requirements set for in the Ecuadorian Technical Standards NTE for imported and local products, including labeling requirements.
- INEN also serves as Ecuador's Point of Contact for CODEX related issues.

**The Ministry of Foreign Affairs**

The Ministry of Foreign Affairs collaborates with the Office of the President and coordinates with the Ministry of Foreign Trade and the Ministry of Agriculture in the formulation of international policies, direction of international affairs and celebration of agreements and other international conventions. It is responsible for supporting commercial negotiations and offering assistance in the solution of controversies.

**The Ecuadorian Customs Corporation (CAE)**

The Ecuadorian Customs Corporation (CAE) administers customs operations. This agency is responsible for the surveillance and control of the entrance or departure of people, commodities, and means of transportation through the borders and ports of Ecuador. It is also responsible for the collection of import duties and taxes. The Organic Law of Customs and its application Rule govern CAE's activities.

**SECTION II. LABELING REQUIREMENTS****A. General Requirements**

The Health Code, the Sanitary Registration law, the Food Regulation, and the Technical Standard NTE INEN 1334-1, provide labeling requirements for processed food products.

Food containers must have a visible printed or tagged label with certain minimum information, as detailed below. Imported products with tags in English and containing the aforementioned minimum information may be allowed to clear customs, subject to the addition of a tag or sticker in Spanish before the product is offered in the market. This additional tag must at least contain expiration date (good through), lot number, the Sanitary Registration code, the list of ingredients, and name of the importer reading "Importado por...".



The following is the minimum information for a label in Spanish, as of currently in effect standard NTE- INEN 1334-1:

- a) Name of the Product: Must be specific and indicate the product's real nature.
- b) Brand Name
- c) List of Ingredients: All ingredients must be listed by their common name in descendent predominance order by weight.
- d) Net content and net weight: Must be declared in Metric System (SI) at the time of registration, as follows:
  - Powdered, dry or solid food products shall display net weight.
  - Liquid food products shall display net volume.
  - Semi-solid or semi-liquid food products can display either weight or net volume.
- e) Identity of manufacturer, packager or importer: In the case of imported products, the name and address of the importer, distributor and/or legal representative must appear too.
- f) City or Country of origin: In order to identify the country of origin, one of the following statements should be used: "Fabricado en USA", "Producto de los Estados Unidos..." o "Industria Estadounidense".
- g) Lot Identification
- h) Expiration Date and Storage instructions:
  - Shelf life and/or expiration date must be declared.
  - Expiration date must show day/month for products with shelf life of 3 months or less; and month/year for products with shelf life of more than 3 months. The expiration date must be accompanied by one of the following expressions: "Consumir preferentemente antes de...", "Vence en...", "Consumase antes de...", "Fecha de expiracion...", "Expira en...".
  - Some beverages are exempt from this requirement, including wine, wine liquor, aromatized wine, fruit wine & fruit sparkling wine, and alcoholic beverages containing 10 percent or more alcohol per volume.
  - Labels must also include any other specific storage requirements.
- i) Instructions for Use

When a food product needs refrigerated or frozen storage, the label must state such need. Labels should show the legend "Mantengase en refrigeración" or " Mantengase en congelación" indicating, whenever possible, the temperature at which it has to be stored. When a food product requires special conditions of storage and transportation, these must be clearly indicated in the label or external package.
- j) Code number of Ecuador's Sanitary Registration issued for the product.
- k) Sale Price (P.V.P in Spanish)
- l) Other relevant information

In addition to the aforementioned requirements, Ecuadorian labeling standards acknowledge the following guidelines:

- Labels containing words, pictures or other graphic representations introducing the product as having medicinal, therapeutic, preventive, curative, nutritional, or especial features; which may misrepresent the real nature, origin, composition or quality of the product are not allowed.
- When a product is very small in size and it is not possible to show all the information required in a food label, such information must be presented in a larger package that contains several units of the product. This is case of candy, chocolate, and most confectionery products.
- Imported products may have labels in foreign language, preferably with the respective translation in Spanish, in the same form and characters, and containing all previously listed requirements. It is advisable to apply labels prior to export, because the product has to enter the country in its final presentation.
- The Solid Waste Management regulation determines that every label must promote recycling, recuperation or re-use of the package or container.
- Ecuadorian standards do not differ significantly from those established by the United States; they are based on the Codex Alimentarius and FDA (Food and Drug Administration) stipulations. In fact, Ecuador's common practice provides for FDA's standards to be used in case of inexistence of national (INEN) standards for a given product.

