

THIS REPORT CONTAINS ASSESSMENTS OF COMMODITY AND TRADE ISSUES MADE BY USDA STAFF AND NOT NECESSARILY STATEMENTS OF OFFICIAL U.S. GOVERNMENT POLICY.

Voluntary - Public

**Date:** 6/6/2019

**GAIN Report Number:** CH19032

## **China - Peoples Republic of**

**Post:** Beijing

### **China's Tariff Exclusion Process Explained**

**Report Categories:**

Trade Policy Monitoring

**Approved By:**

Mark Ford

**Prepared By:**

Gene Kim, Tyler Babcock, and Ashley McDonough

**Report Highlights:**

On May 13, 2019, China's State Council Customs Tariff Commission (SCCTC) announced that China will administer an exclusion process for additional Chinese tariffs imposed on specific products imported from the United States. Both enterprises and industry associations that import, produce, or use relevant products are eligible to apply through the new process for tariff exclusions. Official guidance encourages industry associations to represent their members and to apply for exclusions on their behalf. Applicants seeking tariff exclusion must submit documentation and commercial data describing how additional ad valorem tariffs on Chinese imports of U.S. agricultural goods (1) face challenges seeking alternative sources of goods; (2) cause serious economic damage to the applicant; and (3) cause major negative structural impacts on the relevant industries or lead to serious social consequences. China's Ministry of Finance (MOF) will accept exclusion applications for the published list of products (Attachment 2) from June 3, 2019, through July 5, 2019. Unofficial translations of the MOF's instructions for enterprises and industry associations seeking to apply for tariff exclusions are included in this report.

**General Information:**

China's Ministry of Finance has published a procedure for requesting tariff exclusion and a first list of applicable products. This report contains a general description of the process, eligible products, and unofficial translations of relevant documents. NOTE: While MOF's announcements and lists cover a very broad scope of U.S. products, this report is limited to only agriculture and related products. For a complete list of products covered, refer to the MOF, Trade Policy Research Center's website (<https://gszx.mof.gov.cn>).

While the MOF is managing the online platform, the SCCTC will oversee the decision-making process.

**Eligible Applicants**

Both enterprises and industry associations that import, produce, or use the listed products are eligible to apply. Official guidance encourages industry associations to represent their members and to apply for exclusions on their behalf.

**Where to Apply**

Enterprises and industry associations within mainland China may register, upload, and file application through MOF's Tariff Policy Research Center's website (<https://gszx.mof.gov.cn>).

**Scope of Eligibility**

The published list of products eligible for tariff exclusion includes 527 product lines of U.S. agriculture and related products from China's National Harmonized Schedule (HS). See Attachment 2. This list of products is associated with MOF's June 16, 2018 announcement in response to the U.S. Section 301 Investigation. See [GAIN report CH18034](#).

Note: Attachment 2 only covers agriculture and related products. For the complete published list, please see the MOF, Tariff Policy Research Center's website (<https://gszx.mof.gov.cn>).

**Timing**

MOF will accept applications for the published list of products (Attachment 2) from June 3, 2019, through July 5, 2019. MOF will publish an additional list of products eligible for tariff exclusion at a later date. The application window for this additional list is expected to be from September 2, 2019, through October 18, 2019.

**Applicant Information**

Enterprise applicants must submit copies of their official business license, their Uniform Social Credit Code, and an active Chinese mobile number, among other personally identifiable information.

Industry associations applying on behalf of certain member enterprises must submit the above

mentioned information for each such enterprise as well as the association itself, including a legal entity registration certificate.

Note: If an industry association has already compiled and submitted information from certain member enterprises, such enterprises may not resubmit application individually.

### **Product Data for the Application**

Applicants must submit the following information in the online application.

#### **A. Basic Product Information**

- (1) Identifiable products that applied for exclusion
- (2) Tariff code – as defined by the HS 8-digit or 10-digit code, or product description.
- (3) Use of the products

**B. Detailed Import Data for each HS Code for each product from the United States and from third countries for the last three years (2017 to 2019), as well as the Customs declaration forms for the top five largest imports for those years.**

#### **C. Impact of the tariffs on Enterprises**

#### **D. Factors of Consideration for Exclusion of Products**

- (1) Substitutability of the products applying for exclusion
- (2) Rationale for application for exclusion based on facts and data.
- (3) Competitive influence on the overall industry

Note: To apply for products under multiple tariff codes, [applicants must] fill out and submit a form for each tariff code product.

### **Preliminary Application Review and Submission Process**

A preliminary review of the application will be made. Once submitted, MOF has indicated a completeness determination will be made within 5 days. If not complete, applicants will receive feedback about the rejections and they can revise or resubmit the application. If the application is determined to be complete, the application will proceed to the expert panel for review.

### **Expert Review Panel**

An expert review panel, appointed by the SCCTC, will consider the merits of each application. The committee will be composed of industry and technical experts and government officials. The panel will seek to identify the specific harm that the tariffs have on the overall economic structure or societal context of the Chinese industry, agricultural producers, or consumers. After the expert panel review is complete, eligible products for exclusion will be published on the MOF website.

### **Legal Statements**

Applicants are accountable for the accuracy of the information submitted. Information that is false will

disqualify applicants from consideration. Information gathered as part of the exclusionary process is intended only to administer this process.

**While MOF states that this information will not be shared with third parties without consent of the applicant, it can be used for other reasons for reasons such as complying with other government requirements, legal or policy needs.**

**Note: Currently, there are no any additional procedures for the submission of business confidential information.**

### **Counseling Phone Hotline**

MOF has announced a dedicated hotline for the exclusion process. Beginning on June 3, 2019, applicants can call if they encounter problems in filing and uploading the application information. The line is open Monday through Friday, 9:00 to 11:30 am China Standard Time and 2:00 to 5:00 pm China Standard Time. The hotline will likely on be operated by Mandarin speakers.

Technical Consultation Line: +86 (10) 8221 7953

Business Consultation Lines: +86 (10) 8221 7308; +86 (10) 8221 7315; +86 (10) 8221 7320

### **Official Exclusion Announcements and Instructions**

**Attachment 1** – China Announces a Tariff Exclusion Procedure for Eligible U.S. Products Subject to China’s Countermeasures to U.S. Trade Actions

国务院关税税则委员会关于试行开展对美加征关税商品排除工作的公告

Link: [http://gss.mof.gov.cn/zhengwuxinxi/zhengcefabu/201905/t20190513\\_3256786.html](http://gss.mof.gov.cn/zhengwuxinxi/zhengcefabu/201905/t20190513_3256786.html)

**Attachment 2** – List of U.S. Agriculture and Related Products

MOF Trade Policy Research Center, Tariff Exclusion Application Instructions

<https://accounts.ccpit.org/static/%E5%A1%AB%E6%8A%A5%E8%AF%B4%E6%98%8E.zip>

**Attachment 3** – Operations Guide for Enterprises

**Attachment 4** – Operation Guide for Industry Associations

**Attachment 1** – China Announces a Tariff Exclusion Procedure for Eligible U.S. Products Subject to China’s Countermeasures to U.S. Trade Actions

**UNOFFICIAL TRANSLATION**  
**MINISTRY OF FINANCE ANNOUNCEMENT**

State Council Customs Tariff Commission Notice on the  
Trial Implementation of the Exclusion Process for Eligible U.S. Products Subject to Ad Valorem Tariffs  
(Tariff Commission Notice [2019] No. 2)

According to the stipulations of the Customs Law, Foreign Trade Law, Import-Export Tariff Regulations, and other relevant laws and regulations, the State Council Customs Tariff Commission has decided to launch exclusion work for U.S. products subject to ad valorem tariffs on a trial basis. According to the applications of Chinese stakeholders, we will exclude some of the products that meet requirements from the scope of ad valorem tariffs on U.S. products. We will take exclusion measures such as temporarily not imposing ad valorem tariffs and refunding ad valorem tariffs collected on products that have the conditions necessary for refunding tariffs. See the attachment for Trial Measures on the Exclusion Work for U.S. Products Subject to Ad Valorem Tariffs.

Attachment: Trial Measures on the Exclusion Work for U.S. Products Subject to Ad Valorem Tariffs  
State Council Customs Tariff Commission  
May 13, 2019

**Attachment: Trial Measures on the Exclusion Work for U.S. Products Subject to Ad Valorem Tariffs**

Link: <http://gss.mof.gov.cn/zhengwuxinxi/zhengcefabu/201905/P020190513722406861547.pdf>

### **1) Applicants**

Applicants should be stakeholders applying for product exclusion, including enterprises in China or their industry or business associations that import, produce, or use relevant products. We encourage industry and business associations to represent their members in making applications.

### **2) Scope of Eligibility for Exclusion Applications**

The scope of eligibility for exclusion applications is products for which we have already announced implementation of ad valorem tariffs which have not been canceled or temporarily suspended.

The first batch includes the products in the attachment List 1 of U.S. Products Subject to Ad Valorem Tariffs of the State Council Customs Tariff Commission Notice on Ad Valorem Tariffs on \$50 Billion of Imported Products Originating in the United States ([Tariff Commission Notice \[2018\] No. 5](#)), and List 2 of U.S. Products Subject to Ad Valorem Tariffs of the State Council Customs Tariff Commission Notice on Ad Valorem Tariffs on About \$16 Billion of Imported Products Originating in the United States ([Tariff Commission Notice \[2018\] No. 7](#)).

The second batch includes the products in attachments 1-4 of the State Council Customs Tariff Commission Notice on Ad Valorem Tariffs on Certain Imported Products Originating in the United States (Batch 2) ([Tariff Commission Notice \[2018\] No. 6](#)). The above two batches of products do not include automobiles and auto part products for which ad valorem tariffs have already been canceled or temporarily suspended.

