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Report Highlights:

Bt cotton is the only commercially approved biotech crop in India, with six events and more than 1100 Bt hybrids approved for commercial cultivation. Since 2010, when the Ministry of Environment and Forest announced a moratorium on approval of Bt eggplant, India's biotech regulatory system has been on a regressive pathway. Animal biotechnology research is in its infancy, except for some success in animal cloning, and there are no GE animals in commercial production.

Section I. Executive Summary:

Agricultural trade between the United States and India reached about \$6.98 billion in calendar year (CY) 2012, but the agricultural trade balance is skewed over 6 to 1 in India's favor. Soybean oil derived from glyphosate-tolerant soybeans is the only biotech food/agricultural product currently approved for import. In CY 2010, U.S. soybean oil exports to India reached a record \$132 million, and were estimated at \$96 million in CY 2012. Bt cotton is the only genetically engineered (GE) crop currently approved for commercial cultivation in India. Since 2002, the GOI has approved six Bt cotton events and more than 1100 Bt cotton hybrids and varieties for commercial cultivation. India does not commercially produce GE animals, including cloned animals or products derived from GE animals for commercial production.

The 1986 Environmental Protection Act (EPA) lays the foundation for India's biotechnology regulatory framework (see Annex 1) for both GE plants and animals and, their products. Under current Indian regulations, all biotech food/agricultural products or products derived from biotech plants/organisms must receive formal approval from the Genetic Engineering Appraisal Committee (GEAC) prior to commercialization or imports (the GEAC is India's apex biotech regulatory body). Annex 2 of the EPA outlines the procedures for importing biotech products, including products used for research. On April 22, 2013, the DBT submitted the "Biotechnology Regulatory Authority of India Bill 2012" (BRAI) to the Parliament of India, which has been subsequently referred to the Parliamentary Standing Committee on Science, Technology, Environment and Forests for review and consultations with stakeholders. The BRAI bill proposes setting up an independent and autonomous national biotech regulatory authority for biosafety clearance of genetically engineered products and processes.

Since 2010, India's biotech regulatory system has been on a regressive pathway. On February 9, 2010, the Ministry of Environment and Forest (MOEF) announced a moratorium on the approval of Bt eggplant. On July 6, 2011, the GEAC introduced new procedures for authorizing biotech crop field trials, requiring applicants (technology developers) to obtain a "no objection certificate (NOC)" from the relevant state government. This decision has hampered ongoing field trials as only a few states have issued NOCs. Since April 11, 2012, the GEAC has taken no decisions on GE crops in the regulatory pipeline, including approval of GE crops for field trials or commercial cultivation. On October 7, 2012, the Supreme Court (SC) of India appointed Technical Expert Committee (TEC) to review and recommend biosafety risk assessment studies for genetically modified (GM) crops submitted an interim report recommending a ban on ongoing GE crop field trials until existing biosafety regulatory system is improved. The report was strongly contested by the government and industry associations, and the SC asked the TEC to take into consideration the objections into their final recommendations. The TEC's final report is still awaited.

Section VII. Author Defined:

CHAPTER 1: PLANT BIOTECHNOLOGY

PRODUCTION AND TRADE

a. Product Development

Several Indian seed companies and public sector research institutions are working on the development of various genetically engineered (GE) crops, mainly for pest resistance, herbicide tolerance, nutritional enhancement, drought tolerance and yield enhancement

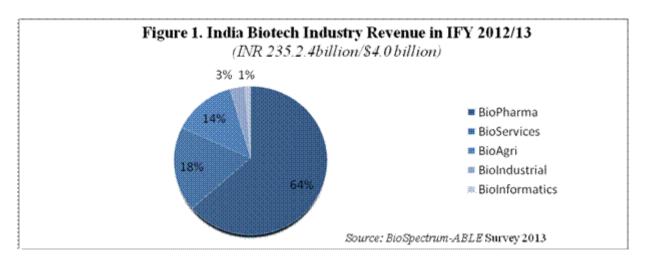
(http://igmoris.nic.in/status gmo products.asp). The crops being developed by public sector institutions include banana, cabbage, cassava, cauliflower, chickpea, cotton, eggplant, rapeseed/mustard, papaya, pigeon pea, potato, rice, tomato, watermelon and wheat. The private seed companies are focusing on cabbage, cauliflower, corn, rapeseed/mustard, okra, pigeon pea, rice and tomato, and next generation technologies (stacked events) for cotton. Due to the non-functioning of the GEAC and problems in getting permission from the state governments, field trials in 2012 were conducted only for cotton, corn, and rice against nine crops in 2011.