## **B. Requirements Specific to Nutritional Labeling**

The technical standard NTE INEN 1334-2 refers to minimum requirements for nutritional labeling of processed food products offered as such for sale. This standard gathers the declaration of nutrients and explains that the complementary nutritional information is optional.

The bases of this standard are the Codex Alimentarius and the FDA guidelines, for which, U.S. standards are acceptable. Recommended Daily Intake (RDIs) is based on the Recommended Daily Intake of nutrients of the FAO/ IHO.

### Exceptions and Special Dispositions Regarding Mandatory Nutritional Labeling

#### *Foods Containing Insignificant Quantities of Nutrients*

An insignificant quantity is defined as one that allows the declaration of "Zero", except for total carbohydrates values, dietetic fiber and protein, for which an insignificant quantity is less than one gram. Examples of exempted products are grain coffee, tealeaves, soluble tea, and unsweetened coffee; dehydrated vegetables for seasoning, extracts of flavors and colorings for food.

#### *Dietetic Supplements*

This exception does not apply for dietetic supplements in the form of conventional foods, such as breakfast cereals. These products are subject to all requirements specified in NTE INEN 1334-2

#### *Packaged Food*

These products are exempt only if they are shipped in bulk and are not meant for direct sale to the consumer, but are imported for use in processing industries. Example: Bulk-packaged sweet corn to be re-packaged and sold as a vegetable mix.

#### *Fresh Food Products*

Fresh products (fresh fruits and vegetables) and Seafood (fresh or frozen fish and seafood) are also exempt.

### **SECTION III. PACKAGING AND CONTAINER REQUIREMENTS**

The food regulation establishes that food containers must meet the following conditions:

- The container must have adequate shape, capacity and closing seal for its contents. No further explanation of what is deemed “adequate” in this case.
- The container should be made of the right materials, in relation with the physical-chemical nature of its content.
- Containers must guarantee proper protection, conservation and identification of the product during its shelf life.

The materials used in the containers and packages for a processed food products should meet the conditions of the Ecuadorian Technical Standards (NTE) for established for each product or group of products. Moreover, the Solid Waste Management regulation asserts that all packaging material and containers must allow recycling.

### **SECTION IV. FOOD ADDITIVE REGULATIONS**

Standards and regulations for food additives are those set for in the Health Code, the Food Regulation and the technical standard NTE INEN 2074-96. The Food Regulation establishes that food additives are allowed for importation and in-country sale when:

- They are innocuous for human health; and,
- They have obtained the Sanitary Registration.

The technical standard NTE INEN 2074-96 is based in the Codex Alimentarius standards and the Regulation Code of the Food Drug Administration (FDA). It establishes a positive list of allowed additives, regulations for tolerance levels, and a list of prohibited substances for human consumption. This positive list is periodically checked and/or modified according to new scientific, technological and toxicological studies.

The NTE INEN 2074-96 standard, as well as other technical norms are available for public sale. U.S. exporters can obtain a copy sending a request to the Ecuadorian Institute of Normalization (INEN) with contact information in Appendix I below. However, these norms are written in Spanish and there are no English copies available.

## **SECTION V. PESTICIDE AND OTHER CONTAMINANTS**

The Law of Formulation, Manufacture, Import, Trade and Use of Pesticides was issued to guarantee the integrity of pesticides, and that manufacturers, importers and distributors declare their chemical composition. The Ministry of Agriculture, through the Administrative Unit of Plant Health of SESA, is the agency in charge of the application of this law.