### **3) Application Method and Timeframe**

Applicants should fill out and submit their exclusion applications according to requirements via the Ministry of Finance, Tariff Policy Research Center web address: <http://gszx.mof.gov.cn>.

We will begin accepting applications for the first batch of products eligible for exclusion applications starting June 3, 2019 and lasting through July 5, 2019. We will begin accepting applications for the second batch of products eligible for exclusion applications starting September 2, 2019 and lasting through October 18, 2019.

#### **4) Requirements for Filling Out and Submitting Applications**

To apply to exclude a tariff code (eight digits, same for the below references) product, [applicants must] fill out and submit a form. To apply to exclude products under multiple tariff codes, [applicants must] fill out and submit a form for each tariff code product. For the same tariff code product, if an enterprise has already submitted information to an industry or business association and the industry or business association has already compiled and submitted it, the enterprise may not fill out and submit it again.

The applicant should completely fill out and submit exclusion application information according to the specific instructions and requirements for exclusion applications in the above web address. They should use facts and data to explain the following three aspects of their reason for application: difficulties faced in seeking alternative sources of goods; how ad valorem tariffs cause serious economic damage to the applicant; how ad valorem tariffs will have a major negative structural impact on the relevant industries (including impact on industry development, technological advances, employment, environmental protection, etc.) or lead to serious social consequences. Applications that do not fulfill these requirements will not be accepted.

Applicants should be responsible for the truthfulness of the information they fill in and submit. If we discover false information during examination, we will not consider the exclusion application submitted by the relevant applicant. Information filled out and submitted by the applicant will only be used for the exclusion work of ad valorem tariffs on U.S. products. We will not release it to third parties without the agreement of the applicant, except for reasons such as complying with government requirements, legal or policy needs, etc.

#### **5) Issuance of Exclusion Lists**

The State Council Customs Tariff Commission will organize a review of valid applications one by one. We will launch investigations and research; listen to the opinions of relevant experts, associations, and departments; and draft and issue exclusion lists according to procedure. [Note: Due to characteristics of the Chinese language, it is unclear whether there will be a single exclusion list or multiple exclusion lists. For the purposes of this translation, we are working on the assumption that there will be multiple lists released over an undefined period of time.]

For products on an exclusion list, we will not collect any more ad valorem tariffs in retaliation for U.S. Section 301 measures within one year of the implementation date of the exclusion list. We will refund

ad valorem tariffs already collected on products that have the conditions necessary for refunding tariffs. The relevant importing enterprises should apply to Customs according to regulations within six months of the release of an exclusion list. Ad valorem tariffs already collected on products whose ad valorem tariffs are canceled or suspended before an exclusion list is issued will not be refunded.

There are two main situations under which [products] possess the conditions for a tariff refund. One is where an exclusion list conducts exclusion based on a tariff code, and the second is where a product on an exclusion list is part of a tariff code and customs has the conditions to refund tariffs, for example, where customs has an additional code on top of the base tariff code.

**END UNOFFICIAL TRANSLATION**

## Attachment 2 – List of U.S. Agriculture and Related Products

Note: This list only covers certain agriculture and related products. For the complete published list, please see the MOF, Tariff Policy Research Center’s website (<https://gszx.mof.gov.cn>).

HS-8 Code	Description
02011000	Meat of bovine animals, fresh or chilled: carcasses and half- carcasses
02012000	Meat of bovine animals, fresh or chilled: other cuts with bone-in
02013000	Meat of bovine animals, fresh or chilled: boneless
02021000	Meat of bovine animals, frozen: carcasses and half-carcasses
02022000	Meat of bovine animals, frozen: other cuts with bone-in
02023000	Meat of bovine animals, frozen: boneless
02031110	Fresh or chilled carcasses and half- carcasses of suckling pig
02031190	Other fresh or chilled whole or half swine
02031200	Hams, shoulders and cuts thereof, with bone in, fresh or chilled
02031900	Other meat of swine, fresh or chilled
02032110	Frozen whole or half-carcasses of suckling pig
02032190	Other meat of swine, carcasses and half-carcasses, frozen
02032200	Hams, shoulders and cuts thereof, with bone in, frozen
02032900	Other meat of swine, frozen
02062900	Edible offal of bovine animals, frozen: other
02063000	Edible offal of swine: fresh or chilled
02064100	Edible offal of swine: frozen: livers
02064900	Edible offal of swine: frozen: other
02071100	Chickens, not cut in pieces, fresh or chilled
02071200	Chickens, not cut in pieces, frozen
02071311	Fresh or chilled cuts of chicken, with bone in
02071319	Fresh or chilled cuts of chicken, other
02071321	Fresh or chilled wing of chicken (excluding wingtips)
02071329	Fresh or chilled offal of chicken, other
02071411	Frozen cuts of chicken, with bone in
02071419	Frozen cuts of chicken, other
02071421	Frozen wing of chicken (excluding wingtips)
02071422	Fresh, chilled or frozen chicken claw
02071429	Frozen offal of chicken, other
02072400	Fresh or chilled whole turkeys
02072500	Frozen whole turkeys
02072600	Fresh or chilled cuts & offal of turkey
02072700	Frozen cuts & offal of turkey
02074100	Fresh or chilled whole ducks
02074200	Frozen whole ducks
02074300	Fatty livers of duck, fresh or chilled

02074400	Fresh or chilled cuts & offal of duck
02074500	Frozen cuts & offal of duck
02075100	Fresh or chilled whole geese
02075200	Frozen whole geese
02075300	Fatty livers of geese, fresh or chilled
02075400	Fresh or chilled cuts & offal of geese
02075500	Frozen cuts & offal of geese
02091000	Pig fat, free of lean meat, fresh, chilled, frozen, salted, in brine, dried or smoked
02101110	Swine hams & shoulders, salted, in brine, bone-in, dried or smoked
02101190	Cuts of swine hams & shoulders, bone-in, salted, in brine, dried or smoked
02101200	Bellies & cuts thereof of swine, salted, in brine, dried or smoked
02101900	Meat of swine, salted, in brine, dried or smoked, nesoi
03011100	Freshwater Live ornamental fish
03011900	Other live ornamental fish
03031100	Frozen sockeye salmon
03031200	Frozen other Pacific salmon
03031300	Frozen Atlantic salmon and Danube salmon
03031400	Frozen trout
03031900	Frozen salmonidae, nes
03032300	Frozen tilapias
03032400	Frozen catfish
03032500	Frozen carp
03032600	Frozen eels
03032900	Frozen Nile perch and snakeheads
03033110	Frozen Greenland halibut
03033190	Other frozen halibut
03033200	Frozen plaice
03033300	Frozen sole
03033400	Frozen turbot
03033900	Frozen flat fish (excl. halibut, plaice & sole)
03034100	Frozen albacore or longfinned tunas
03034200	Frozen yellowfin tunas
03034300	Frozen skipjack or stripe-bellied bonito
03034400	Frozen bigeye tunas
03034510	Frozen Atlantic Bluefin tunas
03034520	Frozen Pacific Bluefin tunas
03034600	Frozen southern Bluefin
03034900	Frozen tunas, other
03035100	Herrings ( <i>Clupea harengus</i> , <i>Clupea pallasii</i> ), frozen, excluding livers and roes
03035300	Frozen sardines, brisling or sprats
03035400	Frozen mackerel

03035500	Frozen jack and horse mackerel
03035600	Frozen cobia
03035700	Swordfish, frozen
03035900	Frozen Indian mackerel, Spanish mackerel
03036300	Cod, frozen
03036400	Frozen haddock
03036500	Frozen coalfish
03036600	Frozen hake
03036700	Frozen Alaska Pollack
03036800	Frozen blue whittings
03036900	Other fish in Bregmacerotidae et al, etc. frozen
03038100	Frozen dogfish & sharks
03038200	Frozen rays and skates
03038300	Toothfish, frozen
03038400	Frozen sea-bass
03038910	Frozen scabber fish (trichurius)
03038920	Frozen yellow croaker(pseudosicaena)
03038930	Frozen tilapia
03038990	Frozen fish
03039100	Frozen fish liver, roe and milt
03039200	Shark fins
03039900	Fins and other frozen fish offal
03046100	Frozen tilapia fillets
03046211	Frozen fillets of channel catfish
03046219	Frozen fillets of other Ictalurus
03046290	Frozen fillets of other catfish
03046300	Frozen fillets of Nile perch
03046900	Frozen fillets of carp, eels, snakeheads
03047100	Frozen fillets of cod
03047200	Frozen fillets of haddock
03047300	Frozen fillets of coalfish
03047400	Frozen fillets of hake
03047500	Frozen fillets of Alaska Pollack
03047900	Frozen fillets of other fish of the families Bregmacerotidae
03048100	Frozen fillets of Pacific salmon, Atlantic salmon and Danube salmon
03048200	Frozen fillets of trout
03048300	Frozen fillets of flat fish
03048400	Frozen fillets of swordfish
03048500	Frozen fillets of toothfish
03048600	Frozen fillets of herrings
03048700	Frozen fillets of Tunas, skipjack or stripe-bellied bonito