Current regulatory policy environment continues to hamper approval of several new crop events which are at advanced stage of regulatory approval. On October 14, 2009, the GEAC recommended the approval of commercial cultivation of Bt *brinjal* (eggplant), which was forwarded to the Ministry of Environment and Forest (MOEF) for a final decision. After a series of public consultations, on February 9, 2010, the MOEF announced a moratorium on the approval until the government regulatory system could ensure human and environmental safety through long term studies. More than three years later, the GEAC has not yet taken any decision on the next steps or studies that need to be undertaken for the approval of Bt eggplant. The ongoing field trials of several other new crop events, which are at an advanced stage of regulatory approval process, have also been adversely affected by the government's seeking additional permission from state governments for the field trials and the recent lag in the functioning of the GEAC.

b. Commercial Production

Bt cotton is the only GE crop approved for commercial cultivation in India. Bt cotton area has grown to over 93 percent of total cotton area in just over a decade, accounting for more than 96 percent of India's cotton production in 2012. As a result, India has emerged as the second largest producer and exporter of cotton in the world. To date, the <u>Government of India (GOI) has approved</u> six cotton events and more than 1100 hybrids for cultivation in different agro-climatic zones. Most of the approved Bt cotton hybrids are produced from two Monsanto events (Mon 531 and Mon 15985). The commercial cultivation of Bt cotton events is approved for seed, fiber, and feed production/consumption.

Riding on the success of Bt cotton, agricultural biotechnology has emerged as the third largest component in India's domestic biotech industry with revenue of INR 43.3 billion (\$734 million) in Indian fiscal year (IFY) 2012/13 (April/March), accounting for more than 18 percent of the total revenue. With Bt cotton being the only GE product approved and area under Bt cotton nearly at its maximum, growth of agriculture biotechnology has slowed to 5 percent in 2012/13 (15 percent in 2011/12), and is likely to slow further for the foreseeable future.



c. Exports

India is the one of the leading exporters of cotton (Bt) and occasionally exports small quantities of cotton seed and meal from Bt cotton. Market sources report that export documentation for cotton as a fiber product (cellulose) does not require GE declaration as it has no protein content. India does not export a significant quantity of cotton or cottonseed meal to the United States.

d. Imports

The only GE food product currently authorized for import into India is soybean oil derived from glyphosate-tolerant soybeans. India imports significant quantity of soybean oil from several countries, including Brazil, Argentina and the United States.

e. Food Aid

India is not a food aid recipient from the United States and is not likely to be in the near future.

POLICY

a. Regulatory Framework

The regulatory framework for GE crops, animals and products in India is governed by the Environmental Protection Act (EPA) of 1986 and the "Rules for the Manufacture, Use/Import/Export and Storage of Hazardous Microorganisms/Genetically Engineered Organisms or Cells, 1989." These rules govern research, development, large-scale use, and import of GE organisms and their products. The rules identify six competent authorities (see Annex 1).

Table 1. India: Role of Various Ministries/State Governments

| Authority | Role/Responsibility |
|-----------------------------|--|
| Ministry of Environment and | Houses the Genetic Engineering Appraisal Committee (GEAC), |
| Forest (MOEF), GOI. | the nodal agency responsible for the implementation of Biotech |
| | Rules of 1989 under the EPA Act. |

| Department of Biotechnology (DBT), Ministry of Science and Technology (MOST), GOI. | Provides guidelines and technical support to the GEAC. Evaluates and approves biosafety assessment of GE product research and development in the country. |
|---|--|
| Ministry of Agriculture (MOA) | Evaluates and approves the commercial release of transgenic crop varieties after conduction field trials for assessing agronomic performance. |
| Food Safety and Standard Authority of India (FSSAI), Ministry of Health and Family Welfare, GOI. | Evaluates and approves the safety assessment of GE crops and products for human consumption. |
| Various state governments. | Monitors the safety measures at biotech research facilities, and assess damage, if any, due to the release of GE products. Approve field trials and commercial cultivation of GE crops finally approved by the GEAC in their respective states. |
| DBT, MOA, and various state governments. | Supports, research and development of agriculture biotechnology through various research institutions and state agriculture universities. |