Ecuador has not set its own maximum tolerance levels. In fact, it applies Codex maximum residue limits. Other maximum tolerance levels established by widely recognized international institutions, such as the Environmental Protection Agency (EPA), are also accepted.

The Ministry of Agriculture and Livestock (MAG) will temporarily retain agricultural products suspected of being contaminated with pesticides or similar products. If analysis and testing carried out by the Ministry of Agriculture prove such affirmation, products will be destructed and no compensation will be granted.

## **SECTION VI. OTHER REGULATIONS AND STANDARDS**

### **A. The Sanitary Registration**

In order to protect consumers' health, the current Health Code establishes that all national or foreign processed food products and additives must obtain a Sanitary Registration prior to their importation and sale in Ecuador. The process for sanitary registrations has been re-designed, and new laws have been written on this matter. The June-2001 released Sanitary Registration Law provides the following guidance:

- The sanitary registration petition can either be filed by the manufacturer or by its Ecuadorian legal representative. In both cases, the registration belongs and will be issued to the name of the manufacturer, unless specifically requested otherwise.
- The sanitary registration is valid for ten (10) years. The registration fee is approximately \$560 per item, and there is an annual maintenance fee of \$170.

The sanitary registration for imported products is granted by homologation (or equivalence) after 30 calendar days of having filed a correct application at any office of the National Hygiene Institute "Izquieta Perez" (NHI). Observations will be directed to the applicant five (5) days after the NHI receives the application. An additional provision on this topic provides that sanitary registrations for imported products be automatically issued if the NHI had not justified its denial in the term of 30 days after the last observation had been resolved.

Currently, the application must include the following documents:

1. Request form (obtained at the NHI) including the following information:
  - 1.1. Product name in full, including brand name
  - 1.2. Name, and full address of the manufacturer
  - 1.3. Name and address (in full) of the applicant (usually the importer)
  - 1.4. Product Description:
    - 1.4.1. Ingredients list or composition formula (in percentages) used in the product (including additives), declared by the manufacturer in descending order.
    - 1.4.2. Elaboration date
    - 1.4.3. Expiration date or maximum consumption time

Note: Since exporters usually do not know when the first shipment would take place, or what lot of products will be exported, these two requirements are only intended for declaring a maximum time of consumption in the label. For example, if the product is good for 6 months, then Production date may be declared as January 1, 2005 and Expiration date as June 1, 2005. The dates are not relevant, but the period of time in which the product is good for consumption.
    - 1.4.4. Packaging Information: must declare type of container, and content expressed in units of the International Measurements System (SI).
    - 1.4.5. Conservation conditions
  - 1.5. Applicant's signature accompanied by the signature of the product's technical representative in Ecuador (a food chemist or food engineer registered at the Ecuadorian Ministry of Health)
2. The applicant must include a copy of his/her identification document (in the case of a natural person), or a certificate of company registration accompanied by the legal representative's appointment (in the case of a company).
3. The Certificate of Free Sale issued by a competent health authority in the exporting country. This document must list the products to be registered and must state that the products are authorized for free sale and consumption in the country of origin. In the United States, this document can be obtained at the Health Services Department of your state.
4. Product analysis certificate, which may be issued by the manufacturer or the competent health authority in the country of origin. A certificate of quality from a quality control laboratory would be ideal.
5. A legalized letter signed by the manufacturer regarding the following:
  - 5.1. The representation of the product in Ecuador. This document must appoint an exclusive representative in Ecuador, who would be authorized to register, import and distribute the product.
  - 5.2. The ownership of the sanitary permit. The manufacturer must state its decision regarding who will own the sanitary registration; the manufacturer or the importer. In case this statement is not included, it will be understood that the manufacturer owns the sanitary registration of its products.

6. Product's label or tag draft (original and copy).
7. Invoice for payment of registration fees (approximately \$560 per item).