03048800	Dogfish, other shark, ray and skate fillets, frozen
03048900	Other frozen fillets
03049100	Swordfish meat, frozen
03049200	Toothfish meat, frozen
03049300	Frozen Tilapias meat
03049400	Alaska Pollack meat, frozen
03049500	Fish of the families Bregmacerotidae, Euclichthyidae, Gadidae
03049600	Dogfish and other sharks meat, frozen
03049700	Ray and skates meat, frozen
03049900	Other fish meat, frozen
03051000	Flours, meals & pellets of fish, fit for human consumption
03052000	Livers & roes of fish, dried, smoked, salted or in brine
03061100	Frozen rock lobster & other sea crawfish
03061200	Frozen lobsters
03061410	Frozen swimming crabs
03061490	Other frozen crabs
03061500	Frozen Norway lobsters
03061611	Frozen shelled cold-water shrimps
03061612	Frozen northern pandalus
03061619	Frozen other cold-water shrimps
03061621	Frozen shelled cold-water prawns
03061629	Frozen other cold-water prawns
03061711	Frozen shelled shrimps
03061719	Frozen other shrimps
03061721	Frozen shelled prawns
03061729	Frozen other prawns
03061911	Frozen freshwater crawfish shelled
03061919	Frozen freshwater crawfish in shell
03061990	Frozen crustaceans, nesoi, incl flours, meals, pellets for human consumption
03063110	Rock lobster and other sea crawfish for cultivation
03063190	Live, fresh or chilled rock lobster and other sea crawfish
03063210	Ao lobster (ao lobster) seedlings
03063290	Live, fresh or cold shells or shells lobster
03063310	Crabs and seedlings
03063391	Live, fresh or cold with shells or shelled Chinese crab
03063392	Live, fresh or cold swimming crab
03063399	Live fresh other crabs
03063410	Norwegian lobsters seedlings
03063490	Other live, fresh or cold Norwegian lobsters
03063510	Cold water shrimp and prawns for cultivation
03063520	Live, Fresh, cold water prawns

03063590	Other cold-water shrimps, live, fresh or cold
03063610	Other Shrimps/Prawns For Cultivation
03063620	Other prawns, live, fresh or cold
03063690	Other shrimps, live, fresh or cold
03063910	Other crustacean for human consumption, for cultivation
03063990	Live/Fresh/Chilled Crustaceans for human consumption
03069100	Dried, salted or smoked Rock Lobster and other crawfish
03069200	Other lobsters, salted or smoked
03069310	Freshwater Crab, dried, salted or smoked
03069320	Dried, smoked, salted swimming crabs
03069390	Other Crab, dried, salted or smoked
03069400	Dried, smoked, salted Norway lobsters
03069510	Dried, smoked, salted cold water shrimps and prawns
03069590	Dried, smoked, salted shrimps and prawns
03069900	Flour and pellets of crustaceans
03071110	Oysters for cultivation
03071190	Live/Fresh/Chilled Oysters
03071200	Frozen Oysters
03071900	Dried/Salted/Smoked Oysters
03072110	Scallops For Cultivation
03072190	Live/Fresh/Chilled Scallops
03072200	Frozen Scallops
03072900	Dried/Salted/Smoked Scallops
03073110	Mussels for cultivation
03073190	Live/Fresh/Chilled Mussels
03073200	Frozen Mussels
03073900	Dried/Salted/Smoked Mussels
03074210	Cuttlefish and squid seedlings
03074291	Live/Fresh/Chilled Cuttle Fish and squid
03074299	Other Live/Fresh/Chilled Cuttle Fish And Squid
03074310	Frozen Cuttle Fish and squid
03074390	Other Frozen Cuttle Fish And Squid
03074910	Dried/Salted/Smoked Cuttle Fish and Squid
03074990	Other Dried/Salted/Smoked Cuttle Fish And Squid
03075100	Live/Fresh/Chilled Octopus
03075200	Frozen Octopus
03075900	Dried/Salted/Smoked Octopus
03076010	Snails (Not Sea Snails), For Cultivation
03076090	Snails (Not Sea Snails)
03077110	Clams, Cockles And Ark Shells, For Cultivation
03077191	Live/Fresh/Chilled Clams

03077199	Live/Fresh/Chilled Cockles And Ark Shells
03077200	Frozen Clams, Cockles And Ark Shells
03077900	Dried/Salted/Smoked Clams, Cockles And Ark Shells
03078110	Abalone, For Cultivation
03078190	Live/Fresh/Chilled Abalone
03078210	Stromboid conchs for cultivation
03078290	Live/Fresh/Chilled Stromboid Conchs
03078300	Frozen Abalone
03078400	Frozen Stromboid Conchs
03078700	Dried/Salted/Smoked Abalone
03078800	Dried/Salted/Smoked Stromboid conchs
03079110	Other molluscs for cultivation
03079190	Other molluscs, live, fresh or chilled
03079200	Frozen other Molluscs
03079900	Other Molluscs, Dried/Salted/Smoked
03081110	Sea cucumbers for cultivation
03081190	Live/Fresh/Chilled Sea Cucumbers
03081200	Frozen Sea Cucumbers
03081900	Dried/Salted/Smoked Sea Cucumbers
03082110	Sea urchins for cultivation
03082190	Live/Fresh/Chilled Sea Urchins
03082200	Frozen Sea Urchins
03082900	Dried/Salted/Smoked Sea Urchins
03083011	Jellyfish for cultivation
03083019	Jellyfish, live, fresh or chilled
03083090	Frozen/Dried/Salted/Smoked Jellyfish
03089011	Other aquatic invertebrates for cultivation
03089012	Live/Fresh/Chilled Sea Clamworm
03089019	Live/Fresh/Chilled Aquatic Invertebrates
03089090	Other Frozen/Dried/Salted/Smoked Aquatic Invertebrates
04011000	Milk & cream, fat $\leq$ 1%, not concentrated nor sweetened
04012000	Milk & cream, fat 1%-6%, not concentrated nor sweetened
04014000	Milk & cream, fat 6%-10%, not concentrated nor sweetened
04015000	Milk & cream, fat > 10%, not concentrated nor sweetened
04021000	Milk & cream in solid forms, fat $\leq$ 1.5%
04022100	Milk & cream in solid forms, fat >1.5%, not sweetened
04022900	Milk & cream in solid forms, fat >1.5%, sweetened
04029100	Milk & cream not in solid form, concentrated, not sweetened
04029900	Milk & cream not in solid form, concentrated, sweetened
04031000	Yogurt
04039000	Buttermilk

04041000	Whey and modified whey
04049000	Other products that contain natural milk constituents
04051000	Butter
04052000	Dairy spreads
04059000	Other fats & oils derived from milk
04061000	Fresh cheese, curd
04062000	Grated or powdered cheese
04063000	Other processed cheese
04064000	Blue-veined cheese, other-veined cheese
04069000	Other cheese
05040021	Cold, frozen gizzard
07011000	Seed Potatoes
07019000	Other potatoes, fresh or chilled
07020000	Tomatoes, Fresh Or Chilled
07031010	Onions, Fresh Or Chilled
07031020	Shallots, Fresh Or Chilled
07032010	Bulbs Of Garlic, Fresh Or Chilled
07032020	Stems or seedlings of garlic, fresh or chilled
07032090	Other parts of garlic, fresh or chilled
07039010	Leeks, fresh or chilled
07039020	Scallion, fresh or chilled
07039090	Otheralliaceous vegetables, fresh or chilled
07041000	Cauliflowers & headed broccoli, fresh or chilled
07042000	Brussels sprouts, fresh or chilled
07049010	Cabbage (Brassica oleracea var. capitata)
07049020	Broccolis, Fresh Or Chilled
07049090	Kohlrabi, Kale & Similar Edible Brassicas, Fresh O
07051100	Cabbage Lettuce, Fresh Or Chilled
07051900	Lettuce, Fresh Or Chilled, (Excl. Cabbage Lettuce)
07052100	Witloof chicory, fresh or chilled
07052900	Chicory, fresh or chilled, (excl. witloof)
07061000	Carrots & Turnips, Fresh Or Chilled
07069000	Other Similar Edible Roots, Fresh Or Chilled, Excl
07070000	Cucumbers & Gherkins, Fresh Or Chilled
07081000	Peas, fresh or chilled
07082000	Beans, fresh or chilled
07089000	Leguminous vegetables, fresh or chilled, nes
07092000	Asparagus, fresh or chilled
07093000	Aubergines, fresh or chilled
07094000	Celery, other than celeriac ,fresh or chilled
07095100	Mushrooms of the genus Agaricus, fresh or chilled

07095910	Sungmo, Fresh Or Chilled
07095920	Shiitake, Fresh Or Chilled
07095930	Winter Mushroom, Fresh Or Chilled
07095940	Paddy straw mushroom, fresh or chilled
07095950	Trichiloma mongolicum Imai, fresh or chilled
07095960	Truffles, fresh or chilled
07095990	Other mushrooms and truffles, nes, fresh or chilled
07096000	Fruits of genus Capsicum or Pimenta, fresh or chilled
07097000	Spinach, fresh or chilled
07099100	Globe Artichokes, Fresh Or Chilled
07099200	Olives, fresh or chilled
07099300	Pumpkins/Squash/Gourds, Fresh Or Chilled
07099910	Bamboo shoots, fresh or chilled
07099990	Vegetables Nes, Fresh Or Chilled
07122000	Dried Onions
07123100	Dried Mushrooms Of The Genus Agaricus
07123200	Dried Wood Ears
07123300	Dried Jelly Fungi
07123910	Dried Hiitake
07123920	Dried Winter Mushroom
07123950	Dried Cepe (Boletus Eduis)
07123991	Dried Tripe Bacteria
07123999	Dried Mushrooms/Truffles, Nes
07129010	Dried Bamboo Shoots
07129020	Dried osmund
07129030	Dried day lily flowers
07129040	Dried Wild Brake
07129050	Dried Garlic
07129091	Dried horseradish
07129099	Dried vegetables, nes
07131010	Seed Peas, Dried, Shelled
07131090	Other Dried Peas, Shelled
07132010	Seed Chickpeas, Dried, Shelled
07132090	Other Dried Chickpeas, Shelled
07133110	Seed beans, dried, shelled
07133190	Other Dried Beans, Shelled
07133210	Other seed adzuki beans, dried shelled
07133290	Other dried adzuki beans, shelled
07133310	Seed Kidney Beans, Incl. White Pea Beans, Dried
07133390	Other Dried Kidney Beans, Incl. White Pea Beans
07133400	Bambara beans, dried, shelled