In 1990, the Department of Biotechnology (DBT) in the Ministry of Science and Technology developed Recombinant DNA Guidelines, which were subsequently amended in 1994. In 1998, the DBT issued separate guidelines for biotech (GE) plant research, including the import and shipment of GE plants for research use. In 2008, the GEAC adopted "Guidelines and Standard Operating Procedures for the Conduct of Confined Field Trials." The GEAC also adopted new "Guidelines for Safety Assessment of Foods derived from Genetically Engineered Plants". All guidelines and protocols, including the EPA Act of 1986 and the 1989 Rules, are available online at http://dbtbiosafety.nic.in/.

Since the MOEF decision on imposing a moratorium on Bt eggplant in 2010, India's biotech regulatory system has been on a regressive phase.

GEAC Functioning Put on Hold

Since April 11, 2012, the GEAC under the MOEF has taken no decisions on GE crops in the regulatory pipeline, including approval of GE crops for field trials or commercial cultivation. The <u>last GEAC</u> tenure ended on June 9, 2012. The MOEF took more than 9 months to announce constitution of the <u>new GEAC on March 11, 2013</u>. The newly constituted GEAC met on March 22, 2013, but the decisions taken in that meeting have not been approved by the MOEF to date. The decisions taken by the GEAC were posted on its website on June 18, 2013, but were withdrawn two days later on instructions from the MOEF. Industry sources are concerned about the delay in functioning of the GEAC, which is holding up all ongoing GE crop field trials and GE event approvals, some of which have already gone through the requisite regulatory testing procedures.

SC Appointed Technical Committee To Review Biosafety Assessment

On May 10, 2012, the <u>Supreme Court (SC) of India appointed a six-member Technical Expert</u> <u>Committee</u> (TEC) to review and recommend risk assessment studies (for health and environmental safety) for all bioengineered crops before they can be released for open field trials. The SC action is in

response to a petition filed in 2005 which alleged that field trials of GM crops were being allowed without proper scientific evaluation of bio-safety concerns. [For more information on the 2005 SC case, please refer to GAIN report <u>IN8077</u>, page 7].

The TEC submitted an interim report on October 7, 2012, to the SC, wherein the committee recommended a ban on ongoing GE crop field trials until lacunae in the existing biosafety regulatory system are addressed. On November 9, 2012, the TEC report was discussed in the SC hearing wherein the government and various industry associations strongly opposed the TEC recommendation. Consequently, the SC asked the TEC to take into consideration the objections into their final recommendations, and also nominated a senior agriculture scientist as a member of the TEC. The TEC has held a series of discussions, but so far has not submitted their final report.

FSSAI Not Ready for Regulating GE Food

On August 24, 2006, the GOI enacted an integrated food law, namely the "Food Safety and Standards Act of 2006", which has specific provisions for regulating GE food products, including processed foods. Under the Act, the Food Safety and Standards Authority of India (FSSAI) has been entrusted as the single authority responsible for establishing and implementing science-based standards for food, and for aligning them with international standards.

On August 23, 2007, the MOEF issued a notification stating that processed food products derived from genetically engineered products (where the end-product is not an LMO - a living modified organism) do not require approval from GEAC for production, marketing, import and use in India. As processed food products are not replicated in the environment, they are not considered to be an environmental safety concern under the 1989 EPA. However, imports of LMOs continue to be under the purview of GEAC and the 1986 EPA.

As FSSAI does not have specific regulations for GE food products, the Ministry of Health and Family Welfare (MHFW) has requested that the GEAC continue to regulate processed food products (containing GE ingredients) under the 1989 Rules. Thus, the MOEF notification on processed food products has been deferred and the GEAC continues to regulate imports of processed GE food products. On May 21, 2010, the FSSAI circulated a "Draft on Operationalizing the Regulation of Genetically Modified Foods in India." Stakeholders have been invited to comment. (See GAIN report IN1044). However until new regulations are in place, the 1986 EPA remains the cornerstone of India's biotech regulatory system.