**Notes:**

- All documents and certificates written in languages other than Spanish must be translated.
- All official certificates and documents must be either notarized or legalized by the Consul of Ecuador in the exporting country.
- It is recommended that Sanitary Registrations are filed in the INH offices in Quito rather than in Guayaquil, due to administrative and compliance problems the INH-Guayaquil.
- A new Sanitary Permit will be necessary in the following events:
  - If there is a change in the product composition.
  - The product needs a different conservation process.
  - There is a substantial modification of the following additives: colorings, flavorings, sweeteners, conservation agents, and nutritional additives.
  - Changes in the nature of the container
  - Change of manufacturer.

**IMPORTANT:** The Sanitary Registration law provides an exemption of the Sanitary Registration for food products and ingredients to be used by restaurants and food industries. However, health authorities are not enforcing this particular provision. The U.S. Embassy is currently requesting clarification on the issue.

**B. Phytosanitary and Health Certification**

Under the law, importers of plant and animal products and by products are required to obtain a Phytosanitary Certification or a Health Certification (depending on the case), prior to importation. Out of the law, prior administrative authorization must also be requested for importation of most commodities, seeds, animals, and plants. To be valid, such authorization requires two signatures or approvals, one from SESA (which represents the Phytosanitary or Health Certificate) and one from the Under Secretary of Strategic Management of the Ministry of Agriculture, a political appointee.

The request must be filed at the Domestic and International Trade Directorate of the Ministry of Agriculture in Quito, accompanied by the following documentation:

- The Unique Import Document (DUI) (See Section IX. Import Procedures)
- Note of Requisition (original and three copies)
- Pro-forma Invoice (original and three copies)
- "Prior Import Authorization" form (original and three copies)
- For importation of animals and other products of animal origin, the importer must include a payment receipt of the import fee at the National Agricultural Development Bank (BNF)

An individual or collective PEDIGREE certificate must be included for importation of pets and breed animals. The Pedigree Certificate will be analyzed and checked by the Under Secretariat for Agricultural Development in Quito, or by the Undersecretary of the Coastal Region in Guayaquil, depending on the case.

The Ecuadorian Animal and Plant Health Service (SESA) will check the documentation and will issue the phytosanitary or health permit, depending on the product. If the documents were not correct, SESA will return the application to the Domestic and International Trade Directorate indicating that such import is not authorized.

In the phytosanitary and health permits, SESA will indicate the treatment and conditions to be met by the product in order to enter the country, depending on pre-established phytosanitary and health norms.

If the product is granted a phytosanitary or health certificate, the documents will be pending the signature and final approval by the Under Secretary of Agriculture for Policy, Trade and Investment. In the last instance, this authority will decide if the product can be imported or not, even after having passed the technical examination of SESA.

#### Other Requirements

There are additional requirements specific for imports of plants and plant breeding material:

- The product must be free of soil and products in animal or vegetal decomposition.
- Use of poor quality, re-used, infected or infested packaging material is prohibited.
- The plant breeding material must come from a country or area known to be free of pathogens or diseases exotic to Ecuador.
- Given the case, SESA shall request the product to be accompanied by a Fumigation Certificate or Quarantine Treatment, signed by the competent authority of the country of origin.

In the case of animal imports, the following requirements must be met:

- Animals must come from zones in which no infect-contagious illnesses affecting the specie were detected, during a specific period of time previous to the shipment. Ecuador follows OIE standards on this issue.
- Animals must have been opportunely immunized with all the vaccines required by Ecuador through SESA authorities.
- Results of laboratory tests and exams carried out to investigate the presence of infectious and contagious illnesses should be negative, and;
- Animals should have received treatment against parasites, and they should be in good physical conditions without clinic symptoms of infectious or contagious illnesses.

### **C. Registration of Agricultural and Livestock Inputs**

Natural Persons or Companies importing and/or producing agricultural and livestock inputs (seeds, plants and plant breeding material, improved animals, semen, fertile eggs and embryos) must be registered with SESA or in the Under Secretariat for Agricultural Development at MAG. The validity of the registration is indefinite, but it can be canceled because of non-compliance with the dispositions stated in the Law of Seeds and in its respective regulation.

#### **D. Control of Products at Retail/Wholesale Distribution**

The Ministry of Health has the obligation of controlling processed food products and additives offered for sale in the Ecuadorian market. If a product does not meet the minimum quality standards, or does not have a Sanitary Registration, it could be immediately confiscated and/or destroyed.