07133500	Dried Cow Peas, Shelled
07133900	Dried Beans(Vigna Spp./Phaseolus Spp.), Shelled, N
07134010	Seed Lentils, Dried, Shelled
07134090	Other Dried Lentils, Shelled
07135010	Seed Broad Beans And Horse Beans, Dried, Shelled
07135090	Other Dried Broad Beans And Horse Beans, Shelled
07136010	Seed pigeon peas, dried
07136090	Other pigeon peas, dried
07139010	Dried Leguminous Vegetables Seed Nesoi, Shelled
07139090	Dried Leguminous Vegetables, Shelled, Nesoi
07141010	Fresh Manioc
07141020	Dried Manioc
07142011	Fresh sweet potatoes, for cultivation
07142019	Other Fresh Sweet Potatoes
07142020	Dried Sweet Potatoes
07143000	Yams
07144000	Taros (Colocasia esculenta)
07145000	Yautia
07149010	Water chestnut, fresh or dried, chilled, frozen
07149021	Lotus roots for cultivation, fresh or dried
07149029	Lotus roots o/t for cultivation, fresh or dried
07149090	Roots/Tubers With High Starch Content, Fresh or Chilled
08011100	Desiccated Coconuts
08011200	Coconuts In The Inner Shell (Endocarp)
08011910	Coconuts, seedlings
08011990	Other fresh Coconuts
08012100	Brazil Nuts, In Shell
08012200	Brazil Nuts, Shelled
08013100	Cashew Nuts, In Shell
08013200	Cashew Nuts, Shelled
08021100	Almonds In Shell
08021200	Shelled Almonds
08022100	Hazelnuts In Shell, Fresh Or Dried
08022200	Hazelnuts Without Shells, Fresh Or Dried
08023100	Walnuts In Shell, Fresh Or Dried
08023200	Walnuts Shelled, Fresh Or Dried
08024110	Chestnuts in shell
08024190	Other chestnuts in shell
08024210	Shelled chestnuts
08024290	Other shelled chestnuts
08025100	Pistachios in shell

08025200	Shelled pistachios
08026110	Seedlings of macadamia nuts, fresh or dried, in shell
08026190	other macadamia nuts in shell
08026200	Shelled macadamia nuts
08027000	Cola nuts
08028000	Areca Nuts
08029020	Gingko nuts, fresh or dried
08029030	Pine-nuts, shelled, fresh or dried
08029090	Other Nuts, Fresh Or Dried
08031000	Plantains, Fresh Or Dried
08039000	Other Bananas, Fresh Or Dried
08041000	Dates, Fresh Or Dried
08042000	Figs, Fresh Or Dried
08043000	Pineapples, Fresh Or Dried
08044000	Avocados, Fresh Or Dried
08045010	Guavas, Fresh Or Dried
08045020	Mangoes, Fresh Or Dried
08045030	Mangosteens, Fresh Or Dried
08051000	Oranges, Fresh Or Dried
08052110	Fresh or dried Chiao-Kan
08052190	Other citrus, fresh or dried
08052200	Clementines, fresh or dried
08052900	Wilking's And Similar Citrus Hybrids, fresh or dried
08054000	Grapefruit, Including Pomelos, Fresh Or Dried
08055000	Lemons And Limes, Fresh Or Dried
08059000	Citrus Fruit, Fresh Or Dried
08061000	Fresh Grapes
08062000	Raisins
08071100	Watermelons, Fresh
08071910	Hami Melons, Fresh
08071920	Cantaloupe and Galia melons, fresh
08071990	Other melons, fresh
08072000	Papaws (Papayas), Fresh
08081000	Apples, Fresh
08083010	Ya Pears and Snowy Pears, Fresh
08083020	Fragrant pears, fresh
08083090	Other fresh pears
08084000	Quinces, fresh
08091000	Apricots, fresh
08092100	Sour European cherries, fresh
08092900	Other cherries, fresh

08093000	Peaches, Including Nectarines, Fresh
08094000	Plums & Sloes, Fresh
08101000	Strawberries, Fresh
08102000	Raspberries, Blackberries, Mulberries & Loganberries, fresh
08103000	Currants And Gooseberries, Fresh
08104000	Cranberries, Milberries & Other Fruits Of The Genus, fresh
08105000	Kiwifruit, Fresh
08106000	Durian, Fresh
08107000	Persimmons, Fresh
08109010	Lychee, Fresh
08109030	Longan, Fresh
08109040	Rambutan, Fresh
08109050	Sugar Apple, Fresh
08109060	Carambola, Fresh
08109070	Wax Apple, Fresh
08109080	Dragon Fruit, Fresh
08109090	Other Fruit. Fresh
08131000	Dried Apricots
08132000	Prunes
08133000	Dried Apples
08134010	Longans And Longan Pulps, Dried
08134020	Persimmons, Dried
08134030	Chinese Dates (Red Jujubes), Dried
08134040	Preserved Litchi, Dried
08134090	Other Fruit, Dried; Other (including wingfruit, cranberry, and Other than that of Headings 0801 to 08.06)
08135000	Mixtures Of Dried Fruit & Nuts
10011900	Durum Wheat, Excl. Seed
10019900	Other Wheat And Meslin, Excl. Seed
10059000	Maize Excl. Seed
10061011	Long Grain Rice Seed, In Husk (Paddy Or Rough)
10061019	Other Rice Seed, In Husk (Paddy Or Rough)
10061091	Long Grain Rice, In Husk (Paddy Or Rough), Excl. Seed
10061099	Other Rice, In Husk (Paddy Or Rough), Excl. Seed
10062010	Husked (Brown) Long Grain Rice
10062090	Other Husked Rice
10063010	Semi-Milled Or Wholly Milled Long Grain Rice
10063090	Other Semi-Milled Or Wholly Milled Rice
10064010	Broken Long Grain Rice
10064090	Other Broken Rice
10079000	Grain Sorghum, Excl. Seed
11022000	Maize (Corn) Flour

11029011	Indica Rice Flour
11029019	Other Rice Flour
11031921	Groats & Meal Of Indica Rice
11031929	Groats & Meal Of Other Rice, Nes
12019010	Yellow Soya Beans, Not For Cultivation
12019020	Black Soya Beans, Not For Cultivation
12141000	Lucerne (Alfalfa) Meals & Pellets
12149000	Other Lucerne (Alfalfa), not including meals and pellets
14042000	Cotton Linters
16030000	Extracts & Juices Of Meat and Fishery products
16041110	Prepared/Preserved Atlantic Salmon, Whole/Pieces
16041190	Other Prepared/Preserved Salmon, Whole/Pieces
16041200	Prepared/Preserved Herrings, Whole/Pieces
16041300	Prepared/Preserved Sardines, Whole/pieces
16041400	Prepared/Preserved Tunas, whole/pieces
16041500	Prepared/Preserved Mackerel, Whole/Pieces
16041600	Prepared/Preserved Anchovies, Whole/Pieces
16041700	Prepared/Preserved Eels, Whole/Pieces
16041800	Prepared/Preserved Shark's Fin, Whole/Pieces
16041920	Prepared/Preserved Tilapia, Whole/Pieces
16041931	Prepared/Preserved Channel Catfish, Whole/Pieces
16041939	Other Prepared/Preserved Catfishes, Whole/pieces
16041990	Prepared/Preserved Fish, Whole/Pieces
16042011	Prepared/Preserved Shark's Fin In Airtight Containers
16042019	Other Prepared/Preserved Fish In Airtight Containers
16042091	Other Prepared/Preserved Shark's Fin
16042099	Other Prepared/Preserved Fish
16043100	Caviar
16043200	Caviar Substitutes
16051000	Crab, Prepared Or Preserved
16052100	Shrimps/Prawns, Prepared Or Preserved, Not In Airtight containers
16052900	Other Shrimps/Prawns, Prepared Or Preserved
16053000	Lobster, Prepared Or Preserved
16054011	Freshwater Shelled Crawfish, Prepared Or Preserved
16054019	Freshwater in Shell Crawfish, Prepared Or Preserve
16054090	Crustaceans, Nes, Prepared Or Preserved
16055100	Oysters, Prepared Or Preserved
16055200	Scallops(Incl. Queen Scallops), Prepared Or Preserved
16055300	Mussels, Prepared Or Preserved
16055400	Cuttle Fish And Squid, Prepared Or Preserved
16055500	Octopus, Prepared Or Preserved