Proposed Biotechnology Regulatory Authority Bill Still Pending

On November 13, 2007, the Ministry of Science and Technology unveiled a "National Biotechnology Strategy" to strengthen the regulatory framework, instituting a National Biotechnology Regulatory Authority of India (NBRAI) that would provide a single window mechanism for biosafety clearance. In 2008, the DBT issued a draft "National Biotechnology Regulatory Bill," together with a draft "Establishment Plan for Setting up the National Biotechnology Regulatory Authority." Following interministerial consultations with different stakeholders, the DBT subsequently drafted a revised "Biotechnology Regulatory Authority of India Bill 2012" (BRAI), which was submitted to the

Parliament of India for approval on April 22, 2013. Subsequently, the bill was referred to the Parliamentary Standing Committee on Science, Technology, Environment and Forests. On June 11, 2013, the standing committee sent a notice seeking comments on the proposed bill from the stakeholders. Pending parliamentary approval of the BRAI, India's regulatory mechanisms continue to be governed by the EPA 1986 and the Rules of 1989.

b. Approvals

Bt cotton is the only GE crop approved for cultivation in India.

Table 2. India: Bt cotton events approved

| Gene/Event | Developer | Usage |
|-----------------------------------|--|-----------------|
| Cry1Ac (Mon 531) [1] | Mahyco Monsanto Biotech Limited | Fiber/Seed/Feed |
| Cry1Ac & Cry2Ab (Mon 15985) [2] | Mahyco Monsanto Biotech Limited | Fiber/Seed/Feed |
| Cry1Ac (Event 1) [3] | JK Agrigenetics | Fiber/Seed/Feed |
| Cry1Ab and Cry1Ac (GFM Event) [4] | Nath Seeds | Fiber/Seed/Feed |
| Crylac (BNLA1) | Central Institute of Cotton Research | Fiber/Seed/Feed |
| Cry1C (Event MLS 9124) | Metahelix Life Sciences Private Limited | Fiber/Seed/Feed |

Source: IGMORIS, GOI

c. Field Testing

The GEAC is responsible for approving all field trials on the recommendation of RCGM. In 2008, the GEAC adopted an "event based" approval system, reviewing the efficacy of the event/trait, and focusing on biosafety, particularly on environmental and health safety. Before any GE event can be approved for commercial use, it must undergo extensive agronomic evaluation through field trials under the supervision of an Indian Council of Agricultural Research (ICAR) institution or a state agriculture university (SAU) for at least two crop seasons. Product developers can also conduct agronomic trials in conjunction with the biosafety trials, or do so separately after the GEAC recommends environmental clearance and the GOI gives final authorization.

In early 2011, some state governments objected to authorization of GE crop field trials without state permission. On July 6, 2011, the GEAC amended the procedures for field trial authorization, which now require the applicant (the technology developer) to obtain a "no objection certificate (NOC)" from the relevant state government. Applications that had previously received approval from the GEAC now also require an NOC from the state government before commencing the field trials. Industry sources report that only four states (Punjab, Haryana, Gujarat and Andhra Pradesh) have issued NOCs for GE field trials, which has adversely affected the ongoing GE crop field trials.

The GEAC had permitted <u>field trials for about 10 events in cotton, corn and rice</u> for the Indian crop year 2012/13 (July/June). No new GE crop event trials have been since April 2012, and are unlikely to be

^[1] Gene sourced from Monsanto.

^[2] Stacked gene event sourced from Monsanto.

^[3] Gene sourced from Indian Institute of Tech.. Kharagpur.