The Ministry of Public Health in coordination with the Ministry of Agriculture, will control the products of animal origin destined to human consumption and will prohibit or retire from trade those resulting harmful to human health.

The Ministry of Agriculture and Livestock, through employees of the Plant Health Directorate of SESA or other designed persons may inspect commercial or industrial facilities storing and selling seeds to verify the health status of the plant breeding material. If plant pests or outbreak infectious diseases are in place, the staff can declare "Observation Zone" or "Quarantine" depending on the seriousness of the case, and if it is necessary they can order the incineration of the infected material.

### **SECTION VII. OTHER SPECIFIC STANDARDS**

#### **A. Wine, Beer and Other Alcoholic Beverages**

Imports of alcoholic products, including beer require prior authorization from the Ministry of Health and the products must have been priory registered with the sanitary authority. Imports of these products must meet INEN regulations PyM 1992-20, PyM 1992-17, PyM 1992- 21 for containers. Alcoholic products and beer must necessarily show the following information on their front label:

"Importado por (Name of the representative or importing company)", alcohol content per volume, and the capacity of the bottle in cubic centimeters.

Also the following health advisory note has to be included:

"Advertencia: El consumo excesivo de alcohol causa graves daños en su salud y perjudica a su familia. Ministerio de Salud Pública", that must be written in the principal or secondary label, meeting disposition 1828 of the Decree dated June 10<sup>th</sup>, 1994.

Alcoholic products and imported beers sold in the national market which do not fulfill these requirements, will be considered as smuggled into the country.

#### **B. Products Samples and Mail Order Shipments:**

Samples Import and Export regulations establish that these are exempt from the payment of import duties, Value- Added Tax (IVA) and Special Consumption Tax (ICE), when the CIF value does not exceed from US\$ 500 or its equivalent in other currencies. Merchandise exceeding this value will pay (for the excess) the established tariff for imports.

Samples without commercial value must meet the following requirements in order to obtain this exemption:



- They must be sent by the manufacturer itself, its representative or the authorized exporter;
- The addressee shall be a company or an importer habitually dedicated to this commercial activity.
- The documentation (commercial invoices, transportation invoices or others concerning the shipment), shall contain annotations demonstrating the products are samples without commercial value; and,
- Samples must show legends, signs or impressions identifying themselves as samples without commercial value and forbidding sale. In case of doubt, the Customs Administration may mark the samples with perforations, seals and others in order to impede their sale.

Correspondence and small packages (up to 2 Kilograms) are also exempt of taxes. Other types of packages and international postal cargo leaving or entering the country, with or without commercial purposes and made by any type of mail agency, are subject to the payment of the corresponding taxes, in relation to the CIF value.

In the same way, small packages, other packages and postal cargo and samples without commercial value, exempt or not of taxes, whose CIF values do not exceed \$160 will be dispatched by customs through a simplified declaration.

Imports or exports by mail of products such as: narcotics, explosives, tobaccos, liquors, inflammable products and other dangerous or contaminant substances are forbidden.

## **SECTION VIII. COPYRIGHT AND/OR TRADEMARK LAWS**

The Law of Intellectual Property, published in the Official Register on May 19<sup>th</sup> of 1998, regulates and guarantees the intellectual property acquired according to the law. Basically, this law refers to inventions, trademarks, commercial logos and models, commercial and industrial secrets, brand names, distinctive appearances of businesses and commercial establishments and any other intellectual creation for agricultural, industrial or commercial use. It also includes vegetal obtainment. Dispositions of this law apply to both Ecuadorians and foreigners, residing or not in the country.

### **A. Trademarks**

The trademark registration application should be filed at the National Institute of Intellectual Property (IEPI). The first request to register a trademark presented in a member country of the World Trade Organization, the Andean Community, or the Paris Agreement for the Protection of Industrial Property, will grant the applicant the priority right for a period of six months until the same trademark application is filed in Ecuador. This request should not refer to different or additional products than those contained in the first request.