16055610	Clams, Prepared Or Preserved
16055620	Cockles And Arkshells, Prepared Or Preserved
16055700	Abalone, Prepared Or Preserved
16055800	Snails(Excl. Sea Snails), Prepared Or Preserved
16055900	Other Molluscs, Prepared Or Preserved
16056100	Sea Cucumbers, Prepared Or Preserved
16056200	Sea Urchins, Prepared Or Preserved
16056300	Jellyfish, Prepared Or Preserved
16056900	Other Aquatic Invertebrates, Prepared Or Preserved
20089300	Prepared/Preserved Cranberries, not from vinegar
20091100	Frozen Orange Juice
20091200	Unfrozen Orange Juice, Brix Value≤20
22072000	Ethyl Alcohol & Other Spirits, Denatured Of Any Strength
22083000	Whiskies
23012010	Flours & Meals Of Fish, Used In Animal Feeding
23033000	Brewing Or Distilling Dregs & Waste
23091010	Dog Or Cat Food, For Retail Sale, In Airtight Containers
23091090	Other Dog Or Cat Food, For Retail Sale
24011010	Flue-Cured Tobacco, Not Stemmed/Stripped
24011090	Tobacco, Other Than Flue-Cured, Not Stemmed/Stripped
24012010	Flue-Cured Tobacco, Partly Or Wholly Stemmed/Strip
24012090	Tobacco, Not Flue-Cured, Partly Or Wholly Stemmed/
24013000	Tobacco refuse
24021000	Cigars, Cheroots & Cigarillos Containing Tobacco
24022000	Cigarettes Containing Tobacco
24029000	Cigar and Tobacco made by Tobacco Alternatives
24031100	Water Pipe Tobacco Specified In Subheading Note To
24031900	Other Smoking Tobacco
24039100	Homogenized Or Reconstituted Tobacco
24039900	Other Manufactured Tobacco
44013100	Wood Pellets
44013900	Sawdust And Wood Waste And Scrap, Agglomerated In
44032190	Wood Of Other Pin, In The Rough, Cross-Sectional D
51031090	Noils Of fine animal hair, not of wool
51032090	Waste of fine animal hair, not of wool
51033000	Waste of coarse animal hair, not of wool
52010000	Cotton, Not Carded Or Combed
52021000	Yarn Waste Of Cotton
52029100	Garnetted Stock Of Cotton
52029900	Cotton Waste, Nes

## Attachment 3 - Operation Guide for Enterprises

### UNOFFICIAL TRANSLATION CHINA STATE COUNCIL CUSTOMS TARIFF COMMISSION PRODUCT EXCLUSION REPORTING SYSTEM FOR U.S. PRODUCTS OPERATION GUIDE FOR ENTERPRISES

#### 1. Application for Registration

The applicant should first register as a system user. On the registration page, select the subject type as “Enterprise,” fill in the Uniform Social Credit Code and mobile phone number, and click “Get Verification Code.” Check the short message received by mobile phone, and fill in the verification code correctly in the system. Click on “Registration,” and the system will show that the user is successfully registered. If the short message is not received in time due to poor network signal, retry it again. If the application is affected by browser compatibility issues, it is recommended to use IE10 and above or Chrome-based browser (select speed mode).



**Subject Type:** for enterprise users, select “Enterprise”

**Uniform Social Credit Code:** 18 national Uniform Social Credit Code for legal persons and other organizations (Such as: 91110102330293707N)

**Mobile number:** login identity (user ID), also used to receive messages sent by the system

**Verification code:** valid within 5 minutes, user will need to acquire a new code if the old one is expired

Detailed instructions shown in Figure 1-1:



Figure 1-1

## 2. User Login

The user will fill in the registered mobile phone number, click “Acquire Verification Code,” and then check the short message received by mobile phone. The applicant will fill in the verification code correctly in the system and click “Login” to enter the system. If the short message is not received in time due to poor network signal, retry.

**Verification code:** valid within 5 minutes, user will need to acquire a new code if the old one is expired

Detailed instructions shown in Figure 2-1:



Figure 2-1

## 3. Basic Enterprise Information

The first time the user logs in, the basic information of the enterprise will need to be filled in before applying for the exemption. The applicant is accountable for the authenticity of the submitted information. Any fake or false statements found through the verification process, the exemption application from the relevant application body will not be considered. The enterprise shall complete the basic information section, and upload a scanned version of the business



Detailed instructions shown in Figure 4-1:

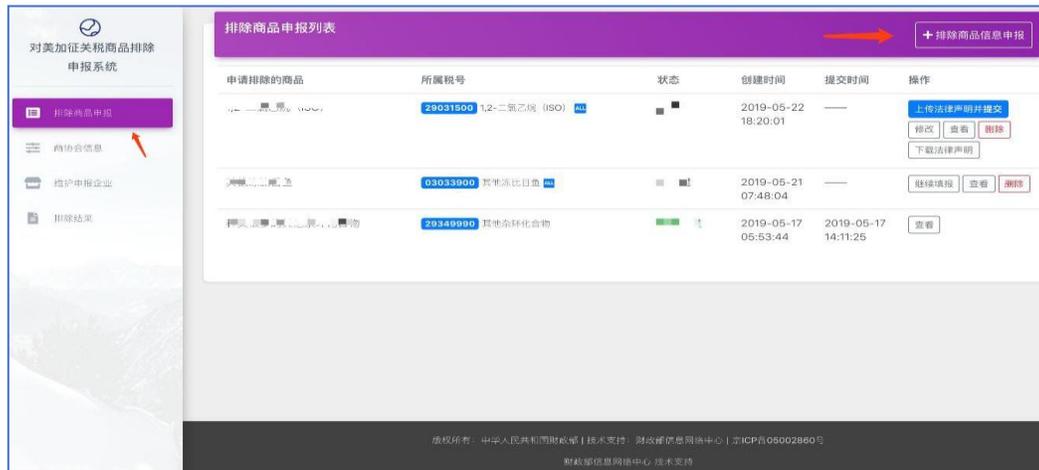


Figure 4-1

### (1) Product Information

A product with 8-digit tariff code (here after referred to as tariff code) can only apply for once.

- 1) Product for Exclusion:** Please describe the product for exclusion. For example: A safe with finger print function. Different enterprises have different descriptions for the same tariff code, industry association should compile and summarize then submit.
- 2) Tariff Code:** Search based on product name and tariff code. There are options to include all commodities under that same tariff code, or selective commodities under the same tariff code. If the applicant only wants to exclude selective commodities under a tariff code, there are two options: one is to choose one or multiple commodities based on 10-digit codes code to pick one or multiple choices; the other is to clearly describe the shape or characteristics of a product that Customs can visually distinguish.
- 3) Intended Product Applications.** This refers to the applications of an imported product. An industry association can select multiple choices after compiling all the applications of a product from all enterprises: foreign trade domestic sales, special zone domestic sales, other domestic sales, enterprises own use, processed then resell, borrow, deposit, provided for free, provided for a price etc.

Detailed instructions shown in Figure 4-2:

对美加征关税商品排除申报系统

申请排除 [29261000] 丙烯腈  
需要填写内容较多，请及时保存

1 商品信息    2 2017年进口情况    3 2018年进口情况    4 2019年进口情况    5 企业影响    6 排除理由    7 法律声明

商品信息

1. 申请排除的商品

2. 所属税号   
 进口最惠国税率: 6.5%    计量单位: 千克  
 对美加征税率: 25%    起征日期: 2018-08-23

是否排除29261000税号下全部商品  排除本税号下全部商品  否

3. 商品用途   
 进口供本单位（企业）自用的货物，如：外商投资企业以及特区内的企业、事业单位和机关单位进口自用的机器设备等。

温馨提示

1. 所有填报项中，除了明确标明选填的内容外，均为必填项；

Figure 4-2

- 1) **2017 Imports.** Describe imports of 2017 and upload Customs Declaration Bills in PDF format. For example, if there was no imports in a certain year, please enter “0.” Import value must be converted to U.S. dollar, if accounts were not settled in U.S. dollar, please refer to the currency exchange rates published monthly by the National Foreign Currency Administration Bureau and convert to U.S. dollar. The exchange rate web site: <http://m.safe.gov.cn/safe/gzhbdmyzslb/index.html>
  - a. Enter the total volume and value of the product imported in 2017
  - b. Enter the volume and value of the product imported from the United States in 2017
  - c. Enter the volume and value imported from other countries and regions for the product in 2017. You could fill in the 5 largest imports from other countries and regions by clicking “+” at the tail of the input box to add a country. If no imports, please select NONE in the list of countries and regions.
  - d. Please upload Customs Bills of Declaration for the top 5 in value in 2017. If the number was under five, please upload accordingly. Please upload declaration time, volume, port, bills in PDF format, click on “save bills of declaration.” The time, volume, port must be consistent with the customs declaration documents, if not, the application will not pass the review. If the customs declaration bills were less than five, upload the actual imports declaration forms.

Please fill in the customs clearance time, quantity, and customs declaration port and upload the customs declaration form in PDF format. After uploading successfully, click “Save Customs Declaration Form” to complete the filling of a customs declaration form. The customs clearance time, quantity, and customs declaration port must be consistent with the uploaded customs declaration documents. Inconsistency will lead to the failure in the preliminary review. If there are less than 5 declaration forms in that year, upload the actual imports declaration forms.

Detailed instructions shown in Figure 4-3:

4. 2017年进口该商品总数量和总金额

进口数量 ①: 94230.128 千克      进口金额 ①: 73098.18 美元

5. 2017年从美国进口该商品的数量、金额

进口数量 ①: 64923.273 千克      进口金额 ①: 45982.15 美元

6. 2017年从其他国家、地区（进口金额前5位）进口该商品的数量、金额

年度	国家、地区	进口数量 (千克)	进口金额 (美元) ①
2017	美国 (United States)	9148.235	7834.26
2017	加拿大 (Canada)	3263.263	2649.14

7. 请上传2017年进口金额前5位的报关单，如本年度报关单不足5份，按实际单数上传 [pdf格式，单文件最大10M] ①

2017年进口金额前5位的报关单

Figure 4-3

2) **2018 Imports.** When entering 2018 imports, please enter separate entries for before tariff and after tariff. If there were no imports in that year, just enter “0.” All the import value must be converted to U.S. dollars, if it was not settled in U.S. dollars, please refer to the currency exchange rates published monthly by the National Foreign Currency Administration Bureau and convert to U.S. dollar. The exchange rate web site: <http://m.safe.gov.cn/safe/gzhbdmyzslb/index.html>

- a. Enter the total volume and value of the product imported in 2018
- b. Enter the volume and value of the product imported from the United States in 2018
- c. Enter the volume and value imported from other countries and regions for the product in 2018 (top 5 in value).