^[4] Gene sourced from China featuring fused genes.

approved in time for planting in Indian crop year 2013/14 (July/June). However, some of prior approved events for field trial were given multi-year permission and may be planted in 2013/14 season.

d. Additional Requirement

Once an event is approved for commercial use, the applicant can register and market seeds in various states according to the provisions of the 2002 National Seed Policy and other relevant seed regulations specific to each state. Following the commercial release of a GE crop, the Ministry of Agriculture, together with the various state departments of agriculture, monitors field performance for 3-5 years.

e. Stacked Events

For approval purposes, a stacked event, even if consisting of already approved events, is essentially treated as a new event.

f. Coexistence

The GOI has no specific regulations on coexistence of GE and non-GE crops. On January 10, 2007, the GEAC decided against allowing multi-location GE crop field trials in basmati rice growing areas, particularly in the states of Punjab, Haryana and Uttaranchal.

g. Labeling

In March 2006, the Ministry of Health and Family Welfare issued a draft amendment to the 1955 Prevention of Food Adulteration (PFA) Rules, extending a labeling requirement to "Genetically Modified foods" (For more information on the proposed regulation, refer to GAIN reports IN6024 and IN6060). The FSSAI has been consulting with various stakeholders on the draft amendment to consider labeling options under the new Food Safety and Standard Act 2006, but no decision has been taken on labeling of GE food products to date.

On June 5, 2012, the Department of Consumer Affairs (DCA), Ministry of Consumer Affairs, Food and Public Distribution, issued notification G.S.R. 427 (E) amending the <u>Legal Metrology (Packaged Commodities) Rules, 2011</u>, effective January 1, 2013, which stipulates "every package containing genetically modified food shall bear at the top of its principal display panel the word "GM." The DCA stated that the "GM" labeling requirement is for consumers' right to know. Industry sources report that there has been no enforcement of the labeling requirement by DCA. As the FSSAI is still in the process of establishing labeling regulations for GM foods, the future status of the DCA GM labeling regulation remains uncertain (see GAIN report <u>IN2078</u>).

h. Trade Barrier

On July 8, 2006, the Ministry of Commerce and Industries issued a <u>notification</u> specifying that all imports containing GE products must have prior approval from the GEAC. This directive requires a GE declaration at the time of import. In 2006, the MOEF published the <u>Procedure for GEAC Clearance for Imports of GM Products</u>. The specific procedure for filing an import application for a GE product is found in Annex 2 of this report.

Industry sources report that the procedure for GEAC clearance for import of GE products is very cumbersome and effectively prohibit imports. Nevertheless, on June 22, 2007, the GEAC granted permanent approval for importation of soybean oil derived from glyphosate-tolerant soybeans for consumption after refining. No other GE food products, bulk grains, semi-processed or processed foods are currently authorized for import.

The import of GE seeds and planting material is also regulated by the 2003 "Plant Quarantine Order (PQO Regulation of Import into India)," which came into force in January 2004. The PQO regulates the import of germplasm/bioengineered organisms/transgenic plant material for research purposes. NBPGR is the authorizing authority for issuing import permits. The complete text of this order is available at http://agricoop.nic.in/gazette/gazette/2003.htm.

i. Intellectual Property Rights

In 2001, India enacted the Protection of Plant Varieties and Farmers' Rights Act to protect new plant varieties, including transgenic plants. The Protection of Plant Varieties and Farmers' Right Authority (PPVFRA) was established in 2005, and to date has <u>notified 57 crops species</u> for registration, including Bt cotton hybrids.

j. Cartagena Protocol Ratification

On January 17, 2003, India ratified the Cartagena Protocol on Biosafety, and has since established rules for implementing the provisions of the articles (see Annex 3). A Biosafety Clearing-House (BCH) has been set up within the MOEF to facilitate the exchange of scientific, technical, environmental and legal information on living modified organisms (LMOs). The GEAC has the responsibility of approving trade of GE products, including seed and food products. India has traditionally advocated strict liability and redress to the trans-boundary movement of LMOs, a position that could complicate the movement of Bt cotton seed to neighboring countries.

k. International Treaties/Fora

In Codex Alimentarius discussions, India has supported mandatory labeling of GM foods, requiring a clear declaration whenever food and food ingredients contain genetically modified organisms.

1. Related Issues

Not applicable.

m. Monitoring and Testing

The Ministry of Agriculture does monitor the approved GE crop events for three years for agronomic performance and environmental implications. However, there is no regular monitoring program for GE food products. However, in case of reports of an unapproved GE food product in the market, the food

safety in the state governments can draw samples for testing at various government and private food testing labs with facilities for identifying events.

n. Low Level Presence

India has a zero tolerance policy for unapproved GE food and crop events.