A trademark's register will have a ten-year validity from the date of its concession and it can be renovated. A trademark's renovation has to be requested at the same agency, six months prior to its expiration.

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## B. Brand Names

Brand names can be registered at the National Institute of Intellectual Property, for which the same procedure for registration of trademarks is necessary. This registration represents a presumption for the holder's benefit. However, the right for exclusive use is created by the public and continuous use in trade, at least for six months of the brand name. Brand names will be protected without the obligation of registration. The registration of a brand name does not have a maximum validity time.

## SECTION IX. IMPORT PROCEDURES

In order to clear customs, the following procedure must be carried out:

- If the FOB value of the imported goods exceeds US\$ 4000, the owner, consignee or consigner, personally or through a customs agent, must present the declaration in the Unique Import Document (DUI).
- The Unique Import Document (DUI) should be filed and revised by any bank or financial society acting on behalf of the Central Bank of Ecuador. After establishing the importer's identity, the bank or financial society will accept the document within the following two working days.
- The approved DUI form does not have a validity limit time, it is indefinite, except in goods with restrictions. In this case, the validity time is determined by the authorizations or import licenses.
- The declaration must be presented in the customs, anytime between seven days before to fifteen days after the arrival of the goods. This customs declaration must be accompanied by the following documents:
  1. Note of Requisition (mandatory)
  2. Authorizations or licenses (if needed): If the type of good demands it, it is necessary to obtain authorizations in a Ministry or other public entity depending on the case (See Section VI. Other Regulations and Requirements above).
  3. Insurance Certificate (if needed).
  4. Import Verification Request (if needed): Only for goods which FOB value exceeds US\$4000. The request has to be filled and then delivered to the verification company (SGS, Bureau Veritas, Cotecna o ITS) along with the requisition note.
  5. Certificate of Inspection (Certificado de Inspeccion): This certificate is issued by the verification company after having previously issued the SVI, and only in goods exceeding FOB value of US\$4000. According to the Law, if a good enters Ecuador without the CI, it cannot be nationalized.
  6. Certificate of Origin (if needed)
  7. Shipment Manifest (mandatory)
  8. Bill of Lading (mandatory)
  9. Commercial Invoice (Factura Comercial) (mandatory)
  10. Customs Declaration of Value (DAV) (mandatory): Is an oath that the consigned value is the real one.

Note: Import documents do not need to be translated into Spanish.

- The import declaration has to be presented in the respective customs district, which will verify the data and confirm compliance with all requirements. If there are no observations, the declaration will be accepted, and the district will assign a validation number so the procedure may continue. Once the declaration is accepted, it is final and cannot be amended.
- The next step is documental revision or visual examination of the goods, to establish its nature, quantity, value and its tariff classification.
- In the visual examination, the verification company checks the merchandise, verifies that the security seal has not been violated and compares the declaration with the actual load. If everything is correct, the documental revision takes place. If it is not equal, the verification company will make sure that the difference is within the tolerance margin (maximum 10% of the taxes) and the importer will pay the same upon the totality. Taxes are paid upon the real quantity, but if the difference exceeds 10%, it is considered illegal.
- The physical examination generally takes more time than predicted, for which it is recommended to calculate a 30% more of the budget established for customs clearance. It is calculated that 70% of the containers arriving at the port of Guayaquil stay there more than 15 working days.
- The verification company also carries out the document revision. This consists in verifying that what was declared is equal to what the accompanying documents of the DUI stated. If there are no observations, the customs district will authorize the payment of tariffs, which will be done through one of the authorized banks for this matter. Subsequently, customs will proceed to confirm this payment and will authorize the delivery of the merchandise.
- Were there an obstacle for tax payment, the Ecuadorian Customs Corporation (CAE) demands a guarantee of 20% of the total amount to be paid with a time limit of 60 days.
- If in the declaration and document delivery, only the commercial invoice is missing, for example, the merchandise can be taken out with a guarantee, but the term is only 30 days to present a new invoice to the CAE. However, if the Certificate of Origin is missing, it is better to leave the merchandise in Customs until the certificate arrives.
- Claims upon any customs administrative act, can be presented to the manager within 20 days after it was carried out or notified.