**d. Please upload Customs Bills of Declaration for the top 5 in value in 2018. If the number is less than five, please upload the actual imports declaration forms.**

Detailed instructions shown in Figure 4-4:

The screenshot shows a web interface for a customs declaration system. On the left is a sidebar with navigation options: '对美加征关税商品排除申报系统', '排除商品申报', '企业信息', and '排除结果'. The main area features a progress bar with seven steps: 1. 商品信息, 2. 2017年进口情况, 3. 2018年进口情况, 4. 2019年进口情况, 5. 企业影响, 6. 排除理由, 7. 法律声明. Below the progress bar are three sections for 2018 import data:

- 8. 2018年进口该商品总数量和总金额** (加征关税前: 2018-01-01至2018-08-22; 加征关税后: 2018-08-23至2018-12-31)
 

进口数量 (千克)	72930.452	29840.344
进口金额 (美元)	63490.34	23422.37
- 9. 2018年从美国进口该商品的数量、金额** (加征关税前: 2018-01-01至2018-08-22; 加征关税后: 2018-08-23至2018-12-31)
 

进口数量 (千克)	64230.482	34234.3432
进口金额 (美元)	59832.36	29834.37
- 10. 2018年从其他国家、地区 (进口金额前5位) 进口该商品的数量、金额**

年度	国家、地区	进口数量 (千克)	进口金额 (美元)
加征关税前 (2018-01-01至2018-08-22)	美国 (United States)	934	834.1

**Figure 4-4**

**3) 2019 Imports.** Enter the status of imports for the first quarter of 2019. If there was no imports, just enter “0.” All the import value must be converted to U.S. dollar, if it was not settled in U.S. dollar, please refer to the currency exchange rates published monthly by the National Foreign Currency Administration Bureau and convert to U.S. dollar. The exchange rate web site:

<http://m.safe.gov.cn/safe/gzhbdmyzslb/index.html>

- a. Enter the total volume and value of the product imported in 2019.**
- b. Enter the volume and value of the product imported from the United States in 2019.**
- c. Enter the volume and value imported from other countries and regions for the product in 2019 (top 5 in value).**
- d. Please upload Customs Declaration Bills for the top 5 in value in 2019. If the number is less than five, please upload the actual imports declaration forms.**

Detailed instructions shown in Figure 4-5:

对美加征关税商品排除申报系统

1 商业信息 2 2017年进口情况 3 2018年进口情况 4 2019年进口情况 5 企业影响 6 排除理由 7 法律声明

12. 2019年进口该商品总数量和总金额

2019年第一季度 (2019-01-01至2019-03-31)

进口数量 9834.36 千克 进口金额 8402.33 美元

13. 2019年从美国进口该商品的数量、金额

2019年第一季度 (2019-01-01至2019-03-31)

进口数量 3432.234 千克 进口金额 3421.89 美元

14. 2019年从其他国家、地区（进口金额前5位）进口该商品的数量、金额

年度	国家、地区	进口数量（千克）	进口金额（美元）
2019年第一季度 (2019-01-01至2019-03-31)	美国 (USA)	4932.324	5349
	其他	3452.349	4932

15. 请上传2019年进口金额前5位的报关单，如本年度报关单不足5份，按实际单数上传 [pdf格式，单文件最大10M]

2019年第一季度进口金额前5位的报关单 (2019-01-01至2019-03-31)

Figure 4-5

## (2) Enterprise Impact

The impact of tariffs on the production and operation of enterprises.

- Enter the total value of ad valorem tariffs paid until March 31, 2019.**
- Impacts on operations of enterprises.** Enter the Economic Impact due to additional tariffs. Enter facts, average monthly production value or sales value, number of employees, average monthly salary, monthly profits before tax, monthly production costs (the last three items are optional)
- Influence of the enterprises in the industry.** This is optional. Based on facts, describe the enterprise market shares, ranking in sales and upload supporting documents.
- Representativeness of enterprises in the industry are optional to include.** According to the actual situation, describe the market share and sales ranking of the company in the country or a certain region, and upload the corresponding explanatory materials.

Detailed instructions shown in Figure 4-6:

对美加征关税商品排除申报系统

排除商品申报

企业信息

排除结果

1 商品信息 2 2017年进口情况 3 2018年进口情况 4 2019年进口情况 5 企业影响 6 排除理由 7 法律声明

16. 截至2019-03-31已缴纳的加征关税税额

已缴纳的加征关税税额

330942 元

17. 加征关税对企业生产经营的影响

	加征关税前 (2018-01-01至2018-08-22)		加征关税后 (2018-08-23至今)	
	月均	万元	月均	万元
产值或销售额	93		72	
就业人数	2874	人	2431	人
人均工资 (选填, 根据实际掌握情况填写)	月均 10934	元	月均 9984	元
税前利润 (选填, 根据实际掌握情况填写)	月均	元	月均	元
生产成本 (选填, 根据实际掌握情况填写)	月均	元	月均	元

18. 企业在行业内的代表性  
(选填, 根据实际掌握情况填写)

Figure 4-6

### (3) Exemption Reasons

- 1) Substitution for the product applied for exclusion.** Whether this product can be obtained from other countries or regions outside of the United States. If select “yes,” then list up to 5 typical producers in and regions outside the United States. Whether the product can be obtained from domestic producers. If the answer is “yes,” list up to 5 of these typical domestic producers in China.
- 2) Use facts and data to illustrate justifications for exclusions.** The products cannot be substituted by imports from other countries or region except for U.S., nor be substituted by domestic products in China. Whether the answer “yes” or “no,” please provide reasons accordingly.

Does the added tariff on this product imposes a severe economic loss to the applicant? If the answer “yes” or “no,” please provide reasons. Does the added tariff on this product cause a significant negative structural impact to the relevant industry (including industry development, technology advancement, employment, environment and etc.)? If the answer “yes” or “no,” please provide reasons.

Detailed instructions shown in Figure 4-7:

Figure 4-7

## (7) Legal Statements

Upload the legal statement. Complete filling the forms.

Download the template of the legal statement. After confirming all the content in the statement is correct, print, sign, and put chop on the document and then scan it into PNG (or JPG, JPEF) form.

On the left side of the menu bar, click “Excluded Products Filing,” find the relevant product, and then click “Upload and Submit Legal Statement” on the right. When the system displays a pop-up dialogue box requiring uploads of the document, upload the scanned copy of the legal statement with official business chop (stamp) to complete the filing of excluded products. If the legal statement bears no official business chop (stamp), it will not pass the review. The information cannot be changed after the submission.

Detailed instructions shown in Figure 4-8 and Figure 4-9:



Figure 4-8

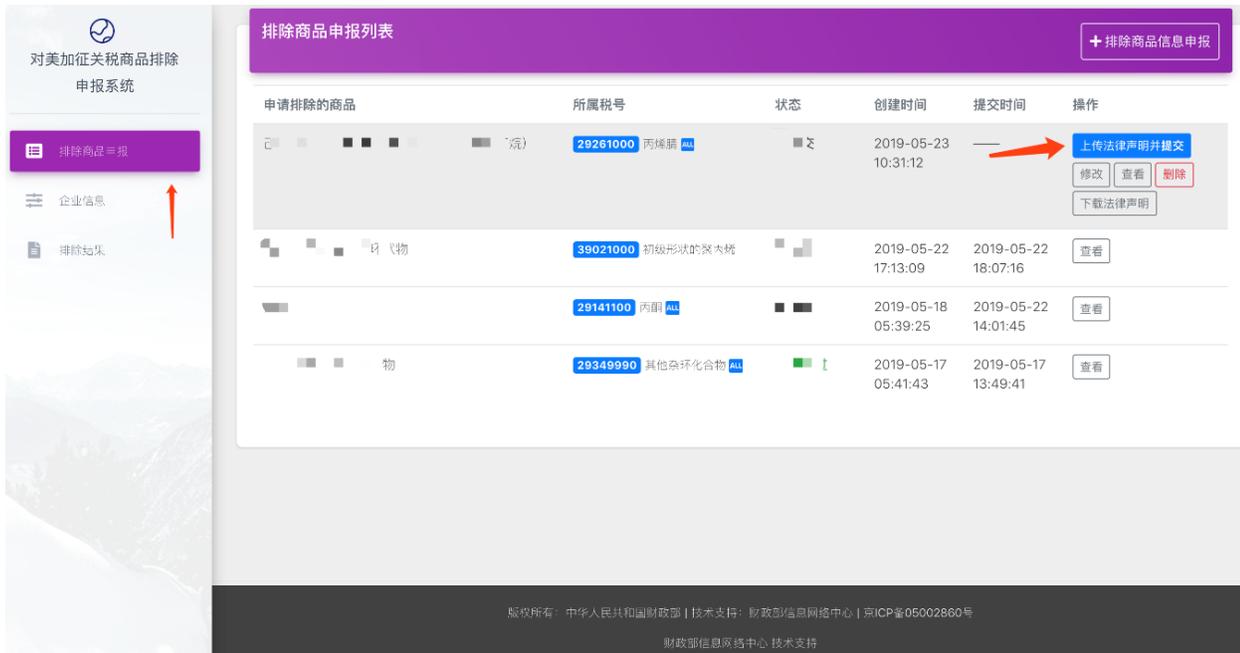


Figure 4-9

Any notice on filling the forms, and guidance on execution, will be updated accordingly without further notice.