CHAPTER 2: ANIMAL BIOTECHNOLOGY

PRODUCTION AND TRADE

a. Biotechnology Product Development

In India research on animal biotechnology is in its infancy, except for some success in animal cloning.

On February 6, 2009, scientists of the National Dairy Research Institute delivered the first cloned buffalo heifer calf through the advanced hand guided cloning technique, but the calf died shortly after birth. Subsequently, two cloned heifer calves were born on June 6, 2009, and August 22, 2010, and a bull calf was born on August 26, 2010. While the second cloned heifer died two years later, the third heifer and the cloned bull calf are alive (see below). On January 25, 2013, the cloned heifer calved after being bred by a progeny tested bull. On March 9, 2012, scientists from the Sher-e-Kashmir University of Agricultural Sciences and Technology at Srinagar claimed to have delivered a cloned *Pashmina* goat by the same cloning technique. Scientists from NDRI reported that the cloning research is still experimental and it may take another 3-5 years before they can standardize the technique for commercial production.

Cloned Buffalo Cow

Cloned Buffalo Bull





Most animal biotechnology research is focused on the genomics of important livestock, poultry and marine species for identifying genes for heat/cold tolerance, disease resistance and economically important production factors. The bovine genomics program focuses on characterizing and identifying genes for heat tolerance, disease resistance, and economic factors like duration between calving, length of lactation, and milk yield. The genomics studies can be subsequently used in breeding programs for incorporating important traits through traditional breeding or future genetic engineering.

Most animal biotechnology research is conducted by public sector research organizations like ICAR institutions, Council of Scientific and Industrial Research (CSIR) institutions, state agricultural universities and other research organizations supported by the DBT.

b. Commercial Production

As yet India does not commercially produce GE animals, including cloned animals or products derived from GE animals for commercial production.

c. Biotechnology Exports

Not applicable.

d. Biotechnology Imports

Currently India does not allow imports of any GE animals or products derived from GE animals.

POLICY

a. Regulation

The EPA 1986 also governs the research, development, commercial use and imports of GE animal and animal products. Research on cloning of animals and genomic research on animals does not come under the purview of EPA. Currently there is no regulation of commercial production or marketing of cloned animals.

b. Labeling and Traceability

India does not regulate labeling or traceability of GE animals and products, including cloned animals.

c. Trade Barriers

The trade barriers applicable to plant products are also applicable for animal GE products.

d. Intellectual Property Rights

There are no specific regulations on IPR for GE animals.

e. International Treaties/Fora

Post is not aware if India has taken any position on GE animals in international fora.

ANNEXURES

Annex 1: Existing Biotech Regulatory Authorities - Function/Composition

| Committee | Members | Functions |
|---------------------|--|-------------------------------|
| Genetic Engineering | Chairman-Additional Secretary, Ministry of | Review and recommend the |
| Appraisal | Environment and Forests (MOEF) | use of bio-engineered |
| Committee | Co-Chairman - Nominee of Department of | products for commercial |
| (GEAC); functions | Biotechnology (DBT) | applications. |
| under Ministry of | Members: Representatives of concerned | Approve activities involving |
| Environment and | agencies and departments namely Ministry | large-scale use of bio- |
| Forests (MOEF). | of Industrial Development, DBT, and the | engineered organisms and |
| | Department of Atomic Energy | recombinants in research and |
| | Expert members: Director General-ICAR, | industrial production from an |
| | Director General-ICMR; Director General- | environmental safety angle. |
| | CSIR; Director General of Health Services; | Consult RCGM on technical |
| | Plant Protection Adviser; Directorate of | matters relating to clearance |
| | Plant Protection; Quarantine and storage; | of bio-engineered |
| | Chairman, Central Pollution Control Board; | crops/products. |
| | and few outside experts in individual | Approve imports of bio- |
| | capacity. | engineered food/feed or |
| | Member Secretary: An official from the | processed product derived |
| | MOEF | thereof. |
| | | Take punitive actions on |