### **Specific Rules for Agricultural Imports**

The Inspection in the Country of Origin of agricultural product shipments is a requirement established in the law. Verification companies hired for this purpose will carry out this inspection.

Imports of agricultural and livestock products (except industrialized products) can only arrive at seaports and airports here SESA has displayed animal and plant quarantine officers, according to the Law of Animal Health and the Law of Plant Health.

**Airports:** Quito and Guayaquil

**Sea Ports:** Guayaquil, Manta, Esmeraldas and Puerto Bolívar.

**Land Ports:** Tulcan, Macara and Huaquillas.

When the merchandise arrives, a SESA inspector will check and examine the documents, and will inspect the product itself to confirm the phytosanitary condition. Products in good condition will be granted a merchandise entry license.

## **APPENDIX I. GOVERNMENT REGULATORY AGENCY CONTACTS**

### **MINISTRY OF FOREIGN TRADE, INDUSTRIALIZATION AND FISHING**

Address: Av. Amazonas y Eloy Alfaro

Ministerial Office

Phone: 593-2-290-6127/ 593-2-254-3893

Fax: 593-2-250-5073

Contact: *Minister-* Dr. Oswaldo Molestina

International Trade Under-Secretariat

Phone: 593-2-256-6784

Fax: 593-2-250-4922

Contact: Under-Secretary-Dr. Cristian Espinosa

International Trade Directorate

Phone: 593-2-254-1854

Contact: *Director-* Econ. Fabián Andrade Egas

Website: [www.micip.gov.ec](http://www.micip.gov.ec)

### **CODEX Committee in Ecuador**

President: Dra. Ligia Tamayo

Phone: 593 2 254 2565

### **MINISTRY OF PUBLIC HEALTH**

Address: Juan Larrea 445, A Building, 3<sup>rd</sup> Floor.

Quito, Ecuador

Ministerial Office

Phone: 593-2-223-2713 / 593-2-255-6984

Fax: 593-2-256-9786

Contact: Minister-Dr. Wellington Sandoval

Food Control Directorate

Fax/ Phone: 593-2-297-2900 ext. 2773

Contact: Dr. Gustavo Guerra

Contact: Dra. Magda Saltos Fax/Phone: 593-2-297-2900 ext. 2770

General Health Under Secretary

Contact: Dr. Nicolas Jara

Phone: 593-2-252-1277

Fax: 593-2- 250-4322

Web-site: [www.msp.gov.ec](http://www.msp.gov.ec)

**MINISTRY OF AGRICULTURE AND LIVESTOCK**

Address: Av. Amazonas y Eloy Alfaro, MAG Building, Quito

Minister: Ing. Pablo Rizzo

Phone: 593-2-250-4433 / 593-2-256-5450

Fax: 593-2-250-0873

**Ecuadorian Animal and Plant Health Service (SESA)**

Contact: *Director*- Ing. Abel Viteri

Phone: 593-2-254-4476

Fax: 593-2-222-8448

**Plant Quarantine Directorate**

Contact: Ing. Edwin Caseres

Phone: 593-2-254 8823

Fax: 593-2-222-8448

**Animal Health**

Contact: Dr. Gustavo Oñate Phone: 593-2-254 8823

**Agricultural Products Control and Register Division**

Contact: Ing. Carlos Navas

(5932) 254-3319

Fax: 593-2-222-8448

E-mail: [direcsesa@mag.gov.ec](mailto:direcsesa@mag.gov.ec)

**Domestic and International Trade Directorate**

Contact: Dr. Carlos Romero

Fax/ Phone: (5932) 222-8011

**Under Secretariat for Agriculture Development**

Contact: *Under Secretary*: Ing. Guillermo Ortega

Fax Phone: (5932) 255-0502

Website: [www.mag.gov.ec](http://www.mag.gov.ec)