Attachment 2 – Operations Guide for Industry Associations

**UNOFFICIAL TRANSLATION**  
**CHINA STATE COUNCIL CUSTOMS TARIFF COMMISSION**  
**PRODUCT EXCLUSION REPORTING SYSTEM FOR U.S. PRODUCTS**  
**OPERATION GUIDE FOR INDUSTRY ASSOCIATIONS**

**1. Application for Registration**

The applicant should first register as a system user. On the registration page, select the subject type as “Industry Association,” fill in the Uniform Social Credit Code and mobile phone number, and click “Get Verification Code.” Check the short message received by mobile phone, and fill in the verification code correctly in the system. Click on “Registration,” and the system will show that the user is successfully registered. If the short message is not received in time due to poor network signal, retry it again. If the application is affected by browser compatibility issues, it is recommended to use IE10 and above or Chrome-based browser (select speed mode).



**Subject Type:** for enterprise users, select “*Industry Association*”

**Uniform Social Credit Code:** 18 national Uniform Social Credit Codes for legal persons and other organizations (Such as: 91110102330293707N)

**Mobile number:** login identity (user ID), also used to receive messages sent by the system

**Verification code:** valid within 5 minutes, user will need to acquire a new code if the old one is expired

Detailed instructions shown in Figure 1-1:



Figure 1-1

## 2. User Login

The user will fill in the registered mobile phone number, click “Acquire Verification Code,” and then check for a verification code sent by text message to the mobile phone number submitted in Part 1. The applicant will fill in the verification code correctly in the system and click “Login” to enter the system. If the short message is not received in time due to poor network signal, try again.

**Verification code:** valid within 5 minutes, user will need to request a new verification code if the old one is expired.

Detailed instructions shown in Figure 2-1:



Figure 2-1

## 3. Basic Industry Association Information

The first time a user logs into the system, the basic information of an Industry Association will need to be filled in before applying for the exemption. The applicant is accountable for the authenticity of the submitted information. Any fake or false statements found through the verification process, the exemption application from the relevant application body will not be considered. The enterprise shall complete the basic information section, and upload a scanned version of the Social Entity Legal Representative Certificate before proceeding with the exemption application. All the information of the industry association must be consistent with the Social Entity Legal Representative Certificate and the Uniform Social Credit Code.

Detailed instructions shown in Figure 3-1:

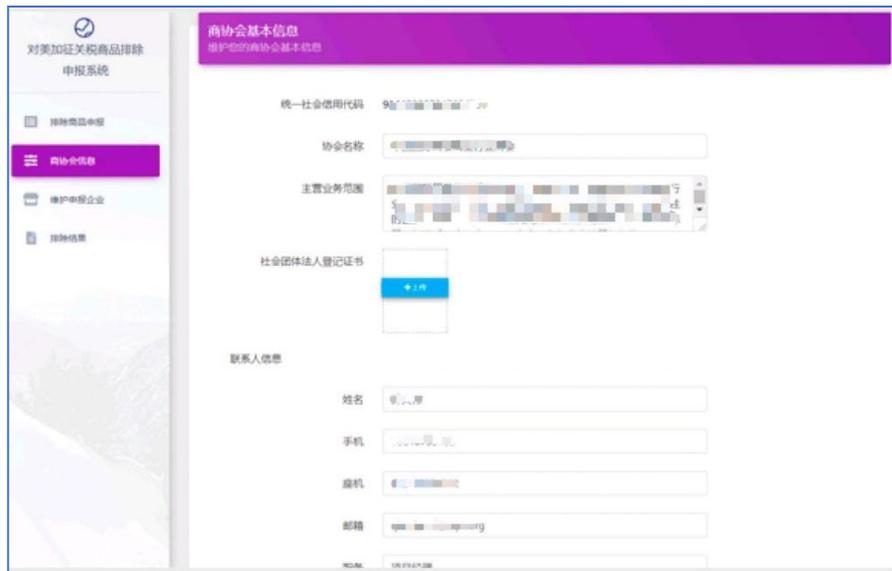


Figure 3-1

#### 4. Validating Enterprises Information

Before submitting an application for exclusion, please validate information provided by enterprises for product exclusion. The applicant should be responsible for the validity of the information submitted, if any false statements are found, the application will be rejected. Click on the right side of the menu for “validating enterprise,” click “add enterprise,” applicant can modify and review information. Modifying information of the enterprise may impact the application process.

Detailed instructions shown in Figures 4-1 and 4-2:



Figure 4-1



Figure 4-2

## 5. Application for Product Exemption

User will left-click on the menu of “Products Exemption Application” right-click top-right corner on “Products Exemption Information Declaration”

The information declaration contains four parts:

- (1) Product Information
- (2) Information about Enterprises
- (3) Exclusion Reasons
- (4) Legal Statements

During the process, left click “save” in the left corner. When logging in next time, click on “Continue/Modify” to continue entering information.

Detailed instructions shown in Figure 5-1:



Figure 5-1

### (1) Product Information

A product with 8-digit tariff code (here after referred to as tariff code) can only apply for once. Industry association need to compile information from different enterprises then submit.

- 1) **Product for Exclusion:** Please describe the product for exclusion. For example: A safe with finger print function. Different enterprises have different descriptions for the same tariff code, industry association should compile and summarize then submit.
- 2) **Tariff Code:** Search based on product name and tariff code. There are options to include all commodities under that same tariff code, or selective commodities under the same tariff code. If the applicant only wants to exclude selective commodities under a tariff code, there are two options: one is to choose one or multiple commodities based on 10-digit codes code to pick one or multiple choices; the other is to clearly describe the shape or characteristics of a product that Customs can visually distinguish.
- 3) **Intended Product Applications.** This refers to the applications of an imported product. An industry association can select multiple choices after compiling all the applications of a product from all enterprises: foreign trade domestic sales, special zone domestic sales, other domestic sales, enterprises own use, processed then resell, borrow, deposit, provided for free, provided for a price etc.

Detailed instructions shown in Figure 5-2:

对美加征关税商品排除申报系统

申请排除 [29031500] 1,2-二氯乙烷 (ISO)  
需要填写内容较多, 请及时保存

1 商品信息 2 企业申报信息 3 排除理由 4 法律声明

商品信息

1. 申请排除的商品

2. 所属税号

商品: 29031500 1,2-二氯乙烷 (ISO)  
进口最惠国税率: 5.5% 计量单位: 千克  
对美加征税率: 25% 起征日期: 2018-08-23

是否排除29031500税号下全部商品

排除本税号下全部商品  否

3. 商品用途

01-外贸自营内销  06-借用  08-免费提供

保存 下一步

温馨提示

1. 所有填报项中, 除了明确标明选项的内容外, 均为必填项;

Figure 5-2

## (2) Information about Enterprises

An industry association can fill out information for multiple enterprises.

### 1) User Information. User can select or add new enterprises

Detailed instructions shown in Figure 5-3:

申请排除 [29031500] 1,2-二氯乙烷 (ISO)  
需要填写内容较多, 请及时保存

1 企业信息 2 2017年进口情况 3 2018年进口情况 4 2019年进口情况 5 企业影响

企业信息 +新建

企业

企业名称

保存 下一步

1. 所有填报项中, 除了明确标明选项的内容外, 均为必填项;  
2. 因填报内容较多, 请及时点击保存, 如一次未填完, 后续可在列表页点击修改继续填报;

Figure 5-3

### 2) 2017 Imports. Describe imports of 2017 and upload Customs Declaration Bills in PDF format. For example, if there was no imports in a certain year, please enter

“0.” Import value must be converted to U.S. dollar, if accounts were not settled in U.S. dollar, please refer to the currency exchange rates published monthly by the National Foreign Currency Administration Bureau and convert to U.S. dollar. The exchange rate web site: <http://m.safe.gov.cn/safe/gzhbdmyzslb/index.html>

- a. Enter the total volume and value of the product imported in 2017.
- b. Enter the volume and value of the product imported from the United States in 2017.
- c. Enter the volume and value imported from other countries and regions for the product in 2017. You could fill in the 5 largest imports from other countries and regions by clicking “+” at the tail of the input box to add a country. If no imports, please select NONE in the list of countries and regions.
- d. Please upload Customs Bills of Declaration for the top 5 in value in 2017. If the number is less than five, please upload the actual imports declaration forms. Please upload declaration time, volume, port, bills in PDF format, click on “save bills of declaration.” The time, volume, port must be consistent with the customs declaration documents, if not, the application will not pass the review. If the customs declaration bills were less than five, upload the actual imports declaration forms.

Detailed instructions shown in Figure 5-4:

申请排除 [29031500] 1,2-二氯乙烷 (ISO)  
需要填写内容较多, 请及时保存

1 企业信息      2 2017年进口情况      3 2018年进口情况      4 2019年进口情况      5 企业影响

4. 2017年进口该商品总数量和总金额

进口数量	81615.12	千克	进口金额	48293.65	美元
------	----------	----	------	----------	----

5. 2017年从美国进口该商品的数量、金额

进口数量	61517.896	千克	进口金额	39254.98	美元
------	-----------	----	------	----------	----

6. 2017年从其他国家、地区 (进口金额前5位) 进口该商品的数量、金额

年度	国家、地区	进口数量 (千克)	进口金额 (美元)
2017	阿富汗 (Afghanistan)	4916	3020.8

Figure 5-4

- 3) **2018 Imports.** When entering 2018 imports, please enter separate entries for before tariff and after tariff. If there were no imports in that year, just enter “0.” All the import value must be converted to U.S. dollars, if it was not settled in U.S. dollars,

please refer to the currency exchange rates published monthly by the National Foreign Currency Administration Bureau and convert to U.S. dollar. The exchange rate web site: <http://m.safe.gov.cn/safe/gzhbdmyzslb/index.html>

- a. Enter the total volume and value of the product imported in 2018.
- b. Enter the volume and value of the product imported from the United States in 2018.
- c. Enter the volume and value imported from other countries and regions for the product in 2018 (top 5 in value).
- d. Please upload Customs Bills of Declaration for the top 5 in value in 2018. If the number is less than five, please upload the actual imports declaration forms.