| | | those found violating GE rules under EPA, 1986. |
|--|--|---|
| Review Committee on Genetic Manipulation (RCGM); function under Department of Biotechnology (DBT). | Representatives from: DBT, Indian Council of Medical Research (ICMR), Indian Council of Agricultural Research (ICAR), Council of Scientific and Industrial Research (CSIR) Other experts in their individual capacity. | Develop guidelines for the regulatory process for research and use of bioengineered products from a bio-safety angle. Monitor and review all ongoing GE research projects up to the multi location restricted field trial stage. Undertake visits to trial sites to ensure adequate security measures. Issue clearance for the import of raw materials needed in GE research projects. Scrutinize applications made to the GEAC for the import of bioengineered products. Form Monitoring and Evaluation Committee for biotech crop research projects. Appoint sub-groups when required in topics of interest to the committee. |
| Recombinant DNA Advisory Committee (RDAC); function under DBT | Scientists from DBT and other public sector research institutions | Take note of developments in biotechnology at the national and international level. Prepare suitable guidelines for safety in research and applications of GMOs. Prepare other guidelines as may be required by the GEAC. |
| Monitoring Cum Evaluation Committee (MEC) | Experts from ICAR institutes, State Agricultural Universities (SAUs) and other agricultural/crop research institutions and representatives from DBT. | Monitor and evaluates trial sites, analyze data, inspect facilities and recommend safe and agronomically viable transgenic crops/plants for approval to RCGM/GEAC |

| Institutional Biosafety Committee (IBC); functions at research institution/ Organization level. | Head of the Institution, Scientists engaged in biotech work, Medical Expert, and Nominee of the Department of Biotechnology | Develop a manual of guidelines for the regulatory process on bio-engineered organisms in research, use and application to ensure environmental safety. Authorize and monitor all ongoing biotech projects to the controlled multi location field stage. Authorize imports of bio-engineered organisms/transgenic for research purposes. Coordinate with district and state level biotechnology committees. |
|--|---|---|
| State Biotechnology Coordination Committee (SBCC); functions under the state government where biotech research occurs. | Chief Secretary, State Government; Secretaries, Departments of Environment, Health, Agriculture, Commerce, Forests, Public Works, Public Health; Chairman, State Pollution Control Board; State microbiologists and pathologists; Other experts. | Periodically reviews the safety and control measures of institutions handling bioengineered products. Inspect and take punitive action through the State Pollution Control Boards or the Directorate of Health in case of violations. Nodal agency at the state level to assess damage, if any, due to release of bioengineered organisms and take on-site control measures. |
| District-Level Committee (DLC); functions under the district administration where biotech research occurs. | District Collector; Factory Inspector; Pollution Control Board Representative; Chief Medical Officer; District Agricultural Officer, Public Health Department Representative; District Microbiologists/Pathologists; Municipal Corporation Commissioner; other experts. | Monitor safety regulations in research and production installations. Investigate compliance with rDNA guidelines and report violations to SBCC or GEAC. Nodal agency at district level to assess damage, if any, due to release of bio-engineered organisms and take on-site control measures. |

Source: Department of Biotechnology (DBT) and Ministry of Environment and Forest (MOEF), GOI.

Annex 2: Procedure and Application Formats for Import of Biotech Products

| Item | Approval According Agency | Governing Rules | Form No. | Links for Downloading |
|---|---------------------------------|---|-----------------|--------------------------|
| GMOs / LMOs for R&D | IBSC/RCGM/ NBPGR | Rules 1989; Biosafety guidelines of 1990 and 1998; Plant Quarantine (Regulation of Imports into India) - Order, 2004 issued by NBPGR; and Guidelines for the import of germplasm, 2004 by NBPGR | I | GEAC Form I |
| GMOs / LMOs for intentional release (including field trials) | IBSC/RCGM/ GEAC /ICAR | Rules 1989; Biosafety guidelines of 1990 & 1998 | II B | GEAC Form II B |
| GM food /feed as LMOs per se | GEAC | Provide biosafety & food safety studies, Compliance with the Rules 1989 and Biosafety guidelines of 1990 & 1998 | III | GEAC Form III |
| GM processed food derived from LMOs | GEAC | One time "event based" approval given based on importer providing the following information: i) List of genes/events approved in the crop species for commercial production in the country of export/country of origin; ii) Approval of the product for consumption in countries other than producing countries; iii) Food safety study conducted in the country of origin; iv) Analytical/compositional report from the country of export/origin; v) Details on further processing envisaged after import; vi) Details on commercial production, marketing and use for feed/food in the country of export/origin; vii) Details on the approval of genes / events from which the product is derived | IV | GEAC Form IV |
| Processed food | GEAC | If the processed food contains any ingredient derived from category 2 | IV, if required | GEAC Form IV B |