Quito, Ecuador

**ECUADORIAN INSTITUTE OF NORMALIZATION (INEN)**

Address: Baquerizo Moreno No. 454 y Almagro

Phone: 593-2-250-1885

Fax: 593-2-256-7815

**International Normalization Directorate**

Contact: Ing. Gustavo Jimenez Phone ext.: 232

**Consumer Control Directorate**

Contact: Ing. Enrique Troya Phone ext.: 210

e-Mail: [inen1@inen.gov.ec](mailto:inen1@inen.gov.ec)

Web-site: [www.ecua.net.ec/inen](http://www.ecua.net.ec/inen)

Quito, Ecuador

**NATIONAL HEALTH AND TROPICAL MEDICINE INSTITUTE "LEOPOLDO IZQUIETA PEREZ"**

Address: Iquique 2045 y Yaguachi / Quito, Ecuador  
Contact: *Director*- Dr. Marcelo Chiriboga  
Phone: 593-2-255-2715  
Fax: 593-2-256-8041  
E-mail: mhchiriboga@hotmail.com

Office in Guayaquil:  
Address: Julian Coronel 905 y Esmeraldas / Guayaquil, Ecuador  
Contact: *Director*- Dr. Luiggi Martini  
Phone: 593-4-228-1542/ 228-0414/ 228-2281  
Fax: 593-4-229-3189  
E-Mail: dirnainh@telconet.net

**ECUADORIAN CUSTOMS CORPORATION (CAE)**

General Manager Office:  
Contact: *General Manager*- Ing. Gladys La Torre  
Address: Av. 25 de Julio Km 4, vía a Puerto Marítimo.  
Phone: 593-4-248-0640  
Fax: 593-4-248-8894

District Manager Office:  
Contact: *District Manager*- Abg. Miguel Paredes  
Address: Sea Port  
Phone: 593-4-248-1166 / 248-1879  
Guayaquil, Ecuador

Aero Cargo Deputy Manager Office:  
Contact: *District Manager*-Ing. Angie Salto  
Address: Airport "Simón Bolívar".  
Phone: 593-4-228-7834 / 228-7827  
Guayaquil, Ecuador

Deputy Manager Office of Salinas:  
Contact: *District Manager*- Econ. Jorge Rosales (E)  
Address: Av. 9 de Octubre 102  
Phone: 593-4-278-2643  
Salinas, Ecuador.

Regional Deputy Manager Office for Custom:  
Contact: *Regional Manager*- Eduardo Guerrero  
Address: Av. 10 de Agosto 1665, 4<sup>th</sup> floor  
Phone: 593-2-231-5024 / 321-5025  
Quito, Ecuador

District Manager Office:

Contact: Regional Manager- Jaime Loor  
Address: Pasaje Amazonas y Río Arajuno.  
Phone: 593-2-244-4033  
Quito, Ecuador

Website: [www.corpae.com](http://www.corpae.com)

**NATIONAL INSTITUTE OF INTELLECTUAL PROPERTY**

Contact: National Director – Dra. Dana Abad  
Address: Av. Republica 396 y Diego de Almagro  
Phone: 593-2-250-8000, ext. 302  
Fax: 593-2-250-8027  
[www.iepi@interactive.net.ec](mailto:www.iepi@interactive.net.ec)

Department of Trademarks

Contact: *Director*- Dr. Edgardo Falconi  
Phone: 593-2-250-8000, ext. 235

Department of Patents

Contact: *Director*- Ing. Martha Carvajal  
Phone: 593-2-250-8000 ext. 230  
Fax: (5932) 254-3894  
Quito- Ecuador

**APPENDIX II. OTHER IMPORT SPECIALIST CONTACTS****U.S. EMBASSY QUITO**

*USDA/ Foreign Agricultural Service*

Address: Av. Colombia #1573 y Queseras del Medio, 3<sup>rd</sup> floor  
René A. Alarcón, Agricultural & Marketing Specialist  
Phone: (5932) 256-4147 / 252-9088  
Fax: (5932) 250- 6283/ 250-4888  
e-mail: [Agquito@usda.gov](mailto:Agquito@usda.gov)  
Quito, Ecuador.