Detailed instructions shown in Figure 5-5:

申请排除 [29031500] 1,2-二氯乙烷 (ISO)  
需要填写内容较多, 请及时保存

1 企业信息      2 2017年 进口情况      3 2018年 进口情况      4 2019年 进口情况      5 企业影响

8. 2018年进口该商品总数量和总金额  
加征关税前 (2018-01-01 至 2018-08-22)

进口数量	31871.15	千克
进口金额	29285	美元

加征关税后 (2018-08-23 至 2018-12-31)

进口数量	19289	千克
进口金额	11285.39	美元

9. 2018年从美国进口该商品的数量、金额  
加征关税前 (2018-01-01 至 2018-08-22)

进口数量	28564.631	千克
进口金额		

加征关税后 (2018-08-23 至 2018-12-31)

进口数量	19874.36	千克
进口金额		

Figure 5-5

- 4) **2019 Imports.** Enter the status of imports for the first quarter of 2019. If there was no imports, just enter“0.” All the import value must be converted to U.S. dollar, if it was not settled in U.S. dollar, please refer to the currency exchange rates published monthly by the National Foreign Currency Administration Bureau and convert to U.S. dollar. The exchange rate web site: <http://m.safe.gov.cn/safe/gzhbdmyzslb/index.html>
  - a. Enter the total volume and value of the product imported in 2019.
  - b. Enter the volume and value of the product imported from the United States in 2019.
  - c. Enter the volume and value imported from other countries and regions for the product in 2019 (top 5 in value).

- d. Please upload Customs Declaration Bills for the top 5 in value in 2019. If the number is less than five, please upload the actual imports declaration forms.

Detailed instructions shown in Figure 5-6:

12. 2019年进口该商品总数量和总金额

2019年第一季度 (2019-01-01 至 2019-03-31)

进口数量 7982 千克 进口金额 7025 美元

13. 2019年从美国进口该商品的数量、金额

2019年第一季度 (2019-01-01 至 2019-03-31)

进口数量 3894 千克 进口金额 2984 美元

14. 2019年从其他国家、地区 (进口金额前5位) 进口该商品的数量、金额

年度	国家、地区	进口数量 (千克)	进口金额 (美元)
2019年第一季度	无 (N/A)	0	0

Figure 5-6

- 5) **Impacts on Enterprises.** Impacts to the operations of the enterprises due to additional tariffs. After completing, click “complete enter for the enterprise.”
- Enter the total value of ad valorem tariffs paid until March 31, 2019**
  - Impacts on operations of enterprises.** Enter the Economic Impact due to additional tariffs. Enter facts, average monthly production value or sales value, number of employees, average monthly salary, monthly profits before tax, monthly production costs (the last three items are optional).
  - Influence of the enterprises in the industry.** This is optional. Based on facts, describe the enterprise market shares, ranking in sales and upload supporting documents.

Detailed instructions shown in Figure 5-7 and 5-8:

17. 加征关税对企业生产经营的影响

	加征关税前 (2018-01-01 至 2018-07-05)	加征关税后 (2018-07-06 至今)
产值或销售额	月均 9344 万元	月均 7342 万元
就业人数	1986 人	1876 人
人均工资 (选填, 根据实际掌握情况填写)	月均 10834 元	月均 12984 元
税前利润 (选填, 根据实际掌握情况填写)	月均 223841 元	月均 209384 元
生产成本 (选填, 根据实际掌握情况填写)	月均 元	月均 元

18. 企业在行业内的代表性  
(选填, 根据实际掌握情况填写)

根据实际掌握情况, 描述本企业在全国或某区域内市场占有率, 销售排名等情况

保存 上一步 完成该企业信息录入

Figure 5-7

对美加征关税商品排除申报系统

排除商品申报

商协会信息

维护申报企业

排除结果

申请排除 [08043000] 鲜或干菠萝  
需要填写内容较多, 请及时保存

1 商品信息 2 企业申报信息 3 排除理由 4 法律声明

绿色标志代表完成了该企业申报信息

+ 添加企业申报信息 可继续填报其他企业申报信息

保存 上一步 下一步

1. 所有填报项中, 除了明确标明选填的内容外, 均为必填项;  
2. 因填报内容较多, 请及时点击保存。如一次未填写完, 后续可在列表页点击修改继续填报;  
3. 业务咨询电话: 010-82217308/15/20, 技术支持电话: 010-82217953, 服务时间: 工作日9:00-11:30 14:00-17:00;

Figure 5-8

### (3) Exclusion Reasons

An industry association can only apply for an exclusion of an 8-digit tariff code once. The industry association must compile, summarize, and then enter relevant information from enterprises.

- 1) **Substitution for the product applied for exclusion.** Whether this product can be obtained from other countries or regions outside of the United States. If select “yes,” then list up to 5 typical producers in and regions outside the United States. Whether the product can be obtained from domestic producers. If the answer is “yes,” list up to 5 of these typical domestic producers in China.

- 2) **Use facts and data to illustrate justifications for exclusions.** This product cannot be obtained from countries or regions outside of the United States, or cannot be substituted by domestic production. . If “yes” or “no” answer, please provide a justification. Industry association should enter all the justifications provided by all enterprises, if 10-digit codes are involved, should list the justifications specifically for these 10-digit codes.

On the question on whether significant economic impacts on the applicant for the taxable product, and whether the impacts are industrial-wide. If “yes” or “no” answer, please provide a justification. Industry Association should enter all the justifications provided by all enterprises, if 10-digit codes are involved, should list the justifications specifically for these 10-digit codes.

On the question about significant negative structural impacts causing by additional tariffs on this product (including development of the industry, advancement of the technology, employment, environmental protection etc.) or significant social impacts. If “yes” or “no” answer, please provide a justification. Industry Associations should enter all the justifications provided by all enterprises, if 10-digit codes are involved, should list the justifications specifically for these 10-digit codes.

Detailed instructions shown in Figure 5-9:



Figure 5-9

#### (4) Legal Statements and Submitting a Completed Application

Download the template for a legal disclaimer. Complete all relevant information in the form. After confirming all of the content in the statement is correct, print, sign, stamp and scan to PNG (or JPG, JPEG) file format. On the left side of the menu bar, click “Excluded Products Filing,” then find the relevant product, and right click “Upload and Submit Legal Statement” on the right. When the system displays a pop-up dialogue box requiring an upload of the document, scanned copy of the legal statement with official business chop (stamp) to complete the filing of excluded products. If the legal statement bears no official business chop (stamp), it will not pass the review. The information cannot be changed after the submission.

Detailed instructions shown in Figure 5-10 and Figure 5-11:



Figure 5-10

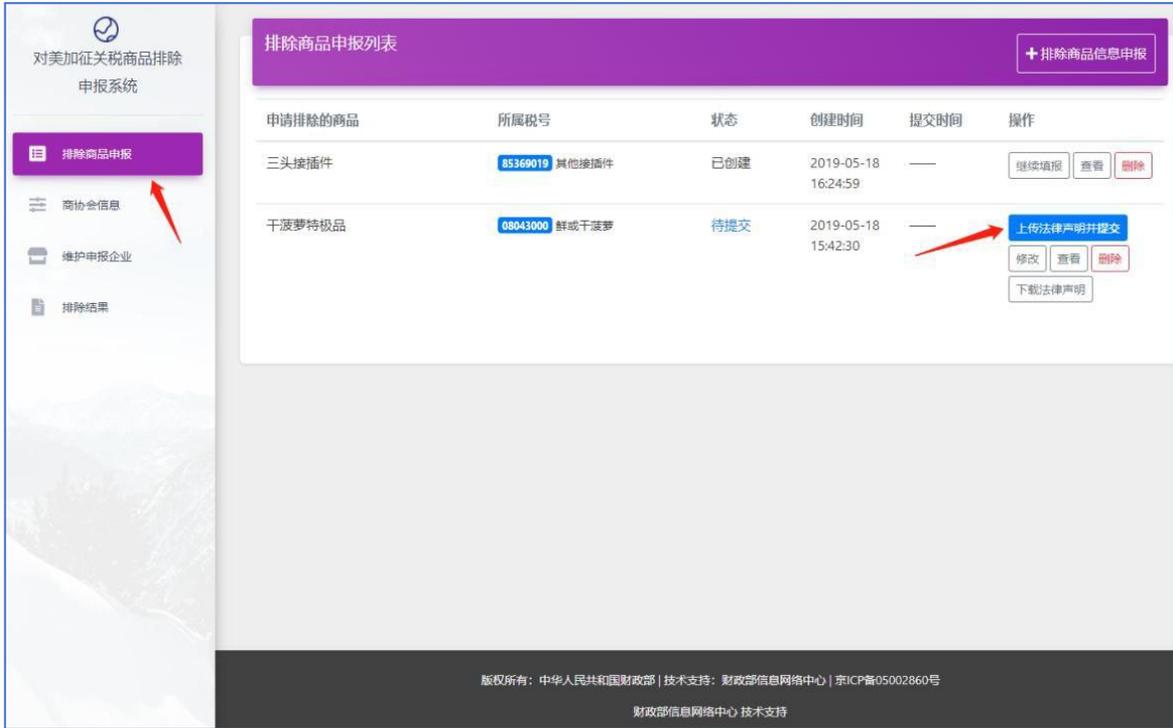


Figure 5-11

Any notice on filling the forms, and guidance on execution, will be updated accordingly without further notice.