| containing | and 3 mentioned above, and if the | |
|--------------|---------------------------------------|--|
| ingredients | LMO / product thereof has been | |
| derived from | approved by the GEAC, no further | |
| GMO | approval is required except for | |
| | declaration at the port of entry. In | |
| | case it does not have the approval of | |
| | GEAC, the procedure mentioned in | |
| | category 3 above to be complied. | |

Source: MOEF Website http://www.envfor.nic.in/divisions/csurv/geac/gmo_lmo.htm

Annex 3: India's Compliance with Various Articles of the Cartagena Protocol

| Article | Provisions | Present Status |
|--------------------------|--|--|
| Article 7 | Application of the Advanced Informed Agreement procedure prior to the first transboundary movement of LMOs intended for direct use as food or feed, or for processing. | Competent authority (GEAC) notified. Border control through NBPGR only for contained use. Projects initiated to strengthen DBT and MOEF's capabilities to identify LMOs. |
| Article 8 | Notification – The Party of export shall notify, or require the exporters to ensure notification to, in writing, the competent authority of the Party of import prior to the intentional transboundary movement of LMOs that falls within the scope of Article 7 | Rules 1989 and competent authorities in place. |
| Article 9 | Acknowledgement of receipt of notification-The Party of import shall acknowledge receipt of the notification, in writing to the notifier | Point of contact notified, the regulatory body (GEAC) in place |
| Article 10 | Decision Procedure-Decision taken by the Party of import shall be in accordance with Article 15 | Regulatory body (GEAC) in place |
| Article 11 | Procedure for LMOs intended for direct use as food or feed, or for processing | 1989 Rules ^[1] , DGFT Notification No. 2(RE-2006) / 2004-2009 ^[2] |
| Article 13 | Simplified Procedure to ensure the safe intentional transboundary movement of LMOs | 1989 rules |
| Article 14 | Bilateral, regional and multilateral agreements and arrangements | |
| Article 15 Article | Risk assessment Risk Management | DBT Biosafety Guidelines for research in plants, guidelines for confined field trials guidelines for safety assessment of foods derived from GE plants. DBT Guidelines for research |

| 16 | | |
|---------------|---|---|
| Article 17 | Unintentional transboundary movements and emergency measures | 1989 rules |
| Article 18 | Handling, transport, packaging and identification | 1989 Rules, guidelines to be developed |
| Article 19 | Competent National Authorities and National Focal Point | Ministry of Environment and Forests designated as competent authority and national focal point |
| Article 20 | Information sharing and the Biosafety Clearing House | Biosafety Clearing House (http://www.indbch.nic.in) has been set up. |
| Article 21 | Confidential information | |
| Article 22 | Capacity building | Ongoing capacity building activities by DBT, MOEF, USTDA and USAID-sponsored SABP |
| Article 23 | Public awareness and participation | Ongoing, MOEF and DBT have specific websites on biotech developments and regulatory system including website of IGMORIS [3], GEAC [4], DBT Biosafety [5], etc |
| Article 24 | Non-Parties (transboundary movements of LMOs between Parties and non-Parties) | 1989 rules in place for all import and export |
| Article 25 | Illegal transboundary movements | |
| Article 26 | Socio-economic considerations | Socioeconomic analysis is an integral part of decision making |
| Article 27 | Liability and redress | National Consultation ongoing |

Source: MOEF and Industry Sources

^[1] See Annex 2

http://l64.100.9.245/exim/2000/not/not06/not0206.htm

http://igmoris.nic.in/
http://www.envfor.nic.in/divisions/csurv/geac/geac_home.html
http://dbtbiosafety.nic.in/